

Instituto Nacional de Pesquisas da Amazônia – INPA  
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O papel de interações bióticas e fatores abióticos na estruturação de  
comunidades de formigas na Amazônia Central

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Manaus, Amazonas

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O papel de interações bióticas e fatores abióticos na estruturação de  
comunidades de formigas na Amazônia Central

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**Sinopse:**

Este estudo se baseou em amostragens de campo em quatro sítios de coleta na Amazônia Central. Foi desenvolvido e testado um novo método de coleta para estimar a densidade de ninhos de formigas usando iscas e que pode ser usado em estudos sobre competição por recursos. O papel da competição entre espécies foi avaliado através de análises correlativas entre a abundância de espécies ecologicamente dominantes e o número de espécies subordinadas. O efeito da escala amostral nas relações competitivas entre espécies foi avaliado através de uma rarefação espacialmente estruturada. A congruência entre padrões de diversidade de formigas e alguns de seus parasitas foram investigados em três sítios de coleta. A importância de restrições ambientais, como o nível do lençol freático, foi avaliada através do monitoramento quinzenal de piezômetros em um sítio de coleta. O efeito do nível do lençol freático sobre a assembleia de formigas foi estudado através da abordagem de grupos funcionais.

**Palavras-chave:** competição, co-ocorrência, densidade de espécies, florestas tropicais, Formicidae, lençol freático, *Ophiocordyceps*, parasitismo.

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*You can't always get what you want  
But if you try sometimes, you just might find  
You get what you need*

Mick Jagger and Keith Richards

## Resumo

Um dos principais objetivos da ecologia é determinar quais fatores determinam a ocorrência de espécies em assembleias locais. Esta tese de doutorado documentou os padrões de diversidade de formigas em diferentes florestas e escalas espaciais na Amazônia Central, e explorou os possíveis mecanismos ecológicos que resultam nesses padrões. O papel das interações antagônicas, como competição interespecífica e parasitismo, e restrições ambientais na organização de assembleias de formigas foi investigado através de estudos correlativos baseados em amostragem de campo em quatro locais. Os sítios estudados representam um gradiente latitudinal nas florestas amazônicas abrangendo ampla heterogeneidade ambiental, como áreas de savanas abertas e fechadas, florestas densas, e grande variação na disponibilidade de água do solo. A riqueza, abundância e composição de formigas também variou entre os sítios estudados. Modelos competitivos foram mais freqüentes em unidades amostrais menores ou nas assembleias de formigas amostradas com métodos interativos, como iscas artificiais. A distância percorrida entre as iscas e a entrada do ninho foi relativamente pequena, mesmo para as espécies ecologicamente dominantes. Ambos os resultados sugerem que a presença de espécies dominantes pode reduzir a riqueza de espécies de formigas em áreas pequenas, especialmente quando iscas artificiais são usadas, mas parece ser menos importante do que restrições ambientais na determinação da riqueza de espécies de formigas em escalas maiores. O papel de parasitas altamente especializados, que alteram o comportamento de seus hospedeiros para aumentar sua própria transmissão, na estruturação de comunidades de formigas também parece ser pequeno. Houve um desacoplamento entre os padrões de distribuição de espécies infectadas e não-infectadas, que sugere que o número de espécies hospedeiras adequadas para a manipulação comportamental é limitado. Esse resultado, associado ao pequeno número de indivíduos infectados ao redor das colônias, sugere que o efeito destes parasitas em escala regional também é limitado. A estrutura das assembleias de formigas estudadas foi mais fortemente relacionada com restrições ambientais do que interações antagônicas. Regionalmente, menos espécies de formigas foram encontradas em áreas com menor precipitação média em comparação com as áreas com maior disponibilidade de água. Localmente, a disponibilidade de água, estimada pela profundidade do lençol freático ao longo de um ano, também estiveram fortemente correlacionadas com alterações na estrutura das assembleias de formigas. Mais espécies de formigas foram encontradas em áreas com lençol freático relativamente raso. No entanto, as



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# **The role of biotic and abiotic interactions in structuring ant communities in central Amazonia**

## **Abstract**

One of the main goals in ecology is to determine which factors govern species co-occurrence in local assemblages. This doctoral thesis documented the patterns of ant diversity across different forests and scales in Central Amazonia, and explored the possible mechanisms leading to these patterns. The role of antagonistic species interactions and environmental constraints on ant co-occurrence patterns were investigated through correlative studies based on field sampling at four sites. The sites cover a latitudinal gradient in Amazonian forests and encompass wide environmental heterogeneity, including areas of open and closed savannas, dense forests, and areas subject to different degrees soil water availability. The ant abundance, richness and composition also showed a marked variation between sites. Interference–competition models tended to be more frequent in smaller sample units or in assemblages sampled with interactive methods, such as baits. The distance traveled between bait and nest entrance, including the dominant species, was relatively small. Both results suggests that competition from ecologically dominant species may reduce species richness in small areas especially when artificial baits are used, but appears to be less important than environmental constraints in determining ant species richness across scales of hectares and greater. The role of highly specialized parasites, which alter the behavior of their hosts to increase their own transmission, in structuring ant communities also appears to be small. There is a mismatch between infected and non-infected species distribution patterns suggesting that the number of host species suitable for behavioral manipulation is limited. These results, associated with small number of infected individuals around the colonies, probably limit the effect of these parasites regionally. The structures of ant assemblages studied were more strongly related to environmental restrictions than antagonistic interactions, such as inter-specific competition and parasitism. Regionally, fewer ant species were found in areas with lower average rainfall compared with areas with more water availability. Locally, the soil water availability, estimated by the water-table depth along one year, was also strong correlated with changes in ant assemblage structure. More ant species were found in areas with relative shallow water table. However, changes in number of species were mainly a result of an increase in generalist species associated with a decrease in the number of specialist predators and small hypogaeic generalist foragers. Although disturbance

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## Introdução geral

A taxa crescente de extinção de espécies associada às tendências de aquecimento global demandam uma visão abrangente para prever a futura distribuição da biodiversidade (Cahill *et al.*, 2012; Stork, 2009). Em geral, a composição e diversidade das assembleias de espécies podem ser atribuídas ao somatório de restrições ambientais e evolutivas que ocorrem em larga escala temporal e espacial, como especiação e extinção de espécies, e a processos atuantes em escala local, como seleção de habitat e competição entre espécies (Chase & Leibold, 2003; Ricklefs, 2004). Consequentemente, determinar quais fatores permitem a co-ocorrência de espécies em diferentes escalas é um passo importante para compreender a dinâmica das assembleias e a manutenção da biodiversidade.

Localmente, a co-ocorrência de espécies é frequentemente atribuída a um balanço de diferentes tipos de interações entre organismos (Leibold & McPeck, 2006). As interações antagônicas ou competitivas entre organismos representam os principais mecanismos de teorias fundamentadas no conceito de nicho (Klopfer & MacArthur, 1961; MacArthur, 1972), e interações positivas ou mutualistas são os processos chaves de teorias co-evolutivas (Thompson, 2005). No entanto, apesar das relações ecológicas serem frequentemente classificadas em positivas ou negativas, o grau de associação entre espécies representa um gradiente entre mutualismo, parasitismo e predação que muitas vezes é influenciado por fatores ambientais onde as interações ocorrem. O ambiente pode favorecer interações competitivas, como observado em locais onde os recursos são mais escassos (Tilman, 1984), mas também pode favorecer relações mutualistas em locais com condições mais estáveis (Thompson & Laine, 2010).

Minha tese de doutorado integra estudos de comportamento e de associações de espécies para compreender os processos que criam e mantem a estrutura de assembleias de formigas e de seus parasitas em florestas tropicais. Formigas são ideais para examinar os fatores que moldam assembleias, porque são organismos interativos, abundantes, fáceis de serem amostrados e encontrados em praticamente todos os habitats terrestres (Hölldobler & Wilson, 1990).

### *Competição em assembleias de formigas*

O uso de iscas artificiais é uma prática comum em estudos comportamentais envolvendo formigas (Bestelmeyer *et al.*, 2000; Gotelli *et al.*, 2011). As iscas são baratas,

versáteis, facilitam a visualização das interações entre espécies, e são onipresentes em estudos sobre competição em comunidades de formigas (Parr & Gibb, 2010). Diversos trabalhos investigaram os efeitos do tipo (Davidson, 1997; Pearce-Duvet & Feener, 2010; Yanoviak & Kaspari, 2000) e densidade de iscas (Baccaro *et al.*, 2010; Baccaro *et al.*, 2011; Lester *et al.*, 2010), de variações no micro-hábitat (Farji-Brener *et al.*, 2004; Kaspari & Weiser, 2000) e ambientais (Arnan *et al.*, 2012; Silva *et al.*, 2004; Feener Jr. *et al.*, 2008; Hahn & Wheeler, 2002; Pearce-Duvet *et al.*, 2011) na resposta comportamental das espécies de formigas. No entanto, amostragem com iscas não fornece uma boa estimativa da densidade de ninhos (Gotelli *et al.*, 2011), que é a unidade funcional básica de estudos competitivos. Logicamente, a presença de uma espécie de formiga em uma isca implica na presença de pelo menos um ninho ao redor, mas pouco se sabe sobre o tamanho da área de forrageio das espécies dominantes e conseqüentemente a área de influência de colônias dessas espécies. O capítulo 1 desta tese apresenta e testa um novo método para estimar a densidade de colônias de formigas usando iscas. Esse método é baseado na distância percorrida entre as iscas e os ninhos e pode ser usado para estimar com boa precisão a densidade de ninhos em um local.

A competição entre espécies é frequentemente citada como um mecanismo importante para a estruturação das assembleias de formigas (Davidson, 1998; Hölldobler & Wilson, 1990). Evidência para o papel da competição inclui hierarquias comportamentais na utilização de recursos (Feener Jr. *et al.*, 2008; Fellers, 1987; Sanders & Gordon, 2003; Savolainen & Vepsäläinen, 1988; Vepsäläinen & Pisarski, 1982), a forma unimodal da relação entre abundância das espécies dominantes e a riqueza de formigas (Andersen, 1992; Parr *et al.*, 2005) e a drástica modificação das assembleias de formigas nativas na presença de espécies dominantes introduzidas (Holway *et al.*, 2002; Sanders *et al.*, 2003; Vonshak *et al.*, 2009). No entanto, a maioria desses trabalhos investigou os efeitos da presença de espécies dominantes sobre a riqueza de espécies subordinadas em pequena escala e usando recursos alimentares artificiais (mas veja, Parr 2008 para uma abordagem com métodos de coleta menos seletivos). Conseqüentemente, pouco se sabe sobre o efeito da abundância de espécies dominantes na riqueza de formigas subordinadas em escalas maiores ou sobre o restante das espécies que não são atraídas por iscas. O capítulo 2 investigou o papel das espécies dominantes na estruturação de comunidades de formigas em diferentes escalas e usando métodos de coleta mais inclusivos.

### *Diversidade entre níveis tróficos: formigas e seus parasitas*

As florestas tropicais são os ecossistemas terrestres com maior número de espécies (Gaston, 2000). Embora parecendo relativamente homogêneas, grandes extensões de florestas tropicais apresentam alta heterogeneidade ambiental resultando em grande mudança na distribuição de espécies de plantas e na estrutura florestal (Emilio *et al.*, 2010). A composição de espécies de formigas também apresenta alta rotatividade de espécies especialmente em larga escala (Vasconcelos *et al.*, 2010), e por serem membros dominantes dos biomas terrestres são alvos recorrentes para infecção por parasitas.

Parasitas tendem a ser especialistas porque seus hospedeiros servem tanto como habitat e agentes de dispersão (Combes, 2001). Isto implica que as mudanças na abundância do hospedeiro muitas vezes levam a mudanças na abundância de seus parasitas (Dobson *et al.*, 2008). No entanto, alguns parasitas manipulam o comportamento de seu hospedeiro para aumentar sua transmissão (Poulin, 2011), podendo afetar o acoplamento de diversidade entre níveis tróficos. A interação entre formigas e os fungos ascomicetos *Ophiocordyceps* é um modelo útil para entender o papel da manipulação comportamental de hospedeiros nos padrões de diversidade dos parasitas. Formigas infectadas por espécies de *Ophiocordyceps* morrem fora do ninho em locais onde o microambiente é melhor para esporulação do fungo e dispersão para novas operárias (Andersen *et al.*, 2009; Pontoppidan *et al.*, 2009). O capítulo 4 desta tese investigou a correlação entre os padrões de diversidade das formigas e de *Ophiocordyceps* e como essa relação é afetada por diferentes modos de manipulação comportamental que esses parasitas apresentam.

### *Diversidade de formigas ao longo de um gradiente ambiental*

A disponibilidade de água é um importante preditor da dinâmica das comunidades de formigas (Levings & Windsor, 1984; Levings, 1983). No Panamá, Kaspari & Weiser (2000) encontraram um aumento de 25% nas visitas de formigas em iscas na estação chuvosa em relação à estação seca, e mais de 200% de aumento de atividade num gradiente topográfico, entre o platô (mais seco) e o baixio (relativamente mais úmido). A disponibilidade de água também influencia a distribuição de espécies e, na Amazônia Central, maior número de espécies foi coletado nos baixios do que nos platôs (Vasconcelos *et al.*, 2003). Viver próximo a corpos d'água pode diminuir os riscos de dessecação, mas a variação natural do nível da água no solo pode dificultar o estabelecimento ou manutenção de ninhos de formigas. Áreas com lençol freático superficial estão mais sujeitas a alagamentos temporários e apresentam

menor volume de solo disponível para nidificação de formigas (Seal & Tschinkel, 2010; Tschinkel *et al.*, 2012). A persistência das espécies de formigas nessas áreas pode depender de adaptações comportamentais ao alagamento (LeBrun *et al.*, 2011; Majer & Delabie, 1994) que por sua vez determinam a capacidade de colonização e persistência das espécies (Ballinger *et al.*, 2007). O capítulo 3 investigou a relação entre o nível do lençol freático e a dinâmica de assembleias de formigas em uma área que não apresenta alagamento sazonal de longa duração. Áreas com lençol freático superficial representam uma porção significativa das florestas Amazônicas (Rennó *et al.*, 2008; Sombroek, 2000), mas até o momento, seu efeito sobre a distribuição de espécies de formigas só foi estudada em sistemas sub-tropicais (Tschinkel *et al.*, 2012).

## **Objetivo geral**

Determinar o papel de interações bióticas e abióticas na estruturação de assembleias de formigas na Amazônia Central.

### *Objetivos específicos*

1. Desenvolver um novo método de amostragem para estimar a densidade de ninhos de formigas de solo e folhiço usando iscas;
2. Determinar o papel da abundância de formigas dominantes no número de espécies de formigas subordinadas em diferentes escalas;
3. Descrever os padrões de diversidade de formigas e de alguns de seus parasitas ao longo de um gradiente ambiental em relação ao grau de manipulação comportamental dos parasitas.
4. Investigar como a profundidade do lençol freático afeta a estrutura de assembleias de formigas de solo e folhiço;

## Capítulo 1

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Baccaro, F.B. & Ferraz, G. 2013. Estimating density of ant nests using distance sampling. *Insectes Sociaux* 60: 103-110.



1 **Estimating density of ant nests using distance sampling**

2

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21 **Abstract**

22 The quantification of ant nest densities is a useful but challenging task given the group's high  
23 abundance and diversity of nesting sites. We present a new application of a distance-  
24 sampling method which follows standard distance-analytical procedures but introduces a  
25 sampling innovation that is particularly useful for ants: instead of having an observer look for  
26 ants we let ants find a bait station and measure the distances covered between nest and  
27 station. We test this method by estimating the density of epigaeic ant nests in an Amazon  
28 tropical forest site near Manaus, Brazil. We distributed 220 baits of canned sardine mixed  
29 with cassava flour among 10, 210-m long transects in old-growth upland forest. Forty-five  
30 minutes after baiting, we followed the ants' trails and measured the linear distance between  
31 the bait and each nest's entrance. We then used the freely available program DISTANCE to  
32 estimate the number of nests per unit area while accounting for the effect of distance on the  
33 probability that a colony will find a bait. We found 38 species nesting in 287 different  
34 colonies, with an estimated 2.66 nests/m<sup>2</sup>. This estimate fell within the 95% confidence  
35 bounds of nest density predicted for a similar number of species based on a literature survey  
36 of ant species richness and nest density. Our sampling solution, however, takes less than 30%  
37 of the time used by conventional sampling approaches for a similar area, with the advantage  
38 that it produces not only a point estimate but also a quantification of uncertainty about  
39 density.

40

41 **Key words:** distance sampling, species density, Formicidae, litter, tropical forest

42

## 43 **Introduction**

44           The quantification of population size is a fundamental component of ecological  
45 science and environmental management. There is a vast literature on the estimation of size  
46 and other population parameters (Williams *et al.*, 2002) with particular focus on species that  
47 are hard to detect (Thompson, 2004); yet, the estimation of ant population density (i.e.  
48 number of colonies per unit area) is still a challenging task due to the small size of the  
49 organisms, the large variety of nest sites, and their high local abundance. This task is  
50 particularly relevant in tropical forests where ants make up to 25% of total animal biomass  
51 (Fittkau and Klinge, 1975) and their density can reach up to 30 colonies per square meter  
52 (Soares and Schoereder, 2001).

53           Tropical forest ground and litter ant colonies (hereafter epigaeic ants) can be very  
54 small, often including merely a dozen individuals living in a small soil chamber, a dead twig,  
55 a dried fruit, between leaves, or in a combination of different sites for species with  
56 polydomous nests (Byrne, 1994; Carvalho and Vasconcelos, 2002; Debout *et al.*, 2007). The  
57 conventional approach for estimating nest density of epigaeic ants involves sifting thoroughly  
58 through the leaf litter of an entire sampling area, inspecting each piece of rotten wood,  
59 looking through twigs, and searching for nest entrances in the soil. This technique consumes  
60 from one half to more than two hours per person per square meter (Levings and Franks, 1982;  
61 McGlynn *et al.*, 2009; Mertl *et al.*, 2009; Shik and Kaspari, 2010) and offers limited area  
62 coverage.

63           We describe and test a time-efficient, easily applicable solution to estimating density  
64 of epigaeic ant nests based on an unconventional application of a distance-sampling method  
65 (Buckland *et al.*, 1993). Our solution reduces the cost of sampling per unit area, enabling  
66 coverage of larger areas than the conventional approach. Distance sampling always involves  
67 recording linear distances between an observer and a study organism (Buckland *et al.*, 2001;  
68 Thomas *et al.*, 2010); the resulting density estimates, therefore, are based on a detection  
69 function that describes the probability of detecting an object given it is a certain distance  
70 from the observation point. Distance-sampling can be implemented on sets of sites, allowing  
71 for differences in detectability among sites and, if appropriate assumptions are met, provides  
72 unbiased estimates of density for each surveyed site (Buckland *et al.*, 1993; 2001). While we  
73 analyze our data in a standard distance-sampling approach, we introduce a sampling  
74 innovation that is especially appropriate for ants: instead of having an observer look for ants,

75 we have the ants look for a bait station. Detectability still decreases with distance from an  
76 observation point (bait) but it reflects the ability of ants to detect food, instead of the human  
77 ability to detect their nests. To test our technique, we estimate the density of epigaeic ant  
78 nests in an upland tropical forest site. We also compare our results with published ant density  
79 estimates and illustrate the biological usefulness of our method by exploring the relation  
80 between ant nest detection probability and leaf litter depth.

81

## 82 **Methods**

### 83 *Study site and ant nest sampling*

84 Fieldwork took place at Reserva Ducke, 25 km north of downtown Manaus, Central  
85 Amazonia, Brazil (2°57'S, 59°56'W) from the 20-23 February, 2008. Reserva Ducke is a  
86 10,000-ha rainforest reserve covered by old-growth upland forest on moderately rugged  
87 terrain (elevation 50-120 m a.s.l.) drained by small streams. The climate is tropical humid  
88 with mean annual temperature around 26°C ( $\pm$  3°C) and mean annual precipitation of 2.2 m,  
89 which is distributed seasonally (Marques-Filho *et al.*, 1981).

90 We sampled ant nests on 10, 210-m-long transects, regularly distributed over 10 km<sup>2</sup>  
91 (Fig. 1). Ducke is covered by a trail grid with 1-km<sup>2</sup> cells and all transects started on the side  
92 of one cell, following terrain contour lines to minimize the effects of topographical variation  
93 on ant distribution within the transect (Oliveira *et al.*, 2009). Sampling always took place  
94 between 7:30 and 17:00 h with bait stations placed every 10 m along each transect, totaling  
95 22 stations per transect. Bait consisted of canned sardine and manioc flour mixed in equal  
96 weight proportions until homogeneous. We used approximately 3 g of bait per station placed  
97 on a 10 x 10 cm piece of paper. After 45 min, we looked for ant trails starting at each bait  
98 station and followed them through the litter measuring the linear distance between the bait  
99 and a presumed nest entrance. The yellow crumbs of manioc flour facilitated the visualization  
100 of ants carrying food through the leaf litter. After locating an entrance, we carefully inspected  
101 inside, looking for brood in order to confirm that the entrance led to a real nest. We only used  
102 distance data corresponding to nests with brood or (occasionally) to nests where we found a  
103 queen. The Supplementary Online Material lists the types of nests found as accessory natural  
104 history information.

105 To ensure that we made the correct connection between trail start and nest entrance  
106 we sampled individuals from each trail, at the bait and inside the nest. Specimens were fixed

107 in alcohol 70% and sorted to species or morphospecies in the laboratory, with voucher  
108 specimens deposited at INPA's Entomological Collection. As an ant colony may have more  
109 than one nest opening or occupy more than one chamber (Byrne, 1994; Debout *et al.*, 2007),  
110 we always counted a species only once per bait. Thus, in the 13 instances where we found  
111 more than one nest of the same species at the same bait, we used the shortest linear distance  
112 between the bait and the nest entrance in the analysis.

113

#### 114 *Estimating nest density*

115 Distance-based estimation of population density measures how the probability of  
116 detecting animals goes down with distance from the observer and employs this measure in  
117 estimating how many animals should have been counted if detection were perfect. We  
118 estimated the nest density of epigaeic ants using the 'point transect survey', one distance  
119 analytical option that has the observer stationed at a point, rather than moving along a  
120 transect line (Buckland *et al.*, 2001). We let the colony find the observer and not the other  
121 way around, but the distance information is used in the standard way. Our sampling design  
122 led to estimates of nest density estimates at two spatial scales: the transect, and whole study  
123 area (comprising 10 transects).

124 Following exploratory analyses and standard distance-sampling procedure we  
125 truncated our data by discarding observations of nests that were further than 1 m away from  
126 the bait. Truncation improves precision without increasing bias of density estimates because  
127 the retained data can contain sufficient information for defining the shape of the detection  
128 function and because outlying points are generally least informative and most difficult to  
129 model (Buckland *et al.*, 2001, 2004; Marques *et al.*, 2007). Analyses were performed with the  
130 freely available software DISTANCE (Thomas *et al.*, 2010), producing one estimate of nest  
131 density ( $D$ ) for each transect and for the entire study area. We fitted our observations with  
132 two commonly used detection functions: half normal and hazard-rate (Thomas *et al.*, 2010).  
133 For each function, we tried three types of fit-improving adjustment terms (cosine, simple  
134 polynomial or Hermite polynomial adjustments) adding up to six different types of detection  
135 functions. The quantification of uncertainty about density is based on estimates of the  
136 variance of  $D$ . For single transects we obtain the variance analytically, but for the whole  
137 study, because the data are stratified by transect, we use a nonparametric bootstrap procedure  
138 with 999 resamples, which takes transect as stratum (Buckland *et al.*, 2001). This  
139 stratification recognizes the grouped structure of the data, i.e. not treating each baiting station

140 as independent from all others.

141 In addition to distance, a variety of habitat structural factors may influence the ants'  
142 ability to detect baits. For example, litter presents barriers to the movement of ants through  
143 the forest floor and may limit a colony's ability to find a bait station (Farji-Brener *et al.*,  
144 2004; Bernadou *et al.*, 2011). If two sites had the same nest density of a given species but site  
145 1 had twice more litter than site 2 we should expect individuals of site 1 to explore a smaller  
146 area around the nest entrance in a given amount of time than individuals of site 2. Therefore,  
147 we found it reasonable to explore the possibility that litter depth may affect the scale but not  
148 the shape of the detection function by including litter depth as a covariate of detection in our  
149 analyses (Marques *et al.*, 2007).

150 We measured litter depth in the same day as nest sampling, at one randomly selected  
151 location within 20 cm of every bait station. Measurements consisted of forcing a stick of 0.5  
152 cm in diameter into the litter until it reached the soil and noting the distance in cm between  
153 the top piece of litter and the soil. We added litter depth as a covariate to the detection  
154 functions described above, using the multiple-covariate distance-sampling engine (MCDS)  
155 available in DISTANCE (Thomas *et al.*, 2010). Litter depth entered the models as a nonfactor  
156 covariate in both scales, transect and site. As in the conventional analysis of the whole data  
157 set we used nonparametric bootstrap (999 resamples) to estimate the variance of D in MCDS  
158 analyses (Marques *et al.*, 2007). Therefore, since we used six types of detection function, we  
159 fitted 12 models of nest density (D): six without litter depth and six with litter depth as a  
160 nonfactor covariate. These 12 models were fit both for each transect and for the entire study  
161 area, and ranked following Akaike's Information Criterion (AIC).

162 To place our estimates in the context of existing knowledge, we compared D from the  
163 highest-ranking whole-area model with published estimates of ant nest density. Since  
164 different studies focused on different types of ants we chose to establish a comparison  
165 through the species-nest density relationship (Kaspari *et al.*, 2000) placing our results within  
166 a relation between the study-site-level number of species per unit area and the study-site-level  
167 number of nests of all species per unit area. The computation of species density, however,  
168 requires dividing an estimate of species richness by an area. DISTANCE provides  
169 information about area in the form of an 'effective area surveyed' or  $v$  parameter, which is  
170 the area around the observer where the number of undetected objects equals the number of  
171 objects detected beyond that area (Buckland *et al.*, 1993). In our case, where the 'observer' is  
172 the bait, this area can be interpreted as the area over which an average ant colony can detect a

173 bait station. The  $v$  parameter yields a more conservative estimate of the area sampled per bait  
174 station than alternative measures based on the mean or maximum distance traveled to the  
175 bait. We quantified the total area sampled in the whole study site by multiplying the effective  
176 area surveyed by the total number of baits offered (220 baits). To estimate richness we used  
177 Chao 1 (Chao, 1984) estimator, using transects as replicates and implementing the estimation  
178 with the R package *vegan* (Oksanen *et al.*, 2011; R Development Core Team, 2011). To  
179 obtain species density we divided the Chao 1 estimate by the total area sampled. Finally, we  
180 constructed a regression model of the relation between species density and nest density based  
181 on published information, and checked whether our estimates fell within the 95% confidence  
182 intervals of the literature-based model. We based the regression analysis on log-transformed  
183 richness and nest density to improve normality of the data.

184

## 185 **Results**

186 We found 11 ant genera and 38 species in 287 different nests located in the soil, litter,  
187 twigs, hollow fruits, and litter accumulated on stem-less palms (Table A1 - Supplementary  
188 Online Material). The maximum number of nests detected per bait was 4 (mean = 1.45) and  
189 only 6 baits were not visited by ants (Table 1). The number of nests encountered on each  
190 transect ranged between 22 and 35. We were able to locate nests for all ant trails observed in  
191 four transects and failed to locate nests for 13 trails in the remaining six transects (Table 1).  
192 Chao (1984) estimate of epigeaic ant species richness for the whole sampling area was  $56.07$   
193  $\pm 14.39$  species (mean  $\pm$  s.e.). The mean litter depth varied twofold among transects (min =  
194 1.1 cm; max = 2.44 cm), but more than 1 order of magnitude within transects, showing a  
195 patchy distribution of litter at the transect scale.

196 Truncation at 1 m retained approximately 66% of the distance data. This exclusion of  
197 outlying points allowed us to fit simpler models (with fewer adjustment terms) without  
198 substantially decreasing the precision of density estimates. Preliminary analysis of truncated  
199 and non-truncated data revealed lower density estimates from the non-truncated data ( $1.36$   
200  $\text{mests/m}^2$ , but using 4 adjustment terms to increase model fit); truncation to distances shorter  
201 than one meter, however, resulted in up to a two-fold increase in uncertainty (i.e. truncation  
202 at 0.5 m resulted in a 95% CI of 0.91-11.17  $\text{mests/m}^2$ ). We thus settle with the 1-m truncation  
203 for all the results reported below. At the scale of the whole study area models based on the  
204 half-normal detection function had clearly higher AIC than the top-ranking hazard-rate model

205 without adjustment terms (Table 2). Nevertheless, estimates of nest density fall within a  
206 narrow range of 0.89 to 2.66 nests per m<sup>2</sup> for all models. Models using litter depth as a  
207 covariate generally had higher AIC than similar models without the covariate. Based on the  
208 top-ranking model in Table 2 we estimate that our sampling area has 2.66 ant nests/m<sup>2</sup>, with a  
209 95% CI of 0.87-6.52 nests/m<sup>2</sup>. At the transect scale the top-ranking detection models differed  
210 between transects, with five hazard-rate models and five half-normal having the lowest AIC  
211 (Table 1). However, as with the whole-area models, transect models with the litter covariate  
212 had highest AIC than those without it. When compared with the whole-area analysis, nest  
213 density estimates for transects were more uncertain, with their point estimates of density  
214 ranging fivefold from 0.7 to 3.9 nests/m<sup>2</sup> (Table 1).

215         The distance between bait and nest entrance was highly variable both within and  
216 among ant species, ranging from a few centimeters to almost 6 meters (Fig. 2a). Overall,  
217 when pooled across species, bait-nest distances were highly right-skewed, with most (66 %)   
218 falling in the first meter (Fig. 2b). The effective area surveyed ( $v$ ) was 0.37 m<sup>2</sup> around the  
219 nest at the whole-area scale, and ranged from 0.28 to 0.66 m<sup>2</sup> in the individual transect  
220 analyses (Table 1). Using the estimate of  $v$  for the whole area combined with the Chao  
221 estimate of species richness we expect to find 0.65 species of epigaeic ants attracted to baits  
222 per square meter in Reserva Ducke. To build our model of species density versus nest density  
223 we used information from 16 other sites published in 12 studies of ant density in tropical  
224 forests (Table A2 - Supplementary Online Material). Nest density accounted for 76% of the  
225 variation in species density across different tropical forests ( $r^2 = 0.76$ ;  $F_{1,14} = 44.02$ ;  $P <$   
226  $0.001$ ), and our nest density estimate for Reserva Ducke fell within the 95% confidence  
227 intervals of the model prediction (Fig. 3). Although our method in part also relies in the  
228 ability of the observer to follow ants through leaf-litter as in conventional sampling method,  
229 the sampling time per unit area using the distance approach was approximately 30% of the  
230 time for other studies reporting comparable measures of effort. On average, the time spent on  
231 a conventional litter-sifting survey was  $1 \pm 0.21$  hours (mean  $\pm$  s.d.) per square meter (Table  
232 A2 - Supplementary Online Material); with the point-transect method we were able to  
233 estimate ant-nest density for the same area in approximately 16 minutes of ant-trail searching.  
234

## 235 **Discussion**

236         Size is a central metric of population state in both theoretical and applied ecology;



237 thus, in estimating number of individuals per unit area, distance-sampling techniques  
238 (Buckland *et al.*, 2001) offer a valuable contribution to population biology. Distance-  
239 sampling has been applied to estimating density of a large variety of animals, plants, and  
240 associated structures (Thomas *et al.*, 2010), including conspicuous mounds of invasive fire  
241 ants (Forbes *et al.*, 2000) and red wood ants (Borkin *et al.*, 2012). The foraging behavior of  
242 ants, however, allows a particularly unique albeit unconventional application of distance  
243 sampling, where the animal finds the observer rather than the other way around. In this study,  
244 we estimated epigeaic ant nest density based on a sampling area of 81.4 m<sup>2</sup> and tested a  
245 hypothesized relation between habitat structure and nest detection probability. For this we  
246 spent less than 30% of the time that would be necessary for the same purpose with a  
247 conventional ant-nest searching technique.

248         One key contribution of distance-sampling is the quantification of uncertainty about  
249 density estimates. We estimated 2.66 nests per square meter in our sampling area, with a 95%  
250 confidence interval between 0.87 and 6.52. The relatively low nest density in comparison  
251 with other tropical forest ant studies (McGlynn *et al.*, 2009; Mertl *et al.*, 2009; Shik and  
252 Kaspari, 2010) probably reflects our focus on the subset of ants that are attracted to sardine  
253 baits. Nevertheless, we feel reassured that this result makes biological sense because it fits  
254 the published relation between species density and nest density (Kaspari *et al.*, 2000). This  
255 relation can be used for predicting nest density of a broader scope of species, as long as one  
256 has an estimate of species density derived from a wider variety of sampling techniques (e.g.  
257 Winkler samples, pitfall traps, different types of bait). Furthermore, our distance sampling  
258 approach could be employed with a variety of baits as well, and it should work well across a  
259 variety of ant groups and sampling environments. In particular, as the nests of tropical forest  
260 epigeaic ants are especially hard to find (Byrne, 1994; Soares and Schoereder, 2000), we  
261 anticipate that our application of distance sampling to estimate ant nest density may be even  
262 more useful in environments where nests are more easily found.

263         The validity of our approach relies on three key assumptions of distance-sampling: i)  
264 the detection probability at the observation point is 1, in other words, all nests immediately  
265 under the bait are detected; ii) nests are detected at their initial position (particularly relevant  
266 for distance-sampling of moving objects); and iii) the distance to each detected nest is  
267 recorded accurately (Thomas *et al.*, 2010). All assumptions are easily met for ant surveys but  
268 it is remarkable how assumptions ii and iii, which are usually hard to meet in closed-forest  
269 surveys of moving animals (e.g. Simons *et al.*, 2009), do not present any particular difficulty  
270 in a sample of ant baits. Perhaps the most serious hurdle is that of achieving a sufficiently

271 large sample size. Buckland *et al.* (1993) recommend about 60 observations as a practical  
272 minimum to adequately model the detection function. We notice that our transect-specific  
273 estimates were much more uncertain than the whole-area estimate. This suggests there is  
274 room for improvement using more baits per transect.

275 By changing the focus of the detection process from the observer to the observed, we  
276 should expect the detection function to reveal relevant information about ants and how they  
277 use their environment. For example, we initially hypothesized that litter depth (and associated  
278 amount of environmental interstices) would have a negative effect on the probability of  
279 detecting a nest located at a given distance from the bait. However, we found limited  
280 evidence to support such effect, as the models with the litter covariate in the detection  
281 function had higher AIC than those without. Some common species sampled with baits, such  
282 as *Crematogaster tenuicula*, *C. brasiliensis* and *Wasmannia auropunctata*, that pooled  
283 together visited more than half of the baits, are relatively small and live in polydomous nests  
284 (Le Breton *et al.*, 2005; Longino, 2003). Such nesting behavior can create large foraging  
285 areas and should increase the chance of being nearby when a food source appears. Perhaps  
286 this ability of some ant species to be in many places at once might partially suppress the  
287 negative effect of litter depth on detection, but we regard this as an open question that should  
288 motivate further exploration of the point-transect method with ants.

289 We are encouraged by our results but it is appropriate to point out a number of  
290 caveats in the application of distance sampling to ant assemblages. First, baits are a selective  
291 method that attracts mainly omnivorous ant species that recruit to food sources (Ribas and  
292 Schoereder 2002). Therefore, this sampling technique misses specialized predators, cryptic  
293 ants, and fungus-growing species, which may account for a considerable proportion of the ant  
294 fauna (King and Porter, 2005, Baccaro *et al.*, 2012). Second, it is clear that some species take  
295 less time to find resources than others (Feener *et al.*, 2008, Parr and Gibb, 2012), and it  
296 appears that colonies with more scouts find resources faster than colonies with few scouts  
297 (Pearce-Duvet *et al.*, 2011). Therefore, large colonies nesting near a bait station may find the  
298 bait first, monopolize its use and could bias our perception of ant abundance and community  
299 composition. Finally, while our estimate of  $v$  suggests that the distance between bait stations  
300 was far longer than the typical foraging distance of an ant colony, one should not exclude the  
301 possibility that in some circumstances (i.e. for species with polydomous nests) the same  
302 colony can visit different baits, leading to an overestimation of colony density. These caveats,  
303 however, can be seen as opportunities for improvement. For example, if the distance between  
304 baits is a concern, one may conduct a pilot sampling with colony identification to determine

305 the minimum distance that insures independence between baits. When selectivity or  
306 monopolization of the bait is a problem, one may experiment with different types of  
307 attracting substances and different periods of bait observation. Even the use of the standard  
308 sardine/tuna bait can be advantageous if one is interested in numerically and behaviorally  
309 dominant species. When this is the case, the standard bait will work for monitoring changes  
310 in population density of invasive species, with possible advantages in assessing long-term  
311 ecosystem changes, or exploring the effects of land management actions on dominant ant nest  
312 density. The broader potential of applying distance sampling to ants, however, is to free the  
313 researcher to address larger-scale questions through the efficient sampling of larger areas and  
314 a wider variety of environments. In one person\*hour of field work we obtained sufficient data  
315 for estimating nest density over an area approximately four times as large as that covered in  
316 the same time on average by conventional sampling solutions. Thus, the time saved with  
317 distance sampling can be used for increasing sample size, increasing spatial coverage,  
318 incorporating environmental heterogeneity, or exploring the use of different baits targeted to  
319 different ant groups.

320

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421 Table 1. Nest survey results and density estimates for transect-level analyses according to the  
 422 best ranking model for each transect. ‘ $v$ ’ is the effective area sampled around the bait in  $m^2$ ,  
 423 and ‘ $D$ ’ is an estimated number of nests per  $m^2$  with 95% confidence intervals based on a  
 424 analytical estimate of variance.

Transect	Number of nests	Number of nests missed	Baits not visited	$v$	$D$ [95% CI]
L3-2500	28	2	-	0.45	1.27 [0.28 - 5.70]
L3-3500	22	2	2	0.54	0.87 [0.52 - 1.47]
L3-4500	35	3	-	0.59	0.77 [0.45 - 1.34]
L4-1500	31	1	-	0.61	0.82 [0.60 - 1.15]
L4-2500	28	1	-	0.31	3.94 [0.89 - 17.58]
L4-3500	32	-	1	0.46	1.13 [0.41 - 3.17]
L4-4500	26	-	1	0.66	0.72 [0.44 - 1.20]
L5-2500	27	-	2	0.44	1.03 [0.55 - 1.95]
L5-3500	32	-	-	0.44	0.95 [0.29 - 3.12]
L5-4500	26	4	-	0.28	3.60 [0.58 - 22.28]

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428 Table 2. AIC-based model-ranking results for the analysis at the whole-area scale. Models  
 429 were fitted with program Distance using conventional distance sampling or, when litter-depth  
 430 was included, the multiple-covariates distance sampling (MCDS) engine.  $\Delta$ AIC shows the  
 431 difference between each candidate model and the model with the lowest AIC value. ‘D’ is the  
 432 estimate of nest density with 95% of confidence intervals based on bootstrap variance  
 433 estimation. Note there are only 8 (and not 12) models in this table because some adjustment  
 434 terms were not selected by the Distance adjustment algorithm; we ended up excluding hermit  
 435 polynomial adjustments for the half-normal functions and all adjustments terms for the  
 436 hazard-rate models.

Detection function	Adjustment terms	covariate	$\Delta$ AIC	Number of parameters	D [95% C.I.]
Hazard-rate	-	-	0	2	2.66 [0.87 – 6.52]
Half-normal	cosine	-	3.994	2	1.41 [0.99 – 1.79]
Half-normal	simple polynomial	-	4.050	3	1.26 [0.97 – 1.81]
Half-normal	cosine	litter depth	5.236	3	1.45 [0.92 – 2.07]
Half-normal	simple polynomial	litter depth	5.321	4	1.20 [0.86 – 1.62]
Hazard-rate	-	litter depth	7.016	3	1.43 [0.78 – 3.34]
Half-normal	-	-	14.893	1	0.89 [0.73 – 1.07]
Half-normal	-	litter depth	15.885	2	0.92 [0.71 – 1.19]

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439 **Figure legends**

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441 **Fig 1.** Map of the Reserva Ducke. Black diamonds represent the 210-m long sampled  
442 transects regularly distributed at every 1 km. The dotted lines represent the grid of trails.

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444 **Fig 2.** Distance between bait and nest entrance for the 15 most frequently detected  
445 species/morphospecies (A) and for all the colonies detected in this study (B). Panel A shows  
446 median (thick vertical line), 25 and 75% quantiles (boxes), and minimum-maximum values  
447 (horizontal lines) of distance per species. The number after each horizontal bars represent the  
448 number of nests encountered for each species. In panel B, the line shows the detection  
449 probability as a function of distance overlaid with the histogram of observed bait-nest  
450 distances. The histogram was scaled by dividing the number of colonies detected in each  
451 distance class by the distance midpoint of that class, in order to adjust for increasing area  
452 surveyed at increasing distances from the survey point.

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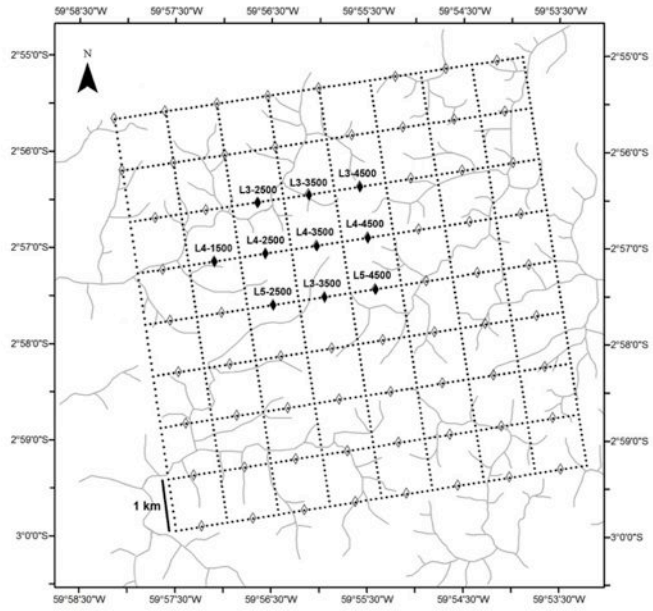
454 **Fig 3.** Species to nest density relationship showing the results from this study (empty circle)  
455 in the context of a linear regression model based on 12 published epigeic ant surveys in  
456 tropical forests (filled circles; see Table A2 - Supplementary Online Material for details).  
457 Dotted lines represent 95% confidence intervals for the regression model. Error bars on the  
458 white point represent the 95% CI for nest density. Please note, that the species density was  
459 estimated at site level.

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462 **Fig 1**

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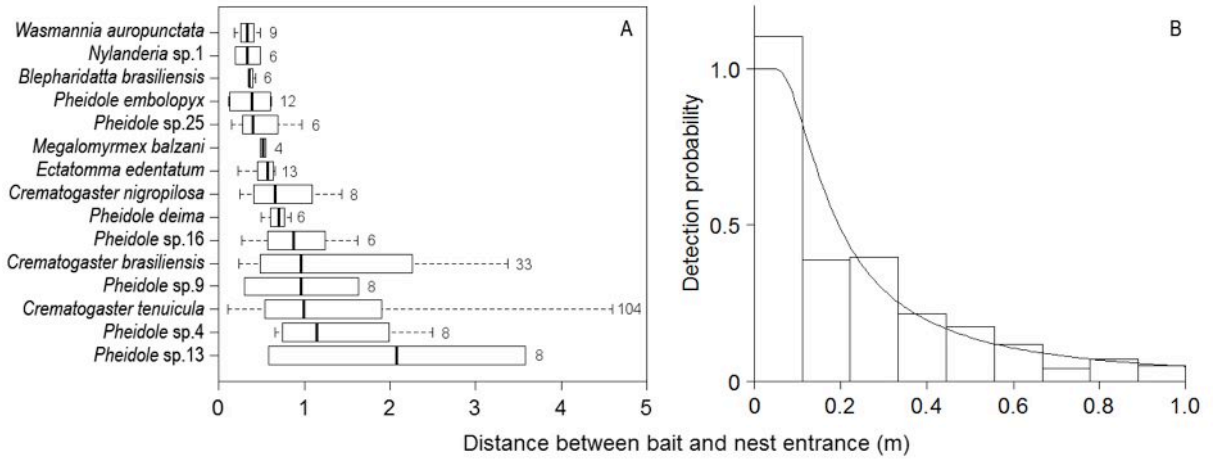
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467 **Fig 2**

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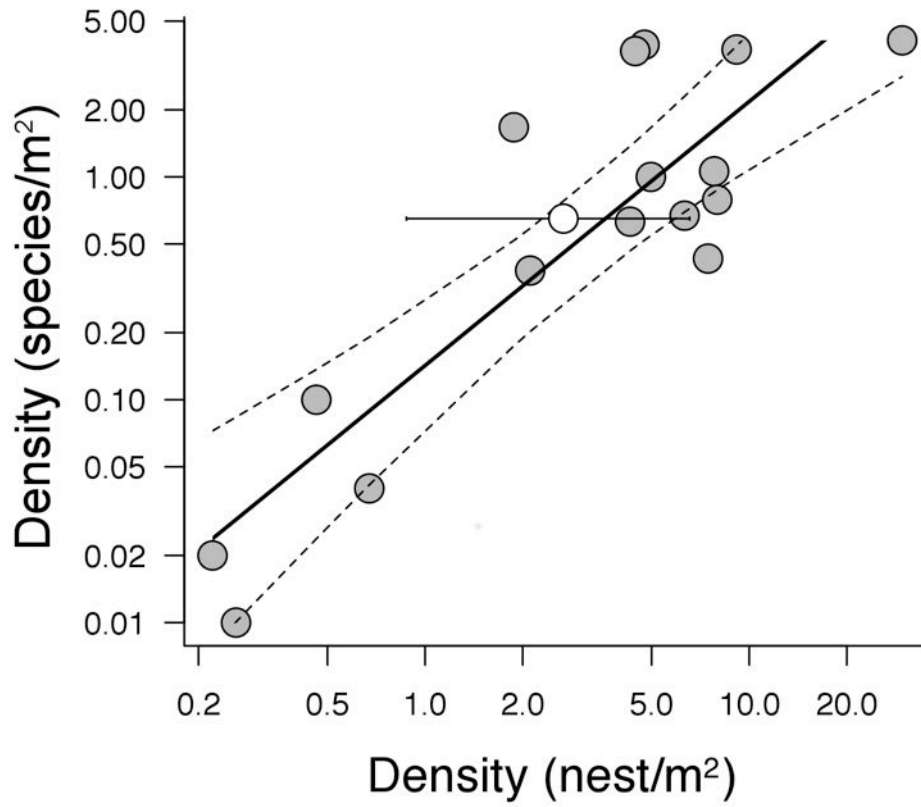
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472 **Fig.3**

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## Online Resource – Insectes Sociaux

### Estimating density of ant nests using distance sampling

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Table A1 - Frequency of nesting sites of the species sampled with sardine baits at Reserva Ducke forest, Amazon, Brazil.

Species	between leaves	hollow nut	stem-less palm litter	rotten wood (> 5 cm diameter)	twig (< 5 cm diameter)	Soil
<i>Blepharidatta brasiliensis</i> Wheeler						100
<i>Camponotus rapax</i> (Fabricius)				100		
<i>Crematogaster brasiliensis</i> Mayr	28.6		28.6	42.9		
<i>Crematogaster nigropilosa</i> Mayr	25.0			75.0		
<i>Crematogaster tenuicula</i> Forel	40.8		28.6	30.6		
<i>Ectatomma edentatum</i> Roger						100
<i>Ectatomma lugens</i> Emery						100
<i>Megalomyrmex balzani</i> Emery				50.0		50.0

Continued on next page

Table A1 - Frequency of nesting sites of the species sampled with sardine baits at Reserva Ducke forest, Amazon, Brazil. (continued)

Species	between leaves	hollow nut	stem-less palm litter	rotten wood (> 5 cm diameter)	twig (< 5 cm diameter)	Soil
<i>Nylanderia</i> sp.1	100					
<i>Nylanderia</i> sp.2	100					
<i>Odontomachus caelatus</i> Brown						100
<i>Odontomachus scalptus</i> Brown						100
<i>Pachycondyla crassinoda</i> (Latreille)						100
<i>Pheidole deima</i> Wilson						100
<i>Pheidole embolopyx</i> Brown						100
<i>Pheidole fracticeps</i> Wilson						100
<i>Pheidole meinerti</i> Forel				100		
<i>Pheidole</i> sp.1	25.0					75.0
<i>Pheidole</i> sp.10						100
<i>Pheidole</i> sp.12				100		
<i>Pheidole</i> sp.13				100		
<i>Pheidole</i> sp.16		33.3		33.3		33.3
<i>Pheidole</i> sp.2						100
<i>Pheidole</i> sp.23						100
<i>Pheidole</i> sp.24						100
<i>Pheidole</i> sp.25						100
<i>Pheidole</i> sp.25g				25.0		75.0

Continued on next page

Table A1 - Frequency of nesting sites of the species sampled with sardine baits at Reserva Ducke forest, Amazon, Brazil. (continued)

Species	between leaves	hollow nut	stem-less palm litter	rotten wood (> 5 cm diameter)	twig (< 5 cm diameter)	Soil
<i>Pheidole</i> sp.25p						100
<i>Pheidole</i> sp.3						100
<i>Pheidole</i> sp.4	25.0			25.0	25.0	25.0
<i>Pheidole</i> sp.5						100
<i>Pheidole</i> sp.6						100
<i>Pheidole</i> sp.7					100	
<i>Pheidole</i> sp.8	100					
<i>Pheidole</i> sp.9					100	
<i>Solenopsis</i> sp.1						100
<i>Wasmannia auropunctata</i> (Roger)			33.3			66.7

Table A2 - Study details, nest density and species density estimates for this study and 12 published tropical forest epigaeic ant surveys. Nest and species density estimates from this study are shown with their standard error (se); se for species density equals the Chao estimate se divided by the sampling area. Please note, that the species density was estimated at site level.

Location	Habitat surveyed	Level	Area sampled (m <sup>2</sup> )	Time spent in hours (m <sup>2</sup> /person)	Nest/m <sup>2</sup>	Species/m <sup>2</sup>	Reference
Barro Colorado, Panama <sup>a</sup>	Litter	assemblage	50	NA	8.14	1.08	Kaspari (1996)
Barro Colorado, Panama <sup>a</sup>	Litter	assemblage	54	NA	6.39	0.67	Kaspari (1996)
Barro Colorado, Panama	Soil / dead wood	population	380	0.6-1.18	0.67	0.04	Levings and Franks (1982)
Barro Colorado, Panama	Litter	assemblage	40	1	5.18	1.57	Shik and Kaspari (2010)
Barro Colorado, Panama <sup>b</sup>	Soil / litter	assemblage	30	NA	4.73	3.92	Kaspari et al. (2000)
BDFFP, Brazil	Twigs	assemblage	2880	NA	0.22	0.02	Carvalho and Vasconcelos (2002)
Ft Sherman, Panama <sup>b</sup>	Soil / litter	assemblage	30	NA	4.43	3.67	Kaspari et al. (2000)
La Selva, Costa Rica <sup>c</sup>	Litter	population	450	NA	0.26	0.01	Black II (1987)
La Selva, Costa Rica	Litter	assemblage	75	NA	7.43	0.43	Byrne (1994)
La Selva, Costa Rica <sup>a</sup>	Litter	assemblage	70	NA	4.47	0.63	Kaspari (1996)

*Continued on next page.*



Table A2 - Study details, nest density and species density estimates for this study and 12 published tropical forest epigaeic ant surveys. (continued)

Location	Habitat surveyed	Level	Area sampled (m <sup>2</sup> )	Time spent in hours (m <sup>2</sup> /person)	Nest/m <sup>2</sup>	Species/m <sup>2</sup>	Reference
La Selva, Costa Rica <sup>a</sup>	Litter	assemblage	28	NA	5.00	1.00	Kaspari (1996)
La Selva, Costa Rica	Litter	assemblage	180	0.83	2.10	0.38	McGlynn et al. (2009)
Monteverde, Costa Rica <sup>b</sup>	Soil / litter	assemblage	30	NA	1.87	1.67	Kaspari et al. (2000)
Tiputini, Ecuador	Litter	assemblage	756	0.9-2.25	0.46	0.10	Mertl et al. (2009)
Viçosa, Brazil	Soil / litter	assemblage	10	NA	29.50	4.10	Soares and Schoereder (2001)
Yasuni, Ecuador	Soil / litter	assemblage	30	NA	9.10	5.67	Kaspari et al. (2000)
Reserva Ducke, Brazil	Soil / litter	assemblage	81.4	0.27	2.66 ±0.54	0.65 ±0.13	this study

<sup>a</sup> nest density data of each site retrieved via package “digitize” in R (Poisot 2011).

<sup>b</sup> site-specific data kindly provided by M. Kaspari.

<sup>c</sup> mean of 3x100 m<sup>2</sup> permanent transects plus 6 x 25 m<sup>2</sup> temporary transects.

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## Capítulo 2

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Baccaro, F.B.; Souza, J.L.P. de; Franklin, E.; Landeiro, V.L. & Magnusson, W.E. 2012.  
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*Ecological Entomology* 37:1-12.

1 Type of manuscript: Original Article  
2 Running head: Ant dominance in Amazon Forests  
3

4 **Limited effects of dominant ants on assemblage species richness in three**  
5 **Amazon forests**

6  
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21 **ABSTRACT**

22 1- Ants are highly interactive organisms and dominant species are considered to be able to  
23 control the species richness of other ants via competitive exclusion. However, depending on  
24 the scale studied, interspecific competition may or may not structure biological assemblages.  
25 To date, ant dominance-richness relationships have only been studied in small sample units,  
26 where a few dominant colonies could plausibly control most of the sample unit.

27 2- We conducted a comprehensive survey of terrestrial ant assemblages using bait, pitfall and  
28 litter-sorting methods in three sites in Brazilian Amazonia. Using a spatially structured  
29 rarefaction approach, based on sampling units with linear dimensions ranging from 25 to 250  
30 m, we investigate the mesoscale patterns of ant dominance-richness relationships (sampling  
31 units covering hundreds of meters separated by kilometers).

32 3- Interference-competition models (parabolic or negative linear relationships between species  
33 richness and the abundance of dominant ants) tended to be more frequent in smaller sample  
34 units or in assemblages sampled with interactive methods, such as baits. Using more inclusive  
35 sampling methods, the relationship was generally asymptotic rather than parabolic, with no  
36 reduction in species diversity because of the presence of dominants. Random co-occurrence  
37 patterns of species within sites support the interpretation of a limited role for present-day  
38 competition in structuring these assemblages.

39 4- Competition from dominant species may reduce species richness in small areas, especially  
40 when artificial baits are used, but appears to be less important than environmental constraints  
41 in determining ant species richness across scales of hectares and greater in these Amazon  
42 forests.

43

44 **Key words:** behavioural dominance, competition, co-occurrence, numerical dominance,  
45 spatial scale, tropical forest.

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47

## 48 INTRODUCTION

49           The contribution of small and large scale process in structuring diversity remains a  
50 contentious topic in ecology. In some cases, the patterns emerge from small-scale  
51 deterministic interactions that generate assembly rules operating over small spatial and  
52 temporal scales (Brown *et al.* 2002; Chase & Leibold 2003; Ernest *et al.* 2008). In other cases,  
53 the pattern is the result of constraints or regional processes that occur over larger areas and  
54 through evolutionary time (Huston 1999; Rosenzweig & Ziv 1999; Ricklefs 2004; Harrison &  
55 Cornell 2008). While both local and larger-scale processes may influence community  
56 dynamics, different processes, acting at scales from local to regional, may interact to  
57 influence patterns of species diversity (Kaspari *et al.* 2003; Resetarits Jr 2005; Sanders *et al.*  
58 2007). For example, competitors can be positively associated at large spatial scales because a  
59 common resource is aggregated (Giller & Doube 1994; Inouye 2005; Schellhorn & Andow  
60 2005), but, at smaller scales, they may use behavior or microhabitat selection to avoid direct  
61 competition (Byers 1989; Albrecht & Gotelli 2001). Therefore, depending on the scale  
62 studied, interspecific competition may or may not appear to structure biological assemblages  
63 (Ellwood *et al.* 2009).

64           Ants are considered to be highly interactive organisms, with the capacity to alter the  
65 communities in which they occur (Room 1975; Hölldobler & Lumsden 1980; Porter &  
66 Savignano 1990; Holway *et al.* 2002). This extends to interactions among ant species, and  
67 dominant species may control the species richness of other ants in the community (Andersen  
68 & Patel 1994; Morrison 1996; Parr 2008). Dominant ants, defined as locally abundant and  
69 behaviorally dominant species that can monopolize concentrated food sources for short  
70 periods of time (Parr & Gibb 2010), can reduce the density of species over small spatial scales  
71 within the colony's foraging area (Andersen 1992; Andersen 1997; Parr *et al.* 2005). The  
72 number of species sampled by bait trapping in small plots often initially increases as the  
73 abundance of dominant species increases, probably due to passive sampling or an  
74 environmental-stress effect (Andersen 1992; Parr *et al.* 2005). This relationship breaks down  
75 at medium densities of dominants, presumably because dominant species reduce species  
76 richness when they are at higher densities (Savolainen & Vepsäläinen 1988; Andersen 1992;  
77 Morrison 1996; Punttila *et al.* 1996; Parr *et al.* 2005; Baccaro *et al.* 2010). Although dominant  
78 species attracted to baits may only affect the number of other species while the bait is present  
79 at that point in space (Andersen & Patel 1994; Gibb & Hochuli 2004; King & Tschinkel  
80 2006), similar patterns have been found for pitfall samples, suggesting that interference

81 competition may scale up to a higher assemblage level (Parr 2008).

82 Observations of behavioural dominance indicate that interference competition is  
83 common among ant assemblages over distances of tens of meters (Fellers 1987; Cerdá *et al.*  
84 1998; Bestelmeyer 2000; Albrecht & Gotelli 2001), however it does not necessarily follow  
85 that interference competition is an important determinant of ant communities at larger scales.  
86 Prediction of dominance-diversity relationships among regions is complicated by the effects  
87 of environmental variability. For example, the number of species could be limited by  
88 productivity (Kaspari *et al.* 2000), habitat complexity (Farji-Brener *et al.* 2004; Armbrecht *et al.*  
89 *al.* 2006), frequency of stressful conditions (Majer & Delabie 1994), regional species richness  
90 (Kaspari *et al.* 2003), anthropogenic disturbance (Gibb & Hochuli 2003; King & Tschinkel  
91 2008), as well as the interactions between ant species (Morrison 1996). To date, ant  
92 dominance-richness relationships have only been studied at small (Savolainen & Vepsäläinen  
93 1988; Andersen 1992; Perfecto & Vandermeer 1996; Parr 2008; Baccaro *et al.* 2010) or  
94 regional scales (Morrison 1996; Parr *et al.* 2005), all based on small sample units (70-100  
95 meters in largest dimension), where a few dominant colonies could plausibly control most of  
96 the sample unit. Although effects of interference competition may be important in structuring  
97 local assemblages, most community ecologists and land managers are interested in local  
98 effects that cover larger areas (hectares to dozens or hundreds of km<sup>2</sup>). Therefore, it is  
99 important to determine whether the relationships that have been reported for small plots can  
100 be extrapolated to larger areas.

101 In this study, we investigate the mesoscale patterns of ant dominance-richness  
102 relationships (sampling units covering hundreds of meters separated by kilometers) in three  
103 areas of Amazonian tropical forest that vary in topography, soils, climate and vegetation  
104 structure. Using a spatially structured rarefaction approach, based on sampling units with  
105 linear dimensions ranging from 25 to 225 m, we examined how the sampling-unit size and  
106 sample technique (baits, pitfalls and Winkler) alters the interpretation of these relationships.  
107 Independent of the exact form of the relationship, we predicted that evidence of interference  
108 competition (negative or unimodal relationship between abundance of dominants and  
109 subordinate species richness) will be less evident in larger sampling units and for methods  
110 (pitfalls and Winkler) that do not experimentally induce small scale-interactions.

111

## 112 MATERIAL AND METHODS

### 113 *Study Sites*

114 The study was conducted in three Brazilian Biodiversity Research Program (PPBio)  
115 sites. Two of them (Maracá Ecological Station, 3°22'N, 61°27'W and Viruá National Park,  
116 1°27'N, 61°01'W) are situated in forest reserves in Roraima State (extreme north of Brazil).  
117 The third (Reserva Ducke, 2°57'S, 59°56'W), is situated 25 km north of Manaus, central  
118 Amazonia (Fig. 1). The PPBio site at Viruá is located on low-lying plains subject to flooding,  
119 with some residual hills with moderate altitudes (elevation 48-130 m a.s.l.). The soil is  
120 predominantly sandy, poorly drained and the flood regime is similar to that of the Rio Branco  
121 River (RADAMBRASIL 1978), though most flooding is caused by local rainfall rather than  
122 the river. The Maracá site is located on an island in the Uraricoera River in Roraima State,  
123 which is at the confluence of savannas and the Amazon rainforest. The terrain is flat  
124 (elevation 55-83 m a.s.l.), with small intermittent streams. The site at Reserva Ducke is  
125 covered by relatively undisturbed upland (“terra-firme”) forest on moderately rugged terrain  
126 (elevation 50-120 m a.s.l.), with small perennial streams in valleys. The sites cover a  
127 latitudinal gradient in Amazonian forests and encompass wide environmental heterogeneity,  
128 including areas of open and closed savannas, dense forests, and areas subject to different  
129 degrees of seasonal flooding (Table S1).

### 130 *Sampling design*

131 Each site contains a grid of six regularly spaced north-south and six east-west trails.  
132 Each trail is 5 km-long, forming a 5 x 5 km grid. The east-west trails have five 250m-long  
133 plots that follow terrain contours (RAPELD method - Magnusson *et al.* 2005). To minimize  
134 researcher disturbance along the center lines, the vegetation is not cut, the contour line is  
135 marked with colored plastic tape, and an upright PVC tube is placed on the ground at each 10  
136 m interval to facilitate the collecting. As plot center lines follow the contours lines, variation  
137 in altitude within the plot is negligible, minimizing the effects of topographical variation on  
138 ant community structure. In this study, we used the plot center lines as transects along which  
139 we sampled ants. A total of 30 equidistant (1 km) transects were sampled per site (Fig. 1).

### 140 *Ant sampling*

141 We sampled ants along the 30 transects per site. In each transect, 10 sampling stations  
142 were established at 25-m intervals. Each sampling station consisted of 1 pitfall trap, a 1m<sup>2</sup>



143 litter sample (Winkler sacks) and 1 sardine bait. In total, 300 subsamples for each sampling  
144 technique (Winkler, pitfall, baits) were taken in each study site. These sampling methods are  
145 normally combined to describe diverse ant assemblages (Olson 1991; Bestelmeyer *et al.*  
146 2000), and the sample sequence was organized to minimize disturbances by one technique on  
147 the others.

148         At each sampling station, 1 m<sup>2</sup> of sifted litter was collected through a 1 cm<sup>2</sup> mesh,  
149 placed in Winkler sacks and hung in a room at ambient temperature (about 28 °C) for 2 days  
150 to extract the ants. A 9.5 cm internal-diameter pitfall trap, partially filled with 70% alcohol  
151 and a drop of detergent, was established adjacent (distant ~2 m) to each litter sampling point  
152 and left for 48 h. After removing the pitfall traps, approximately 5 g of canned sardine was  
153 placed on a plastic card (10 cm by 7 cm) on the litter surface, and after 45 minutes, all ants on  
154 the plastic card were collected and preserved in 70% alcohol. In order to minimize differences  
155 due to colony size and distance to the bait, and to facilitate comparisons with other studies,  
156 ants at baits were quantified on the six-point abundance scale proposed by Andersen  
157 (Andersen 1997): 1 = 1 ant; 2 = 2-5 ants; 3 = 6-10 ants; 4 = 11-20 ants; 5 = 21-50 ants; 6 > 50  
158 ants. The baiting and litter-sampling procedures were undertaken between 8:00 am and 17:00  
159 pm. Ducke site was sampled in September 2006 and Viruá and Maracá sites in February 2007,  
160 during the respective dry seasons.

161         The ants from the Winkler sacks, pitfall traps and bait samples were identified to  
162 species or morphospecies, using specialized papers and the reference collection in the  
163 Entomological Collection of the Instituto Nacional de Pesquisas da Amazônia (INPA,  
164 Manaus, Brazil). A full reference collection for this material is deposited in the INPA  
165 Entomological Collection. The raw data are available in the PPBio web site  
166 <http://ppbio.inpa.gov.br>.

### 167 *Defining dominant species*

168         We used a combination of numerical dominance (abundance at baits and species  
169 occurrence per site) and behavioural dominance (proportion of monopolized baits) to define  
170 the dominant species (Andersen 1992; Parr *et al.* 2005; Parr 2008). A bait was considered  
171 monopolized if there were >20 individuals of the same species using the resource without the  
172 presence of other ants. Dominant ant species were considered to be those that monopolized  
173 >25% of baits at which they occurred, and those with a mean abundance score (calculated by  
174 dividing the sum of the abundance score for the species at all baits per site by the number of  
175 baits at which the species was present) of > 3. The mean abundance score of each species

176 ranged from a possible minimum of 1 (always a single ant recorded whenever the species  
177 occurred) to a possible 6 (always >50 ants whenever the species occurred). These values were  
178 based on thresholds from previous studies, and our own field data (Andersen 1997; Parr *et al.*  
179 2005; Parr 2008; Baccaro *et al.* 2010).

#### 180 *Data analysis*

181         The number of subordinate species (defined as all non-dominant species) sampled by  
182 baiting, pitfalls and litter sorting per transect were individually regressed against the sum of  
183 abundance score of dominant species at baits, the abundance of dominant ant species in pitfall  
184 traps and the abundance of dominant species in litter samples in linear and nonlinear  
185 (logarithmic and quadratic) models. We used the number of subordinate species rather than  
186 the total number of species sampled, because the number of dominant species was correlated  
187 with the abundance of dominant species in all sampling techniques (baits: Pearson  $r = 0.478$ ,  
188  $p < 0.001$ , pitfall: Pearson  $r = 0.485$ ,  $p < 0.001$  and Winkler: Pearson  $r = 0.500$ ,  $p < 0.001$ ). If  
189 more than one of the models revealed a significant relationship, they were compared using  
190 model fit, Fisher statistic and residual analyses to check the error distribution and the  
191 suitability of the model. To investigate whether responses varied between sites, we tested  
192 which models (linear, quadratic and logarithmic) had a better fit within each site, and added  
193 site as a categorical variable in the best fit model for each sampling technique.

194         Litter samples from five transects at Ducke were damaged during transport. Therefore  
195 analyses for this site were based on the results for 30 transects sampled with sardine baits and  
196 pitfall traps, and for 25 transects sampled with the Winkler technique.

197         As the species found together in pitfall traps or in leaf-litter samples may have weak to  
198 no competitive interactions with dominant ants, we also used a subset of the subordinate  
199 species to investigate the effects of dominant species on a more interactive ant assemblage.  
200 The subset of subordinate species included all species sampled with baits that were obviously  
201 interacting with the dominant species, and the species sampled with the other methods that  
202 belong to the “Large sized epigaeic generalist predators”, “Medium-sized epigaeic generalist  
203 predators” and the “Generalists: generalized dolichoderines, formicines, and myrmicines”  
204 following the guild classification suggested by Silva & Brandão (2010). The subset of  
205 subordinate species that are more prone to interact with the dominant species was regressed  
206 against the sum of abundance of dominant ant species per transect in linear and nonlinear  
207 (logarithmic and quadratic) models. As we were interested in effects of interference  
208 competition at the assemblage level, we used the combination of Winkler and pitfall data for

209 this analysis. The subset of subordinate species is listed in the Table S2.

210 To provide further understanding of the role of sampling scale on the relationship  
211 between abundance of dominant ants and the number of subordinate species, we tested the  
212 linear, parabolic and asymptotic models after reducing the sample area (number of  
213 subsamples) for each transect using a spatially structured rarefaction approach. Each transect  
214 was initially composed by 10 subsamples with 25-m spacing, and in our rarefaction procedure  
215 we took randomly selected subsamples ranging from one to nine continuous sampling  
216 stations. For example, when selecting 3 subsamples we randomly sampled one of the  
217 subsamples and selected the two adjacent subsamples (one to the left and one to the right side,  
218 or both on one side of the subsample randomly sampled). In this example, at the end of the  
219 first round of permutations, we had a matrix composed of 3 continuous sampling stations for  
220 each transect. We tested the three models (linear, quadratic or logarithmic) between the  
221 number of species and the abundance of dominant ants per transect. It is important to note that  
222 this procedure keeps the original identity of the transect, so the number of subordinate species  
223 was only regressed against the abundance of dominant ants in the same transect. We ran this  
224 procedure 1,000 times for each subsample size (ranging from 1 to 9 sampling units) and for  
225 each sampling technique separately. We compared the three models for each subsample size  
226 using Akaike's Information Criterion (AIC). This technique was used to evaluate which of the  
227 three models had a better fit for a given subsample size. We plotted the percentage of better-  
228 fit linear, asymptotic and quadratic models from the spatially structured randomizations  
229 against the number of subsamples per transect. All analyses were undertaken using R software  
230 (R Development Core Team 2008).

231 We tested for nonrandom patterns of species co-occurrence to evaluate if deterministic  
232 assembly rules, such as those resulting from competition, may be occurring at the site scale  
233 (Gotelli 2000). The subset of the species pool included the subordinate species selected for the  
234 dominance-richness models and the dominant species. A presence-absence matrix was  
235 produced where each row was a different species, and each column was a different transect  
236 within that study site, for each sampling technique and for the subset of species pool. As the  
237 Viruá site had a mixture of closed and open vegetation types that may be a strong structuring  
238 factor for ant assemblages (see Table S1), the patterns of species co-occurrence within the  
239 vegetation types (forest, open and closed savannas) were also investigated. The C-score,  
240 which measures the tendency for species to segregate in space, was used to quantify co-  
241 occurrence (Stone & Roberts 1990). A larger C-score than that of a randomly assembled  
242 community is expected if a community is structured by competition. For each presence-

243 absence matrix, 5000 random matrices were produced by permutation using a fixed algorithm  
244 that retains the row and column sums of the original matrix (Gotelli 2000). All null-model  
245 analyses were conducted with Ecosim Version 6.0 (Gotelli & Entsminger 2001), which  
246 calculated the C-score values for each randomly permuted matrix, and then determined the  
247 probability of encountering the observed matrix by comparing it with the simulated values. In  
248 contrast to the regression analyses, co-occurrence analysis does not account for differences in  
249 abundances in transects (Gotelli 2000). Therefore, this analysis avoids the possibly circular  
250 argument of including species relative densities as a part of the definition of dominance and as  
251 a measure of co-occurrence. In this regard, co-occurrence analysis is an alternative strategy to  
252 investigate the role of competition in structuring those assemblages that does not focus only  
253 on interference competition.

254

## 255 **RESULTS**

256 A total of 123 ant species was recorded at baits with 58, 59 and 68 species sampled at  
257 Viruá, Maracá and Ducke sites, respectively. Eight species, belonging to four genera  
258 (*Crematogaster*, *Pheidole*, *Solenopsis* and *Wasmannia*), were identified as dominant species  
259 because they were responsible for most bait monopolization (66 %), had mean abundance  
260 scores > 3, and were recorded in more than 5% of baits within sites (Table 1). As we used a  
261 classification within sites, some dominant species did not reach all dominance threshold levels  
262 in one or two sites (*i.e.* percentage of monopolized baits of *Crematogaster brasiliensis* Mayr  
263 at Ducke, or percentage of baits with *C. limata* Smith at Maracá). However, despite the  
264 geographical coverage of this study, the dominant species classifications for the more forested  
265 areas of Ducke and Maracá were similar. The relative environmental similarity between  
266 Ducke and Maracá sites was also reflected in other dominance metrics. Around half of the  
267 baits offered were monopolized at Ducke and Maracá (56% and 43%, respectively), while  
268 only 20% of baits were monopolized at Viruá. Only 9% and 10% of baits were not visited  
269 after 45 minutes of exposure at Ducke and Maracá, respectively, but approximately 29% of  
270 baits were not visited during the bait session at Viruá. In general, dominant species  
271 represented 67% of the individuals sampled with baits, but only 25% and 46% of individuals  
272 sampled with pitfall and Winkler sacks, respectively.

273 Although behavioural dominance between all possible pairs of species was not tested  
274 directly, the dominant species were consistently more abundant than subordinate species at

275 baits which were not monopolized (Table 2). The dominant species interacted with 75  
276 subordinate species, which represented approximately 60% of all species sampled with baits.  
277 Furthermore, we observed aggressive behaviors documented in previous studies for all  
278 dominant species (Clark *et al.* 1982; Risch & Carroll 1982; Longino 2003; Le Breton *et al.*  
279 2005). The workers of *Crematogaster limata*, *C. tenuicula* Forel and *C. brasiliensis* usually  
280 aggregate around the bait, raise and shake their gasters in the air and exude a droplet of  
281 venom. This behavior was normally directed towards other species that tried to get access to  
282 the bait. The workers of *Pheidole* species defended the bait by running around and over the  
283 bait, and biting workers of other species that tried to reach it. The workers of *Solenopsis*  
284 *geminata* (Fabricius) used a mixture of those behaviors. They usually ran around the bait  
285 keeping their gaster close to the ground, probably depositing pheromone. The smaller  
286 dominant species, *Wasmannia auropunctata* (Roger), normally monopolized the bait by  
287 massive recruitment and defending the position by crouching close to the ground with the  
288 antennae and legs close to the body.

289 Subordinate species were those with no mass recruitment behavior (*e.g.* *Ectatomma*  
290 *lugens* Emery and *Pachycondyla constricta* (Mayr)), or species with monopolization abilities,  
291 but low occurrences (*e.g.* *Ochetomyrmex semipolitus* Mayr and *Pheidole* sp. 25). As we used  
292 a combination of numerical and behavioural dominance, species with recruitment and  
293 monopolization abilities but low occurrences were classified as subordinate. The potential  
294 behavioural displacement of those species was restricted to small portions of the forest floor  
295 and they probably interact with fewer species compared to those we classified as dominants.

296 A total of 301 species, and 39,166 individuals were collected in pitfall traps (129, 195  
297 and 209 species recorded in the Viruá, Maracá and Ducke reserves, respectively). The number  
298 of species that occurred in more than one site was relatively high, varying from 40% between  
299 Viruá and Ducke to 58% between Viruá and Maracá in pairwise comparisons. Litter sorting  
300 (Winkler samples) collected 5,333 individuals and 130 species (22, 35, 108 species recorded  
301 in the Viruá, Maracá and Ducke sites, respectively). The assemblage sampled by litter sorting  
302 had less overlap between sites than pitfall traps, varying between 13% between Viruá and  
303 Ducke to 34% between Viruá and Maracá in pairwise comparisons.

#### 304 *Dominance–Richness relationships*

305 The relationship between the abundance of dominant ants and the number of  
306 subordinate species at baits across the three sites (Fig. 2) was better described by a unimodal  
307 curve ( $y = -0.002x^2 + 0.108x + 6.275$ ) than linear or asymptotic relationships (Table 3).

308 However, at the assemblage level, data from pitfall traps, litter sorting and the subset of the  
309 subordinate species (Fig. 2) indicated that the relationship between the abundance of  
310 dominant ants and species richness across all sites was better described by an asymptotic  
311 relationship [ $y = 18.622 + 3.368 \cdot \log(x + 1)$ ,  $y = 0.866 + 3.600 \cdot \log(x + 1)$  and  $y = 7.069 +$   
312  $3.716 \cdot \log(x + 1)$ , respectively] than linear or quadratic fits (Table 3).

313 Site and abundance of dominant ants had significant effects on subordinate species  
314 richness for all sampling techniques, but no interaction between the explanatory variables was  
315 detected (Table S3). Within sites, the relationship between dominant and subordinate species  
316 sampled with pitfall traps at Maracá was better described as asymptotic ( $r^2 = 0.257$ ;  $F_{1,28} =$   
317  $9.683$ ,  $P = 0.004$ ) than linear or unimodal ( $r^2 = 0.125$ ;  $F_{1,28} = 3.999$ ,  $P = 0.055$  and  $r^2 = 0.239$ ;  
318  $F_{1,27} = 4.258$ ,  $P = 0.024$ , respectively). Similarly, the relationship between dominant species  
319 and the subset of subordinate species that are more prone to interact with the dominant ants in  
320 Viruá was also better described as asymptotic ( $r^2 = 0.168$ ;  $F_{1,28} = 5.683$ ,  $P = 0.024$ ) than linear  
321 or unimodal ( $r^2 = 0.135$ ;  $F_{1,28} = 4.377$ ,  $P = 0.045$  and  $r^2 = 0.2$ ;  $F_{1,27} = 3.378$ ,  $P = 0.049$ ,  
322 respectively). Ducke was the only site that did not show significant relationships (linear,  
323 quadratic or logarithmic) between subordinate and dominant species sampled for any method.

324 The quadratic relationship between abundance of dominant species and number of  
325 subordinate species sampled with baits had a better fit in models that used 6 or more  
326 continuous subsamples within a transect ( $> 125$  m). As the sampling unit was reduced, the  
327 linear model tended to have a better fit (Fig. 3). In cases where the size of the sample unit  
328 ranged from 25 to 100 meters, the linear relationship between number of subordinate species  
329 and abundance of dominant species was negative in 8,985 of 9,000 randomizations (99.8%).  
330 For bait data, the asymptotic model always provided the poorest fit for any size of sampling  
331 unit.

332 The relationships between number of subordinate species and abundance of the  
333 dominant species for pitfall and Winkler data varied similarly at the same subsample sizes. In  
334 both methods, the asymptotic model always fitted best (Fig. 3). However, small samples had a  
335 high proportion of simulations in which the quadratic model fit better. The linear model had  
336 the poorest fit for pitfall and Winkler data for all subsample sizes.

337 The asymptotic models always showed the best fit for the relationship between the  
338 subset of subordinate species and abundance of the dominant species. However, in this case,  
339 the quadratic model had the poorest fit, and, as the sampling unit was reduced, the frequency  
340 in which the linear model had a better fit increased (Fig. 3). In contrast to bait data, the linear  
341 relationship between number of species in the subset of subordinates and abundance of

342 dominant species was positive in 8,768 of 9,000 randomizations (97.4%), showing a similar  
343 trend to the asymptotic model.

344 Co-occurrence analyses within sites indicated random co-occurrence patterns (Table  
345 4). Only the Viruá assemblage sampled with pitfalls and the subset of the species pool had  
346 significantly less co-occurrence than expected by chance. However, within the three  
347 vegetation types at Viruá (forest, open savanna and closed savanna), co-occurrence patterns  
348 were not significantly different from random (Table 4).

349

## 350 **DISCUSSION**

351 The relationships between dominance and number of subordinate species differed  
352 between baits and other sampling techniques. As in other studies, bait data showed a  
353 unimodal relationship, where highest species richness occurs at intermediate dominance  
354 levels, and as the abundance of dominant ants increases, species richness declines (Andersen  
355 1992; Parr *et al.* 2005). Recently, Parr (2008) found the full unimodal dominance-richness  
356 relationship with pitfall-trap data, suggesting that the processes found at baits may sometimes  
357 extend to the larger pool of species with which the dominant species are likely to interact.  
358 However, using pitfall, litter-sorting data, and a subset of the species pool that are more prone  
359 to interact with dominant species, we found an asymptotic relationship between abundance of  
360 dominant ants and number of subordinate species. These models did not show the descending  
361 portion of the dominance-richness relationship normally attributed to competitive exclusion of  
362 subordinate by dominant species (Savolainen & Vepsäläinen 1988; Morrison 1996; Parr  
363 2008), suggesting that interference competition does not have a strong effect in these  
364 Amazonian forests.

365 Different sampling techniques may lead to contradictory conclusions regarding the  
366 role of interspecific competition in these Amazon forests. Our data for more inclusive  
367 sampling techniques suggest that the abundance of dominant ants has little effect on the  
368 number of species in ant assemblages. In contrast, using data collected with baits, which  
369 induce interactions between colonies, interference-competition models between abundance of  
370 dominant ants and species richness fitted better than alternative models for all scales studied.  
371 Bait data may have limited relevance to conclusions about competition among ant species at  
372 the population level (Ribas & Schoereder 2002). Baits mainly attract omnivorous species  
373 (Bestelmeyer *et al.* 2000) that normally forage more intensely for closer (Davidson 1998) and

374 better-quality resources (Kay 2004). The bait density also may change the strength of  
375 interference competition, facilitating the co-occurrence of species in areas where resources are  
376 more dispersed (Lester *et al.* 2010). Furthermore, as baits represent only momentary  
377 interactions, differences in foraging time or microhabitat selection that avoid direct  
378 competition may be underestimated (Cerdá *et al.* 1997; Albrecht & Gotelli 2001).  
379 Consequently, as many mechanisms facilitating co-occurrence may be operating at the same  
380 time, the observation of displacement of subordinate by dominant species at artificial baits  
381 does not necessary imply that these species compete strongly at the population level  
382 (Andersen & Patel 1994; Ribas & Schoereder 2002).

383         The area sampled may explain part of the variation and the poor fit of the correlation  
384 between abundance of dominant species and the number of subordinate species sampled at  
385 baits. Larger sampling units are expected to be more heterogeneous, favoring patchy  
386 distributions of dominant species. Therefore, high or low numbers of subordinate species at  
387 baits may occur, especially in transects with low abundance of dominants, and the variation in  
388 the number of subordinate species seems to be constrained in transects with high abundance  
389 of dominants probably as a result of stronger interference competition. In bait samples, as the  
390 number of subsamples becomes smaller, the behavioural effect of the dominant species  
391 becomes larger. In models using samples covering less than 100 meters in the largest  
392 dimension, linear relationships (99.7% negative) are more common than the unimodal  
393 models, suggesting a stronger effect of interference competition between dominant and  
394 subordinate species. These results probably are influenced by the colony sizes of dominant  
395 species. Nests of *Crematogaster tenuicula* or *Wasmannia auropunctata*, both classified as  
396 dominant species in this study, may cover distances of 30 m or more. These species increase  
397 their foraging area by building polydomous nests, in which the colonies have several nesting  
398 sites (Clark *et al.* 1982; Longino 2003). Therefore, competitive exclusion is expected to be  
399 high within foraging areas of these colonies.

400         However, the effect of the competitive exclusion within foraging areas of dominant  
401 species was not detected in more inclusive sampling techniques, even for smaller sampling  
402 units. The probability of detecting a quadratic relationship between abundance of dominant  
403 ants and number of subordinate species was greater in smaller sampling units, but non-  
404 competitive models always had the best fit for pitfall, Winkler data, and for the subset of  
405 subordinate species. In contrast to bait data, most of the linear relationships between the  
406 subset of subordinate species and the abundance of dominant ants were positive (97.4%).  
407 Although, dominant species may decrease the fitness and the abundance of subordinate



408 species at the colony level (Savolainen 1990; 1991), relatively short-term manipulative  
409 experiments (2 - 12 months) suggested a limited role of competition between dominant and  
410 other ant species at the population level (Andersen & Patel 1994; Gibb & Hochuli 2004). The  
411 exclusion of a dominant species did not change the abundance of other species (King &  
412 Tschinkel 2006) or led to changes only in the abundance of behaviourally and ecologically  
413 similar species (Gibb & Hochuli 2004; Gibb 2005; but see King & Tschinkel 2008).

414 Co-occurrence analyses that did not account for differences in abundances, indicated  
415 random co-occurrence patterns in all sites for all sampling techniques. The structured co-  
416 occurrence matrix for Viruá pitfall data, and for the subset of the ant assemblage with  
417 potentially frequent interactions with dominants, probably reflects the high environmental  
418 variability within this site. High heterogeneity may constrain co-occurrence of some species  
419 due to environmental requirements, and result in a structured matrix (Simberloff & Martin  
420 1991; Wright *et al.* 1998). Some species may be associated with different environmental  
421 features of the sampling units, which lead to less co-occurrence than expected by chance.  
422 When the Viruá transects were grouped by vegetation type, the co-occurrence matrix did not  
423 differ from randomly generated matrices, suggesting that, for this highly stressful site,  
424 environmental constraints may be more important than present-day interference competition  
425 among dominant and subordinate ant species. We can think of two plausible alternatives to  
426 explain why we find evidence of limited effects of dominant ants on assemblage species  
427 richness in these forests: (i) the dominant species are not truly dominant; and (ii) the  
428 environmental gradient is not long enough to show the full unimodal relationship.

429 At a global scale, the dominant species in this study can be classified as Generalized  
430 Myrmicinae, which recruit quickly and defend clumped food resources, but usually present  
431 submissive behavior when confronted by “Dominant Dolichoderinae” species (Andersen  
432 1997). In the Neotropics, species of the Dominant Dolichoderinae are generally restricted to  
433 the canopy or open environments rather than the floor of tropical forests (Andersen 2000).  
434 However, the eight Generalized Myrmicinae species that were responsible for most bait  
435 monopolization, were more abundant than subordinate species at baits, and the observed  
436 aggressive behaviors suggest that those species are behaviourally dominant rather than better  
437 resource discoverers. The negative relationships between dominance levels and the number of  
438 subordinate species in smaller sampling units using bait data, also suggests competitive  
439 exclusion of subordinate by dominant species. Although the level of behavioural dominance  
440 may be relatively lower, the species classified as dominant in these Amazon forests, appear to  
441 play a similar role to Dominant Dolichoderinae in other regions.

442           The full relationship between dominant ants and species richness is better detected  
443 across a wide range of environments that covers different stressful conditions and productivity  
444 for ants (Andersen 1992; Parr 2008). Partial sampling may only produce part of the  
445 relationship, such as the descending portion of the curve (Baccaro *et al.* 2010), or the  
446 ascendant part of the relationship, normally attributed to a combination of species-frequency  
447 distributions and environmental stress (Parr *et al.* 2005). As predicted, the full relationship  
448 was apparent for pitfall data at Maracá and for the subset of subordinate species at Viruá,  
449 probably because those sites combine areas with low to high levels of stressful conditions for  
450 ants. However, in Maracá, the number of subordinate species did not decrease as the  
451 abundance of the dominant ants increased. Instead, the number of subordinate species  
452 increased slowly as abundance of dominant species increased. The same positive correlation  
453 between abundance of dominants and subordinate species was found at the Viruá site, but the  
454 amplitude of both variables was smaller. The high environmental stress experienced by ants at  
455 Viruá, such as flooding during the wet season and high desiccation risk during the dry season,  
456 may be responsible for the reduced abundance of ants, including dominant species sampled by  
457 non-interactive methods. In more favorable environments (i.e. less seasonal flooding and  
458 relatively short dry season), such as the Ducke site, the abundance of dominant species with  
459 pitfall and Winkler data was more than 2 and 10 times higher than in Viruá, respectively.  
460 Despite the fact that the three sites had a wide range of natural variation in tree density,  
461 rainfall regimes and dry-season length, there was little evidence for interference competition  
462 structuring assemblage richness within and between sites.

463           Overall, our results are consistent with a limited role of competition between dominant  
464 and subordinate ant species in these Amazon forests. Although some support for competition  
465 was found for bait data, most of our results suggest that both dominant and subordinate  
466 species were probably responding similarly to changes in abiotic conditions (more evident at  
467 Viruá site). The positive correlation between the abundance of dominant species and  
468 subordinate species richness reported here has been detected previously in a meta analysis  
469 across an environmental gradient of stressful conditions for ants in Australia (Andersen 1995).  
470 However, a novel feature of our results is that environmental constraints may be more  
471 important than interference competition from small to large sampling units, across scales of  
472 tens to hundreds of hectares in these Amazon forests. Further studies are needed to identify  
473 which environmental factors decrease diversity and abundance of ants in these forests, and to  
474 investigate how they operate across different spatial scales.

475

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650 **Figure legends**

651 **Fig 1** Map of the study region. Squares represent the three sites sampled. In the detail figure,  
652 the black circles represent the 250m transects spatially arranged in a 5 x 5km square grid.

653

654 **Fig 2** Relationship between the abundance of dominant ants and number of subordinate  
655 species across three sites at Central Amazonia, using baits, pitfalls, Winkler data and subset of  
656 subordinate species that are more prone to interact with dominant ants. The subset of  
657 subordinate species used data from pitfall and Winkler sampling techniques combined. Gray  
658 circles represent Viruá transects, black circles Maracá transects, and open circles Ducke  
659 transects.

660

661 **Fig 3** Percentage of better-fit linear, asymptotic and quadratic models applied to data from  
662 1,000 spatially-structured randomizations for each subsample, using baiting, pitfall trap,  
663 Winkler data and for a subset of subordinate species that are more prone to interact with  
664 dominant ants. The subset of subordinate species used data from pitfall and Winkler sampling  
665 techniques combined. The subsamples were distributed along 225 m and spaced 25-m apart.

666

667

668 Table 1. Occurrence of dominant and a summary of occurrence of some subordinate ants  
 669 species at baits in three Amazonian forests: Viruá, Maracá and Ducke. Abundance scale: 1 =  
 670 1 ant; 2 = 2-5 ants; 3 = 6-10 ants; 4 = 11-20 ants; 5 = 21-50 ants; 6 > 50 ants.

Species	Percentage of bait recorded			Mean Abundance Score			Percentage of baits monopolized		
	Viruá	Maracá	Ducke	Viruá	Maracá	Ducke	Viruá	Maracá	Ducke
<b>Dominant</b>									
<i>Crematogaster brasiliensis</i>	5.16	4.43	18.91	2.18	3.25	3.46	0.00	41.67	36.54
<i>Crematogaster limata</i>	4.23	1.48	9.09	2.33	2.50	3.24	11.11	75.00	24.00
<i>Crematogaster tenuicula</i>	8.45	8.49	52.00	1.89	3.00	3.71	11.11	30.43	45.45
<i>Pheidole sp. 13</i>	4.23	9.23	8.00	4.22	4.28	4.23	55.56	64.00	54.55
<i>Pheidole sp. A</i>	13.62	--	--	3.21	--	--	31.03	--	--
<i>Pheidole sp. Ptrm</i>	--	8.12	--	--	4.05	--	--	45.45	--
<i>Solenopsis geminata</i>	1.88	18.08	--	3.00	4.22	--	25.00	71.43	--
<i>Wasmannia auropunctata</i>	3.76	5.54	5.09	2.38	3.53	3.86	12.50	60.00	50.00
<b>Subordinate</b>									
<i>Ectatomma lugens</i>	5.82	8.36	0.73	1.25	1.35	1.00	0.00	0.00	0.00
<i>Ochetomyrmex semipolitus</i>	2.55	3.64	--	2.71	4.20	--	14.29	50.00	--
<i>Pachycondyla constricta</i>	1.09	1.09	0.73	1.33	1.33	1.50	0.00	33.33	0.00
<i>Pheidole sp. 25</i>	--	7.01	1.09	--	5.00	3.00	--	66.67	21.05

671

672

673 Table 2. Summary of interspecific encounters between dominant and subordinate species at  
 674 baits in three sites at Central Amazonia.

Dominant species	Number of baits (%)		Total encounters	Number of species interacting
	Subordinate > double of dominant	Dominant > double of subordinate		
<i>Crematogaster brasiliensis</i>	13 (25.49)	26 (50.98)	51	30
<i>Crematogaster limata</i>	3 (21.43)	8 (57.14)	14	12
<i>Crematogaster tenuicula</i>	31 (25.00)	52 (41.94)	124	50
<i>Pheidole</i> sp. 13	2 (8.70)	6 (26.09)	23	14
<i>Pheidole</i> sp. A	4 (19.05)	11 (52.38)	21	11
<i>Pheidole</i> sp. Ptrm	4 (23.53)	13 (76.47)	17	10
<i>Solenopsis geminata</i>	20 (41.67)	28 (58.33)	48	28
<i>Wasmannia auropunctata</i>	8 (30.77)	18 (69.23)	26	16

675

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677

678

679 Table 3. Models for the effects of abundance of dominant species on number of subordinate  
 680 ant species across three sites (Viruá, Maracá and Ducke) at Central Amazonia.

Methods	Linear		Quadratic		Logarithmic	
	$r^2$	$F$	$r^2$	$F$	$r^2$	$F$
Bait	0.00	0.81	0.07*	3.36	0.00	0.32
Pitfall	0.28***	33.96	0.34***	22.38	0.42***	65.52
Winkler	0.37***	49.68	0.60***	61.01	0.65***	157.2
Subset of species pool	0.17***	17.41	0.30***	17.72	0.36***	46.98

681 \*\*\*  $P < 0.001$ ; \*  $P < 0.05$

682

683 Table 4. Patterns of species co-occurrence for ant assemblages in three Amazonian Reserves,  
 684 and by vegetation type in the PPBio grid at Viruá National Park using bait, pitfall, litter  
 685 sorting (Winkler) data and a subset of the total species pool that are known or assumed to  
 686 interact with the dominant species.

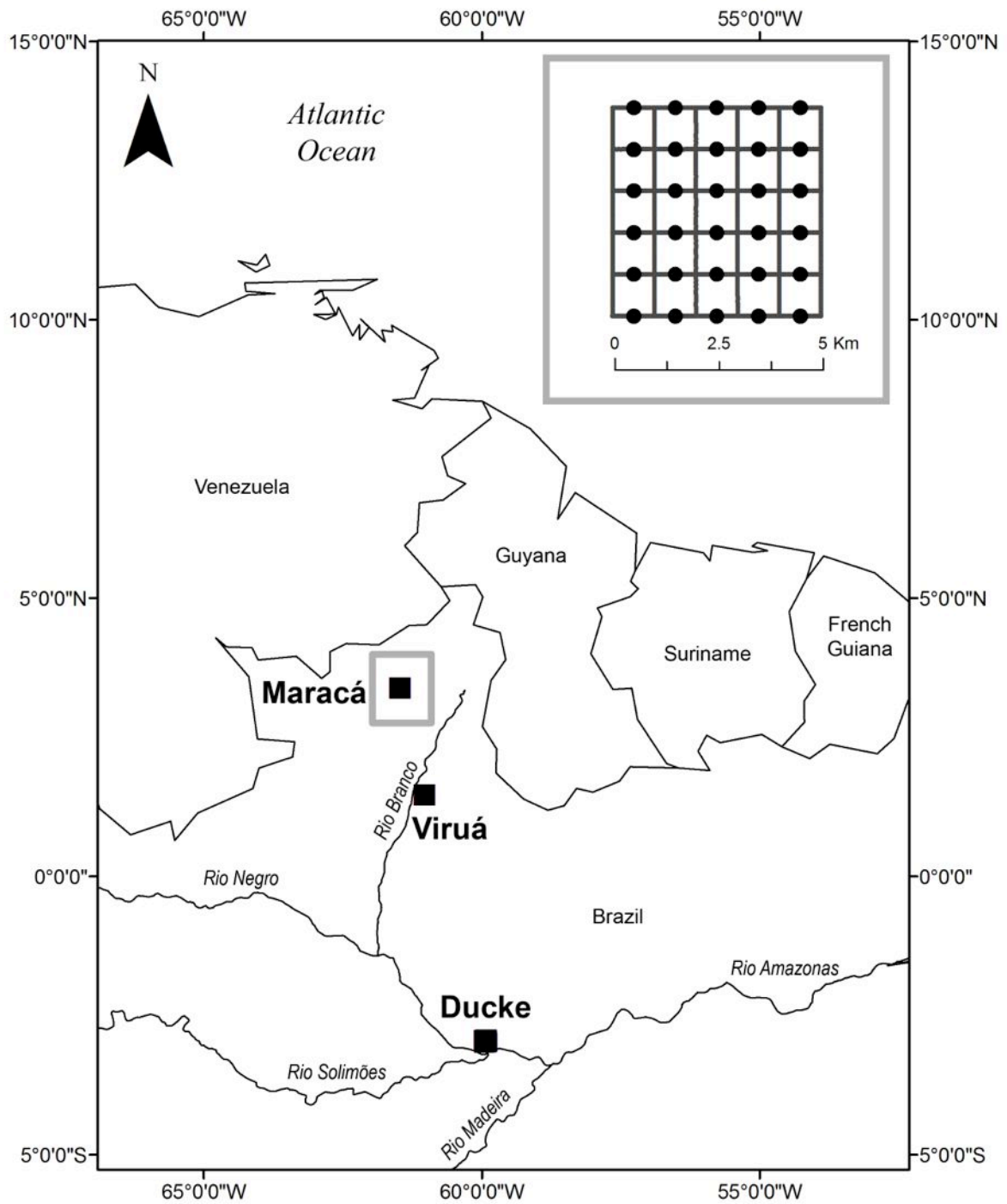
Sites	Bait		Pitfall		Winkler		Subset of species pool	
	C-score	C-score	C-score	C-score	C-score	C-score	C-score	C-score
	obs.	exp.	obs.	exp.	obs.	exp.	obs.	exp.
Viruá	7.099	7.150	10.217*	10.116	2.341	2.366	11.996***	11.865
Forest	4.226	4.263	5.86	5.821	1.467	1.531	6.290	6.273
Closed savanna	1.061	1.060	0.844	0.854	0.200	0.200	0.927	0.939
Open savanna	0.769	0.818	0.984	0.962	--- <sup>a</sup>	--- <sup>a</sup>	0.894	0.856
Maracá	11.159	11.199	9.872	9.907	5.398	5.415	13.134	13.107
Ducke	7.414	7.401	10.022	10.001	6.204	6.246	11.088	11.071

687 \* P < 0.05; \*\*\*P < 0.001

688 <sup>a</sup> All open savanna transects shared the same species.

689

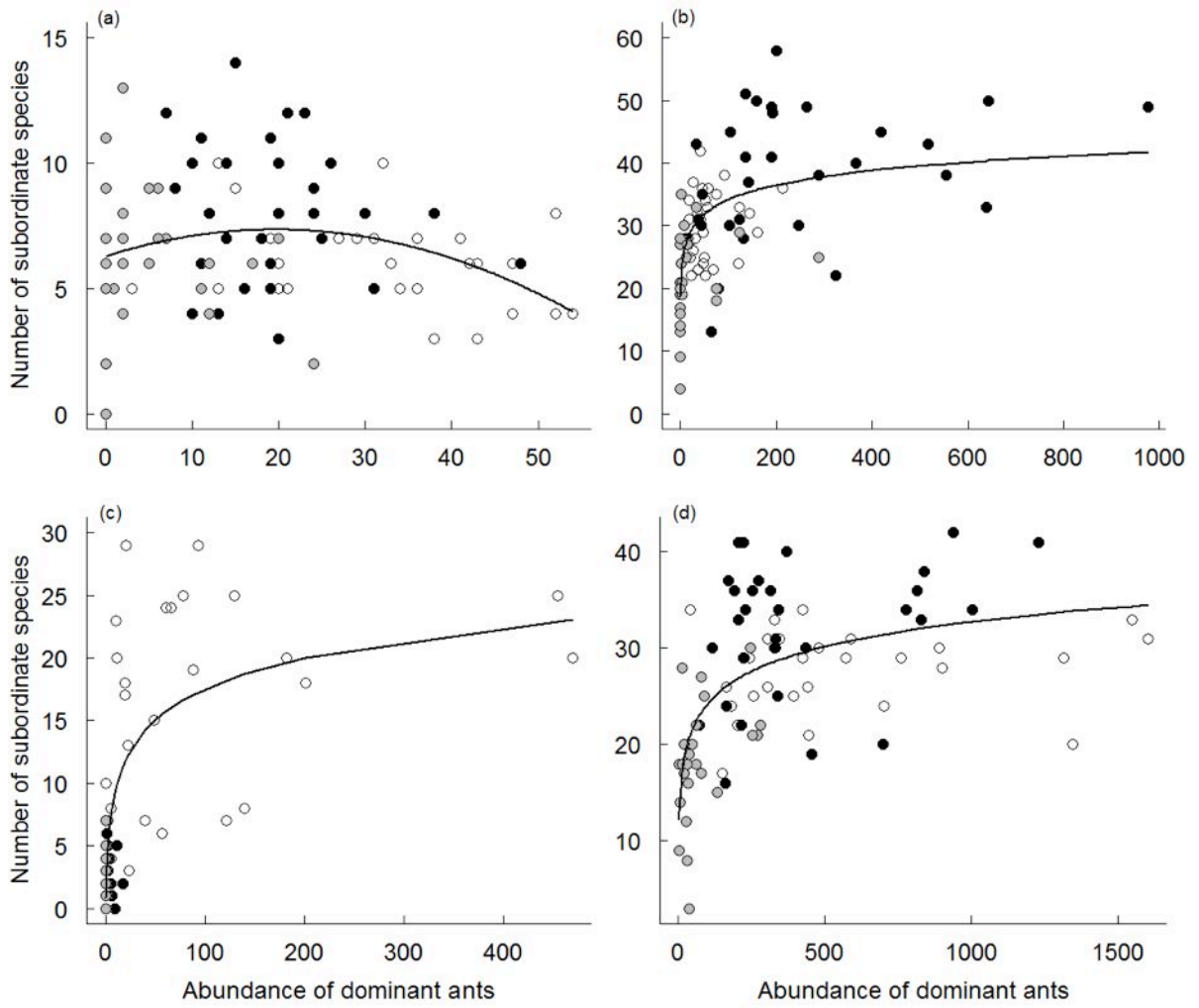
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**Figure1**

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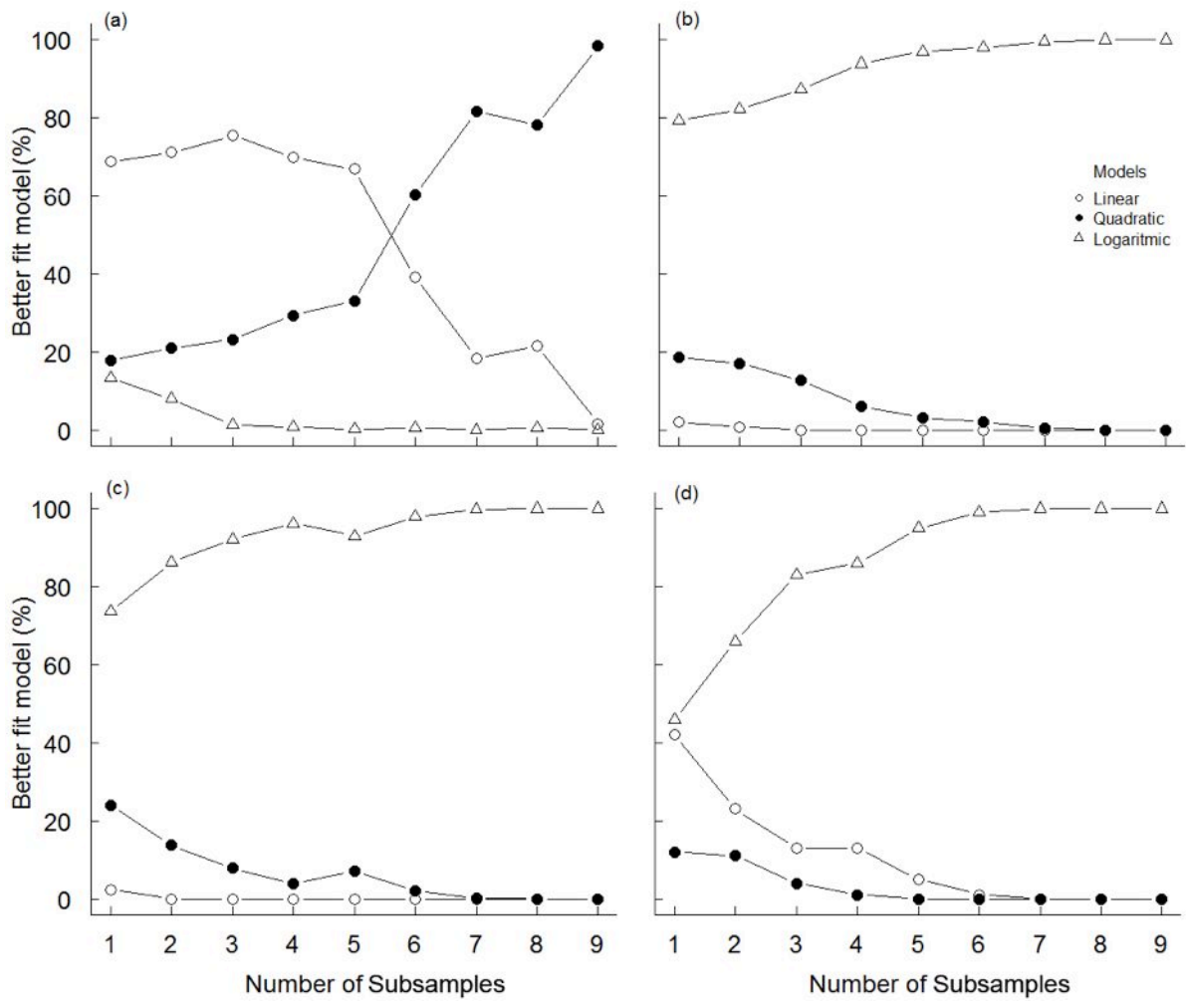
698

699

700

701 **Figure 2**

702



703  
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 705  
 706  
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 708  
 709

**Figure 3**



710 **SUPPLEMENTARY MATERIAL**

711 Table S1- Type of vegetation, vegetation density, soil characteristics, rainfall, stream  
 712 seasonality and flooded area (mean  $\pm$  SE) in the three sites (Vuruá, Maracá and Ducke) in the  
 713 Brazilian Amazon.

	Vuruá	Maracá	Ducke
Vegetation types	open and closed savannas, and open forest	open savannas and semi-deciduous terra-firme forest	terra-firme forest
Tree basal area DHB > 1cm (m <sup>2</sup> /hectare)	20.17(9.45)	27.65 (3.96)	32.07 (2.79)
Soil characteristics (percentage) <sup>a</sup>			
Clay	19.8 (16.8)	8.6 (3.3)	42.6 (36.3)
Silt	17.5 (5.9)	11.4 (5.3)	3.1 (1.8)
Sand	62.7 (18.7)	80.0 (7.4)	54.4 (36.8)
Mean annual rainfall (mm)	1,682 <sup>b</sup>	1,718 <sup>c</sup>	2,507 <sup>d</sup>
Number of dry months (<100 mm)	7	6	0
Rainfall intensity in the 3 drier months	48.6	50.9	116.5
Number of wet months (>300 mm)	0	2	2
Rainfall intensity in the 3 wettest months	284.6	303.5	329.4
Stream density			
Number of temporary streams	3	5	0
Number of perennial streams	0	0	7
Seasonal flooded area (%)	~60	~5	0

714 <sup>a</sup> soil data from 2004 for Reserva Ducke, and 2007 for Maracá and Vuruá sites

715 <sup>b</sup> based on time series of 1984-2004

716 <sup>c</sup> based on time series of 1979-2005

717 <sup>d</sup> based on time series of 1979-2008

718

719 Table S2- Subordinate species occurrence per sampling technique (+) included in the subset  
 720 of subordinate species. The subset of subordinate species included all species sampled with  
 721 baits, and the species that belong to the “Large sized epigaeic generalist predators”, “Medium-  
 722 sized epigaeic generalist predators” and the “Generalists: generalized dolichoderines,  
 723 formicines, and myrmicines” following the guild classification suggested by Silva & Brandão  
 724 (2010).

		Occurrence		
		Bait	pitfall	Winkler
<i>Azteca</i>	sp. 01	+	+	+
<i>Blepharidatta</i>	<i>brasiliensis</i>	+	+	+
<i>Brachymyrmex</i>	<i>heeri</i>	+	+	+
<i>Camponotus</i>	<i>atriceps</i>		+	
<i>Camponotus</i>	<i>crassus</i>	+	+	
<i>Camponotus</i>	<i>femoratus</i>	+		
<i>Camponotus</i>	<i>latangulus</i>	+		
<i>Camponotus</i>	<i>leydigi</i>		+	
<i>Camponotus</i>	<i>novogranadensis</i>	+	+	+
<i>Camponotus</i>	<i>rapax</i>	+	+	+
<i>Camponotus</i>	<i>retangularis</i>		+	
<i>Camponotus</i>	<i>sericeventris</i>	+	+	
<i>Camponotus</i>	sp. 02		+	
<i>Camponotus</i>	sp. 04	+	+	
<i>Camponotus</i>	sp. 05	+	+	
<i>Camponotus</i>	sp. 06		+	+
<i>Camponotus</i>	sp. 08		+	
<i>Camponotus</i>	sp. 10		+	
<i>Camponotus</i>	sp. 11		+	+
<i>Camponotus</i>	sp. 14		+	
<i>Crematogaster</i>	<i>curvispinosa</i>	+		
<i>Crematogaster</i>	<i>erecta</i>	+	+	+
<i>Crematogaster</i>	<i>evallans</i>		+	

<i>CreMATogaster</i>	<i>flavomicrops</i>		+	
<i>CreMATogaster</i>	<i>flavosensitiva</i>	+	+	+
<i>CreMATogaster</i>	<i>jardineiro</i>	+		
<i>CreMATogaster</i>	<i>levior</i>			+
<i>CreMATogaster</i>	<i>longispina</i>		+	
<i>CreMATogaster</i>	<i>nigropilosa</i>		+	+
<i>CreMATogaster</i>	<i>sotobosque</i>	+	+	+
<i>CreMATogaster</i>	sp. 01	+	+	
<i>CreMATogaster</i>	sp. 06		+	+
<i>CreMATogaster</i>	<i>stollii</i>		+	
<i>CreMATogaster</i>	<i>torosa</i>		+	
<i>Dolichoderus</i>	<i>bispinosus</i>	+	+	
<i>Dolichoderus</i>	<i>cf. atelaboides</i>	+	+	
<i>Dolichoderus</i>	sp. 01		+	
<i>Dolichoderus</i>	sp. 02		+	
<i>Dolichoderus</i>	sp. 03		+	+
<i>Dolichoderus</i>	sp. 05		+	
<i>Dolichoderus</i>	sp. 07	+		
<i>Dolichoderus</i>	sp. 08		+	
<i>Dolichoderus</i>	sp. 09		+	
<i>Dolichoderus</i>	sp. 10		+	
<i>Dolichoderus</i>	sp. 11		+	+
<i>Dolichoderus</i>	sp. 12		+	
<i>Dolichoderus</i>	sp. 13		+	
<i>Ectatomma</i>	<i>brunneum</i>	+	+	
<i>Ectatomma</i>	<i>edentatum</i>	+	+	+
<i>Ectatomma</i>	<i>lugens</i>	+	+	+
<i>Ectatomma</i>	<i>tuberculatum</i>	+	+	
<i>Gigantiops</i>	<i>destructor</i>	+	+	
<i>Linepithema</i>	fm-01	+		

<i>Megalomyrmex</i>	<i>balzani</i>	+	+	
<i>Megalomyrmex</i>	<i>drifti</i>		+	+
<i>Megalomyrmex</i>	<i>leoninus</i>	+	+	
<i>Megalomyrmex</i>	sp. 02	+	+	+
<i>Megalomyrmex</i>	sp. 04		+	+
<i>Megalomyrmex</i>	sp. 06		+	
<i>Nylanderia</i>	sp. 01	+	+	+
<i>Nylanderia</i>	sp. 02	+	+	+
<i>Nylanderia</i>	sp. 03	+	+	+
<i>Nylanderia</i>	sp. 04	+	+	
<i>Ochetomyrmex</i>	<i>semipolitus</i>	+	+	+
<i>Odontomachus</i>	<i>bauri</i>	+	+	+
<i>Odontomachus</i>	<i>brunneus</i>		+	
<i>Odontomachus</i>	<i>caelatus</i>	+	+	+
<i>Odontomachus</i>	<i>haematodus</i>	+	+	
<i>Odontomachus</i>	<i>laticeps</i>		+	
<i>Odontomachus</i>	<i>meinerti</i>	+	+	+
<i>Odontomachus</i>	<i>opaciventris</i>		+	+
<i>Odontomachus</i>	<i>scalptus</i>		+	+
<i>Pachycondyla</i>	<i>apicalis</i>	+		
<i>Pachycondyla</i>	<i>arhuaca</i>		+	
<i>Pachycondyla</i>	<i>commutata</i>		+	
<i>Pachycondyla</i>	<i>constricta</i>	+	+	+
<i>Pachycondyla</i>	<i>crassinoda</i>	+	+	
<i>Pachycondyla</i>	<i>harpax</i>	+	+	+
<i>Pachycondyla</i>	<i>impressa</i>		+	
<i>Pachycondyla</i>	JL01	+		
<i>Pachycondyla</i>	<i>obscuricornis</i>	+	+	
<i>Pachycondyla</i>	sp. 01		+	
<i>Pachycondyla</i>	sp. 02		+	

<i>Pachycondyla</i>	sp. 03		+	
<i>Pachycondyla</i>	sp. 04		+	
<i>Pachycondyla</i>	<i>villosa</i>	+		
<i>Pheidole</i>	<i>arachnion</i>		+	
<i>Pheidole</i>	<i>cephalica</i>	+	+	+
<i>Pheidole</i>	<i>cramptoni</i>	+	+	+
<i>Pheidole</i>	ES	+		
<i>Pheidole</i>	<i>exigua</i>	+		
<i>Pheidole</i>	<i>fracticeps</i>	+	+	+
<i>Pheidole</i>	JL	+		
<i>Pheidole</i>	<i>meinerti</i>	+	+	+
<i>Pheidole</i>	<i>prolixa</i>		+	+
<i>Pheidole</i>	sp. 01	+	+	+
<i>Pheidole</i>	sp. 02	+	+	+
<i>Pheidole</i>	sp. 04	+	+	
<i>Pheidole</i>	sp. 05	+	+	
<i>Pheidole</i>	sp. 07	+	+	
<i>Pheidole</i>	sp. 08	+		+
<i>Pheidole</i>	sp. 11	+	+	+
<i>Pheidole</i>	sp. 14	+	+	
<i>Pheidole</i>	sp. 15	+	+	+
<i>Pheidole</i>	sp. 16			
<i>Pheidole</i>	sp. 17		+	+
<i>Pheidole</i>	sp. 18	+		
<i>Pheidole</i>	sp. 19	+	+	+
<i>Pheidole</i>	sp. 21	+	+	
<i>Pheidole</i>	sp. 22		+	+
<i>Pheidole</i>	sp. 23	+	+	
<i>Pheidole</i>	sp. 24	+		+
<i>Pheidole</i>	sp. 25	+		+

<i>Pheidole</i>	sp. 26	+	+	
<i>Pheidole</i>	sp. 27	+	+	
<i>Pheidole</i>	sp. 28		+	+
<i>Pheidole</i>	sp. 29	+	+	+
<i>Pheidole</i>	sp. 30		+	
<i>Pheidole</i>	sp. 31	+	+	+
<i>Pheidole</i>	sp. 32	+	+	+
<i>Pheidole</i>	sp. 33		+	
<i>Pheidole</i>	sp. 34	+		+
<i>Pheidole</i>	sp. 36	+	+	
<i>Pheidole</i>	sp. 37	+	+	+
<i>Pheidole</i>	sp. 38	+	+	+
<i>Pheidole</i>	sp. 39	+	+	+
<i>Pheidole</i>	sp. 40	+		
<i>Pheidole</i>	sp. 41		+	
<i>Pheidole</i>	sp. 56			+
<i>Pheidole</i>	sp. 57	+		+
<i>Pheidole</i>	sp. 59		+	
<i>Pheidole</i>	sp. 60	+	+	
<i>Pheidole</i>	sp. 61		+	
<i>Pheidole</i>	sp. 62		+	
<i>Pheidole</i>	sp. 63		+	+
<i>Pheidole</i>	sp. 64		+	
<i>Pheidole</i>	sp. 70	+	+	
<i>Pheidole</i>	sp. 71	+	+	
<i>Pheidole</i>	sp. 73	+		
<i>Pheidole</i>	sp. 75		+	+
<i>Pheidole</i>	sp. 76			
<i>Pheidole</i>	sp. 77	+		
<i>Pheidole</i>	sp. 78	+	+	

<i>Pheidole</i>	sp. 79		+	
<i>Pheidole</i>	sp. 85			
<i>Solenopsis</i>	sp. 01	+	+	+
<i>Solenopsis</i>	sp. 02	+	+	+
<i>Solenopsis</i>	sp. 03		+	+
<i>Solenopsis</i>	sp. 04	+	+	+
<i>Solenopsis</i>	sp. 05	+	+	+
<i>Solenopsis</i>	sp. 06	+	+	
<i>Solenopsis</i>	sp. 07	+		+
<i>Solenopsis</i>	sp. 08	+		
<i>Solenopsis</i>	sp. 09	+	+	+
<i>Solenopsis</i>	sp. 10		+	+
<i>Solenopsis</i>	sp. 11	+		
<i>Solenopsis</i>	sp. 12	+	+	
<i>Solenopsis</i>	sp. 13		+	+
<i>Wasmannia</i>	<i>iheringi</i>		+	
<i>Wasmannia</i>	<i>rochai</i>		+	+
<i>Wasmannia</i>	<i>scrobifera</i>		+	

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725

726 **Reference**

727 Silva R.R.d. & Brandão C.R.F. (2010). Morphological patterns and community organization  
728 in leaf-litter ant assemblages. *Ecological Monographs*, 80, 107–124.

729

730

731 Table S3- Summary statistics for the effects of abundance of dominant ants and site on the  
 732 subordinate species richness and a more interactive subset of subordinate species in three  
 733 Amazon forests. The results were given per sampling technique and the subset of subordinate  
 734 species used pitfall and Winkler data combined. Significant values are in bold.

Variable	Effect	df	MS	F	P
Subordinate species (baits)	Abundance of dominant <sup>2</sup>	1	42.1	6.505	<b>0.012</b>
	Site	2	24.1	3.734	<b>0.028</b>
	Abundance of dominant <sup>2</sup> X Site	2	1.2	0.189	0.828
	Residuals	83	6.4		
Subordinate species (pitfall)	Log (Abundance of dominant)	1	4111.7	70.843	<b>&gt; 0.001</b>
	Site	2	256.5	4.419	<b>0.014</b>
	Log (Abundance of dominant) X Site	2	76.5	1.317	0.273
	Residuals	84	58		
Subordinate species (Winkler)	Log (Abundance of dominant)	1	3466.7	179.681	<b>&gt; 0.001</b>
	Site	2	275.4	14.274	<b>&gt; 0.001</b>
	Log (Abundance of dominant) X Site	2	33.3	1.726	0.184
	Residuals	79	19.3		
Subset of subordinate species (pitfall and Winkler)	Log (Abundance of dominant)	1	2075.1	69.87	<b>&gt; 0.001</b>
	Site	2	632.8	21.306	<b>&gt; 0.001</b>
	Log (Abundance of dominant) X Site	2	27	0.909	0.407
	Residuals	79	29.7		

735

736

737



## Capítulo 3

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Baccaro, F.B., Machado, J.P., Evans, H., Souza, J.L.P, Magnusson, W.E., & Hughes, D.  
Complex behavioral manipulation drives mismatch between host and parasite diversity.  
Manuscrito em preparação para *Biology Letters*.

1 **Complex behavioral manipulation drives mismatch between host and**  
2 **parasite diversity**

3

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17

18 **Short title:** Mismatch between host and parasite diversity

19

20

21 **Abstract**

22 Parasites and hosts are intimately associated such that changes in the diversity of one partner  
23 are thought to lead to changes in the other. We investigated this linked diversity hypothesis in  
24 a specialized ant-*Ophiocordyceps* system in three forests across 750 km in Central Amazonia.  
25 All species belonging to the fungal genus *Ophiocordyceps* associated with ants have evolved  
26 some degree of behavioral control to increase their own transmission, but the leaf-biting  
27 behavior is the most complex form of host manipulation. Such a system requires control of  
28 the mandibular muscles and a distinct shift in behavior, from climbing vegetation to walking  
29 on leaves to rasping leaf veins in the seconds before death. The need to induce complex  
30 behavior may limit host availability and represent a constraint on parasite diversity,. The  
31 consequence for community structure is that complex behavioral manipulation leads to a  
32 mismatch between ant hosts and their fungal parasites diversity.

33

34 **Keywords:** ants, behavior manipulation, interaction, Ophicordyceps, tropical forests.

35

36

## 37 **Introduction**

38 Species diversity varies considerably between habitats and regions [1], and the factors  
39 driving such heterogeneity typically depend on the scale of the analysis [2]. At the local level,  
40 interactions among species are known to play an important role in structuring communities  
41 [3,4]. This is especially the case when the interacting species occupy different trophic levels,  
42 which leads to a stronger link between the diversity of consumers and the diversity of  
43 resources [5–7]. Parasite-host interactions are examples of such trophic effects. Parasites tend  
44 to be host specific with hosts serving as both the habitat and the dispersal agents for parasites  
45 [8,9]. This implies that changes in host abundance often lead to changes in parasite  
46 abundance. Such specificity is considered to lead to arms races that promote overall diversity  
47 at the community level [10].

48 Within the framework of linked diversity in host-parasite systems, the sub-set of  
49 parasites that manipulate behavior has not been considered. The effect of parasites on their  
50 hosts is not only to reduce host fitness but in some cases also involves a manipulation of host  
51 behavior that directly increases parasite fitness [11]. In these cases, other constraints acting on  
52 the parasite related to its need to control behavior as a life-history strategy may affect the  
53 coupling of diversity across scales. The interaction between ants and the ascomycete fungus  
54 *Ophiocordyceps* provides a convenient model for understanding the roles of behavioral  
55 manipulation on patterns of host and parasite diversity. Ants infected by *Ophiocordyceps*  
56 species die in specific locations outside the nest where the microenvironment is ideal for  
57 fungal sporulation and subsequent dispersal to new hosts [12-14]. Dying outside the nest is  
58 considered adaptive for the fungus, because it avoids the cleaning behavior of ant workers that  
59 may prevent the completion of the fungal lifecycle inside the colony [12]. Depending on  
60 which fungal species is involved, infected ants may die attached to stems (Fig. 1C), buried in  
61 the leaf-litter, attached to tree bark (Fig. 1D) or biting leaf veins (Fig. 1E). Biting leaf veins or leaf  
62 tissue is the most complex form of manipulation and maintains the ant in situ after death  
63 giving the fungus the necessary 24-48 hours to grow adhesive mycelia that bind the ant to the  
64 plant [13]. This behavioral manipulation is ancient with vein biting occurring at least since the  
65 Eocene [14]. Recent evidence has shown that this host-parasite relationship is highly specific  
66 with each host species examined having its own specific parasite species [15].

67 To explore the linked diversity hypothesis between parasite and host we worked with a  
68 large dataset of more than 70,000 samples representing 340 ant species with knowledge on  
69 parasite diversity built up from 2,700 samples collected across three Amazonian sites across a

70 750km transect (Fig. 1A). We specifically compare the composition of infected and non-  
71 infected ants species among sites and how the complex behavioral manipulation by the fungus  
72 *Ophiocordyceps* can affect the parasite assemblage structure.

73

## 74 **Materials and Methods**

75 We sampled ants and their fungal parasites in three Amazonian forests. Two of them  
76 (Maracá Ecological Station, 3° 22' N, 6° 127' W and Viruá National Park, 1° 27' N, 61° 01' W)  
77 are situated in forest reserves in Roraima State (extreme North of Brazil). The third (Ducke  
78 Reserve, 2° 57' S, 59° 56' W) is situated 25 km North of Manaus, Central Amazonia (Fig. 1A).  
79 The sites cover a latitudinal gradient (~ 750 km) in Amazonian forests and encompass wide  
80 environmental heterogeneity, including areas of open and dense forests, and areas subject to  
81 different degrees of seasonal flooding [16]. We sampled both, parasitized and non-parasitized  
82 ants in 9 plots per site covering approximately an area of 9 km<sup>2</sup>. In each plot, we carefully  
83 searched for infected ants buried in soil/litter, and attached to vegetation and tree trunks:  
84 habitats where the infected ants are most commonly found. The three-dimensional volume  
85 sampled per plot was ~ 500 m<sup>3</sup>: 250 m length, 1 m wide and 2 m in height, resulting in 13,500  
86 m<sup>3</sup> in total. Two persons sampled each plot for at least 1.5 hours (~ 40 hours/person over the  
87 three sites); one focusing on all infected ants and the other on non-infected ants belonging to  
88 the genera infected by *Ophiocordyceps*. In the Upper Amazon, this and previous research [17]  
89 has shown that the following seven ant genera are infected: *Camponotus*, *Cephalotes*,  
90 *Daceton*, *Dolichoderus*, *Ectatomma*, *Pachycondyla* and *Paraponera* genera. To contrast the  
91 assemblage of infected ants with the whole ant community, we used a comprehensive ant  
92 survey of 30 plots per site, with over 70,000 samples collected. This survey included 900 1 m<sup>2</sup>  
93 litter samples (Winkler sacks), 900 pitfall-traps and 900 sardine baits regularly distributed  
94 among the three sites to describe the ant assemblage composition (see [16,18] for additional  
95 details).

96 The data were organized in three matrices: 1) all ant species collected at the three  
97 sites, 2) all species from the genera known to be suitable hosts (i.e. *Camponotus*, *Cephalotes*,  
98 *Daceton*, *Dolichoderus*, *Ectatomma*, *Pachycondyla* and *Paraponera* genera) and 3) only the  
99 species we discovered to be infected. This last category was created because not all species in  
100 a genus are infected. To provide further understanding of the role of complex behavioral  
101 manipulation on ant community-level patterns, we also constructed matrices of ant species  
102 according to the type of manipulation (Fig. 1B). We reduced the dimensionality of all

103 matrices using Principal Coordinate Analysis (PCoA) based on the Sørensen dissimilarity  
104 index. Occurrence data (presence/absence) were used to avoid overestimation of species with  
105 larger nests. We compared assemblage composition between the three areas using non-  
106 parametric multivariate analysis of variance [19]. The statistical significance of each analysis  
107 was based on 9,999 Monte Carlo permutations. We also individually regressed the number of  
108 plots where an ant species was found infected against the number of plots where the host species  
109 were found according to the type of manipulation.

110

## 111 **Results**

112 For all species from all the ant genera recorded, we found that the ant assemblage  
113 composition was markedly different between areas ( $p < 0.001$ ; Fig. 1F). We found very little  
114 overlap of species between areas and only 72 out of 343 ant species belonging to 24 genera  
115 (~20%) were sampled in each of the three sites. The same community structure pattern holds  
116 when only the ant species from the seven genera known to be host genera of *Ophiocordyceps*  
117 are examined, (namely *Camponotus*, *Cephalotes*, *Daceton*, *Dolichoderus*, *Ectatomma*,  
118 *Pachycondyla* and *Paraponera*  $p < 0.001$ , fig. S1). However, the assemblage of infected ants  
119 did not mirror the community structure, either of all ants from all genera or all ants from the  
120 genera that we identified as containing infected species. Unlike both, the assemblage  
121 composition of species of ants that are infected by *Ophiocordyceps* was not different between  
122 sites ( $p = 0.109$ ; Fig. 1G). Put another way, despite the fact that ~18% of the ant species were  
123 shared among the three locations the infected ant species were similar between these three  
124 very geographically and ecologically different sites. We had expected that different areas  
125 would have different infected species assemblages reflecting the general pattern of ant  
126 diversity across three sites, i.e. linked diversity between hosts and parasites. The linked  
127 diversity in the host-parasite system only matched when the infected ants that are manipulated  
128 to bite into plant tissue are removed from the analysis ( $p < 0.001$ , Fig. 1H).

129 The mismatch between hosts and parasite species composition may be driven by an  
130 increase in infection prevalence caused by complex behavior manipulation. The number of  
131 plots with infected species by *O. unilateralis* was much higher (Fig. 2A) compared with other  
132 groups of *Ophiocordyceps* (Fig. 2B).

133

134

## 135 **Discussion**

136 To infect an ant worker, *Ophiocordyceps* fungus produces spores that are released  
137 onto the forest floor or onto vegetation. To produce spores in the first instance  
138 *Ophiocordyceps* must overcome hyperparasitism that can be locally very high [20]. The  
139 spores of this fungus are very large, with a relatively thin cell wall and devoid of pigmentation  
140 [i.e. hyaline<sup>17</sup>], making them sensitive to dehydration and UV radiation. Therefore, for fungal  
141 life cycle completion, an ant must pass, at correct time of the day, over the spores scattered on  
142 the forest floor to be infected. In addition, the parasite must overcome the host population  
143 structure to complete their life cycle. Ants are very interactive organisms, and the competition  
144 between colonies of the same species at local scale has been frequently demonstrated [21].  
145 Colony-colony competition implies an additional barrier for the transmission between  
146 colonies of a specialized parasite. However, the complex behavioral manipulation by *O.*  
147 *unilateralis* seems to circumvent these barriers by creating a relative large minefield areas (~  
148 30m<sup>2</sup>, [13]) where the foraging ants can be infected by spores. Virtually, in all the plots where  
149 we found species of ants known to be a host to *O. unilateralis* complex we also found infected  
150 ants. And over the 750 km range the the same group of *Camponotus* species were infected  
151 despite those areas having little overlapp in *Camponotus* species assemblage. Other groups  
152 of *Ophiocordyceps* that infect species with large colonies, such as *Cephalotes* and  
153 *Dolichoderus* (both included in this study) also create graveyards, but in smaller areas. In the  
154 latter case, the dead ants died attached in one tree trunk [22], and sites without infected ants  
155 were more common (Fig 2b).

156 Biting behavior requires a control of the mandibular muscles that involves a reduction  
157 in muscle organelle abundance [14]. It also requires a distinct shift in behavior in the seconds  
158 before biting as infected ants shift from a wandering behavior to rasping of either the major  
159 veins or leaf edges. Other complexes of *Ophiocordyceps* cause ants to die on leaves (*O.*  
160 *lloydii*, 17) but in no case do ants rasp the plant tissues or choose sites to anchor their  
161 mandibles. We suggest that the nature of complex manipulation and the necessary additional  
162 control of the host's phenotype that is entailed limit the potential host range of fungi investing  
163 in manipulation. Transmission requires this complex control of behavior, which in turn  
164 requires multiple effects at the physiological and neuronal level. The consequence for  
165 community structure is that even across large geographical areas, complex behavioral  
166 manipulation results in a mismatch between host and parasites diversity patterns. However,  
167 despite this apparent constraint the evolution of behavioral manipulation seems to be a

168 successful strategy and ant hosts of *O. unilateralis* group were by far the most abundant hosts  
169 we discovered.

170

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176 web site (<http://ppbio.inpa.gov.br/knb/style/skins/ppbio/>).

177

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237

238 **Figure legends**

239

240 **Figure 1.** (A) Map of study area. (B) Relative infection levels by ant genera showing where  
241 the ants died and the seven more specious non-infected genera sampled in 27 plots (note that  
242 *Pheidole* bar is at different scale). Black bar shows the number of infected species and gray  
243 bars the number of non-infected species. (C) *Pachycondyla inversa* infected by  
244 *Ophiocordyceps kniphofioides* var. *ponerinarum* (see [22] for taxonomy of this species  
245 complex) attached to a stem. (D) *Cephalotes atratus* killed by *O. kniphofioides* var.  
246 *kniphofioides* buried in the mosses of a tree trunk. (E) *Camponotus atriceps* parasitized by *O.*  
247 *unilateralis* s.l. biting a leaf edge. PCoA ordination plots indicating (F) the differences in  
248 species composition among the three sites using all data, (G) congruence in species  
249 composition of all infected ant species found in 25 out of 27 plots and (H) different  
250 assemblage composition of non-biting infected ants. Some plots are stacked in the last two  
251 figures, because had the same infected ant species composition.

252

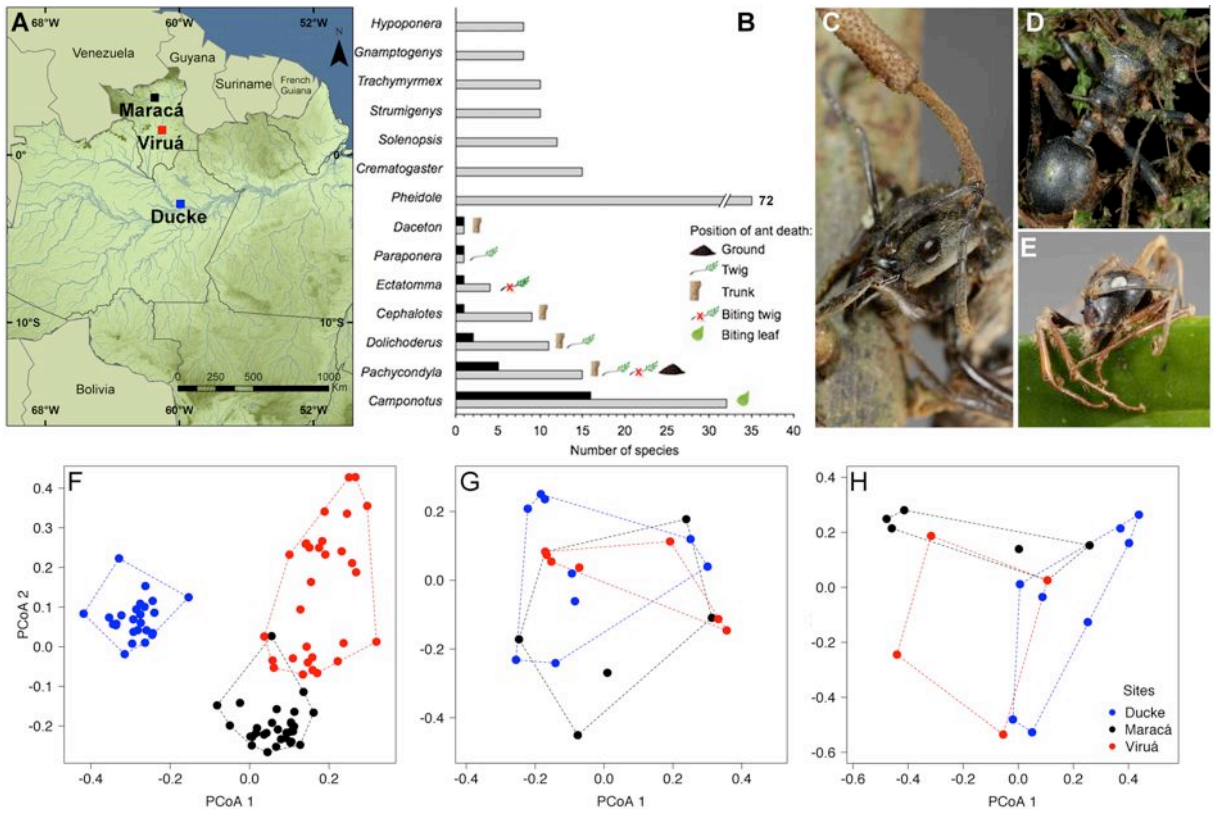
253 **Figure 2.** Relationship between number of plots with infected individuals against number of  
254 plots with non-infected individuals for (A) *O. unilateralis* complex and (B) other  
255 *Ophiocordyceps*. Each point represents a different species. The dotted line indicates a perfect  
256 ratio between infected and non-infected plots. The full line represent the model prediction of  
257 the regression for *O. unilateralis* complex ( $r^2 = 0.95$ ,  $F_{1,12} = 257$ ,  $p < 0.001$ ). The regression  
258 analysis for other *Ophiocordyceps* were not significant ( $r^2 = 0.18$ ,  $F_{1,11} = 3.57$ ,  $p = 0.085$ ).

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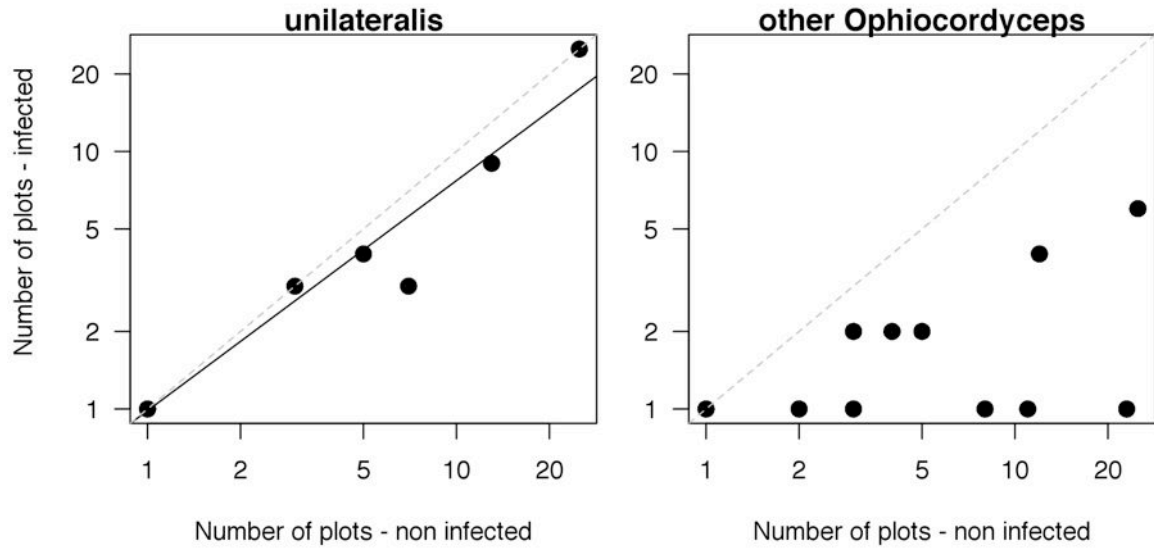
264

Figure 1

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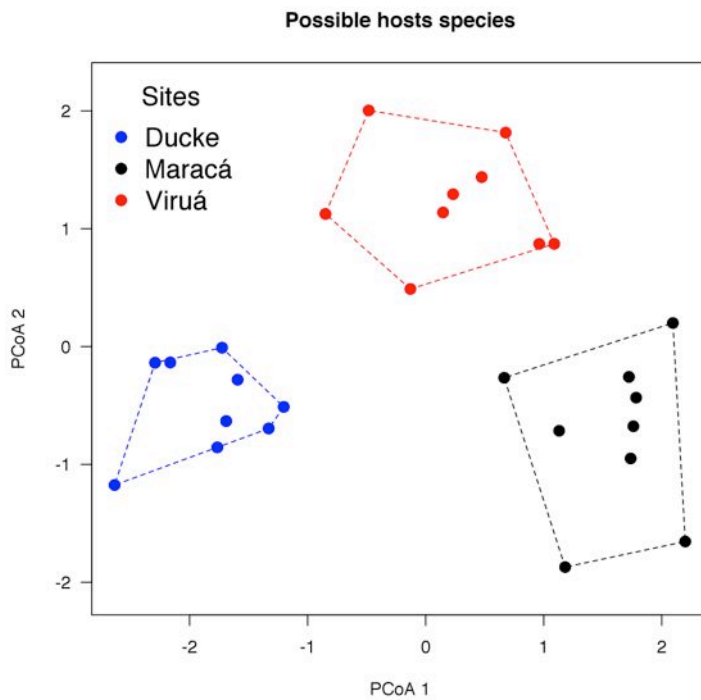
269 Figure 2

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271

272 **Supplementary Materials**

273



274

275 **Figure S1.** PCoA ordination plots based on Bray-Curtis similarity distance, indicating the  
276 differences in species composition among the three sites using possible ant hosts species (all  
277 ant species from the genera *Camponotus*, *Cephalotes*, *Daceton*, *Dolichoderus*, *Ectatomma*,  
278 *Pachycondyla* and *Paraponera* known to be host genera of *Ophiocordyceps*).

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## Capítulo 4

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Baccaro, F.B., Rocha, I.F., Águila, B.E.G. del, Schietti, J., Emilio, T., Pinto, J.L.P. daV., Lima, A.L., & Magnusson, W.E. Changes in ground-dwelling ant functional diversity are correlated with water table level in an Amazonian terra firme forest. *Biotropica* (no prelo).

1 LRH: Baccaro *et al.*  
2 RRH: Water table effects on ground-dwelling ants

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11 **Changes in Ground-dwelling Ant Functional Diversity are Correlated with**  
12 **Water Table Level in an Amazonian Terra Firme Forest**

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33 **ABSTRACT**

34 Areas with shallow water tables comprise a significant portion of tropical forests, and have  
35 distinct forest structure and plant-assemblage composition. However, it is not clear how the  
36 water-table regime shapes distributions of other organisms. Here, we evaluated the influence  
37 of water-table level on ant- assemblage richness, abundance and composition in a terra firme  
38 forest, in Central Amazonia. We sampled ants in ten 250 m-long transects, regularly  
39 distributed over 5 km<sup>2</sup> by extracting ants from 100 1-m<sup>2</sup> litter samples, 100 pitfall traps and  
40 100 sardine baits. During one year, the water-table level in each transect was monitored every  
41 15 days with a 6-m deep dipwell. Overall the abundance of individuals and occurrence of  
42 species were lower in areas where the water table was closer to the surface ( $\leq 1$  m depth) for  
43 longer periods ( $\sim 5.5$  months). However, the number of ant species was higher in transects  
44 where the water table was close to the surface more frequently. Changes in number of species  
45 were mainly a result of an increase in generalist species associated with a decrease in the  
46 number of specialist predators and small hypogaeic generalist foragers. Although disturbance  
47 by the water-table may increase local alpha diversity, only one third of generalist species  
48 seems to prefer areas with shallow water table.

49

50

51 **Key words:** disturbance, flooding, functional group, guild, hydrology, tropical forest, riparian  
52 zone, species distribution.

53

54 **RESUMO**

55 Áreas com lençol freático superficial compreendem uma grande parte das florestas tropicais e  
56 essa condição hidrológica afeta a estrutura da vegetação e a composição de plantas. No  
57 entanto, não está claro como a variação do nível do lençol freático afeta a distribuição de  
58 outros organismos. Neste estudo, avaliamos a influência do nível do lençol freático na  
59 abundância, riqueza e composição de formigas, em dez transectos de 250 m de comprimento  
60 regularmente distribuídos em 5 km<sup>2</sup> em uma floresta de terra-firme na Amazônia Central. A  
61 assembléia de formigas foi amostrada pela extração de formigas de 100 amostras de folhiço  
62 de 1 m<sup>2</sup>, 100 armadilhas de queda (pitfall) e 100 iscas de sardinha enlatada. Durante um ano,  
63 o nível do lençol freático em cada transecto, foi monitorado a cada 15 dias em poços de 6 m  
64 de profundidade. A abundância relativa de formigas foi menor em áreas onde o lençol freático  
65 ficou superficial ( $\leq 1$  m de profundidade) por longos períodos (~ 5,5 meses). No entanto, o  
66 número de espécies de formigas foi maior em transectos onde o lençol freático chegou mais  
67 perto da superfície mais frequentemente. O aumento no número de espécies pode ser atribuído  
68 principalmente ao aumento de espécies generalistas associado com a diminuição do número  
69 de espécies de formigas predadoras especialistas e generalistas pequenas que forrageiam no  
70 solo. Embora a perturbação causada pela variação do lençol freático próximo da superfície  
71 possa aumentar a diversidade alfa, ela reduz a diversidade funcional da assembléia de  
72 formigas. Somente um terço das espécies generalistas parece preferir áreas com lençol  
73 freático superficial.

74

75

76

77 THE SPATIAL AND TEMPORAL DISTRIBUTION OF SOIL WATER IS AN IMPORTANT DESCRIPTOR IN  
78 MANY TERRESTRIAL ecosystems (Jansson *et al.* 2007). In tropical forests, seasonal changes in  
79 rainfall and spatial differences in topography and soil properties create gradients of soil  
80 moisture, and determine forest structure and plant species distributions (Rodriguez-Iturbe  
81 2000). Sandy and frequently waterlogged soils in valleys generally harbour different plant  
82 species than sites on plateaus with clay soils and relatively deeper water tables (Kinupp &  
83 Magnusson 2005, Costa *et al.* 2005, Drucker *et al.* 2008, Zuquim *et al.* 2008, Schietti *et al.* in  
84 press). Although some environmental variables are correlated along the soil-water gradient,  
85 several herbs and palm species are confined to the bottomlands, suggesting incapacity to  
86 withstand the water stress in the upland clayey soils (Costa *et al.* 2005, 2008). Like plants,  
87 ants are relatively sessile organisms and may experience stress caused by local changes in  
88 soil-water availability. Once established, ant nests tend to relocate locally (McGlynn *et al.*  
89 2004), with relatively longer distances travelled only by inseminated winged females during  
90 the reproductive phase (Perfecto & Vandermeer 2002). Thus, areas with shallow water table  
91 may limit the distributions of ground and litter-dwelling ant species by drowning established  
92 nests or preventing the foundation of new colonies.

93 Changes in ant-assemblage structure related to spatial distribution of soil water are evident in  
94 areas subjected to seasonal flooding. In those areas, non-arboreal ants avoid drowning by  
95 evacuating nests to higher sites or vegetation (Adis & Junk 2002). During high-water periods,  
96 the colonies need to survive on stored energy sources or subsist on suboptimum energetic  
97 resources until floodwaters recede (Adis 1983, Adis & Junk 2002). Such disturbance limits  
98 the establishment of species that are not adapted to inundation, changing ant-assemblage  
99 composition (Majer & Delabie 1994, Ballinger *et al.* 2007, Mertl *et al.* 2009). Specialist and  
100 predatory species appear to have low tolerance to flooding, probably as a response to the  
101 reduction in prey availability (Adis *et al.* 1984, Adis & Junk 2002), while generalist and  
102 omnivorous species may be favoured (Milford 1999, Ballinger *et al.* 2007). Despite the fact  
103 that major changes in ant assemblage composition and richness are related to degree of  
104 flooding, disturbance caused by low flood frequency and low flood intervals can also impact  
105 ant assemblage structure (Mertl *et al.* 2009). Therefore, it seems reasonable that disturbance  
106 caused by vertical changes in the water table may also be operating even in sites not subject to  
107 flooding. In contrast to flooding, the effect of water-table fluctuation may be more subtle,  
108 limiting the soil volume available for ant colonies, which in turn may limit the spatial  
109 distribution of ant species (Seal & Tschinkel 2010).

110 Studies that have investigated the effect of water-table fluctuations on ant community  
111 structure are restricted to the subtropics (Seal & Tschinkel 2010, Tschinkel 1988, Tschinkel *et*  
112 *al.* 2012). However, areas with potentially shallow water table comprise a large part of  
113 tropical forests (Sombroek 2000, Renno *et al.* 2008), and the role of water-table level in  
114 structuring tropical litter and ground-dwelling ants is virtually unknown. Here, we evaluate  
115 the influence of water-table level on epigeic-ant richness, abundance and species composition  
116 in a tropical forest that is not subject to periodic flooding. To examine ecological correlates of  
117 ant-distribution patterns, we also used a functional group approach, based on diet, nesting  
118 habits, external morphology and phylogeny (Delabie *et al.* 2000, Silvestre *et al.* 2003, Silva &  
119 Brandão 2010), which provides a useful framework to describe and analyze ant-assemblage  
120 structure. Based on previous studies, we predicted that species occurrence and richness would  
121 be lower in areas with relatively shallow water table. We also predicted that changes in  
122 community composition would be mediated mainly by decrease in occurrence and number of  
123 hypogaeic and specialist species in areas where the water table is closer to the surface.

124

## 125 **METHODS**

126

127 **STUDY SITE AND SAMPLING DESIGN.** —

128 The study was conducted in the Manaquiri module, a Brazilian Biodiversity Research Program  
129 (PPBio) site established by the State of Amazonas PRONEX program located between the Purus and  
130 Madeira Rivers, Brazil (03°41' S, 60°34' W). The terrain is flat to gently undulating (elevation 37-45  
131 m asl. based on Shuttle Radar Topography Mission – SRTM images), and characterized by seasonally  
132 waterlogged soils with small intermittent streams. The site is covered by relatively undisturbed dense  
133 forest, but small areas around houses show evidence of human disturbance, such as selective logging  
134 and extraction of non-timber forest products (Fig. 1). The area receives an average of 2225 mm of rain  
135 annually, which is distributed seasonally (based on interpolated rainfall-gauge data from 1970 to 2011  
136 available from CPTEC web site <http://bancodedados.cptec.inpe.br/downloadBDM>). The rainy season  
137 occurs between November and April and a drier period between May and October.

138 As the terrain is predominantly flat and the soils are silty loam and poorly-drained, some areas located  
139 near intermittent streams may be flooded. However, those flooded areas are not equivalent to *varzea*  
140 and *igapó* forests, which receive a predictable and regular flood pulse from overflow of large rivers.  
141 Flooded areas in the Manaquiri module are more unpredictable and normally related to heavy rains,  
142 especially during the rainy season. Those floods create temporary small water bodies (1-3 m  
143 diameter), submerging the soil and litter for relatively short periods (10-12 h) after rain, but the litter  
144 and the soil remained saturated with water for longer periods. Depending on the rainfall regime, some

145 non-flooded areas may remain with shallow water table for several weeks.

146 We sampled ten 250m-long transects that were regularly distributed over 5 km<sup>2</sup>. Sampling was  
147 done along the center lines of RAPELD plots (Costa & Magnusson 2010), which follow terrain  
148 contours, located at 1 km intervals along two 5 km-long trails (Fig. 1). As plot center lines follow the  
149 topographic contours, variation in water-table depth within transects was minimized.

150

151 ANT SAMPLING.—

152 Ten sampling stations were established at 25-m intervals along each transect, avoiding  
153 small depressions which may be filled with water after heavy rains during the rainy season.

154 No visual clues of flooding, such as water marks on trees or mud covering litter, were  
155 detected around the sampling stations. Each sampling station consisted of a pitfall trap, a 1m<sup>2</sup>  
156 litter sample (Winkler sacks) and a bait sample. At each sampling station, 1 m<sup>2</sup> of litter was  
157 sifted through a 1 cm<sup>2</sup> mesh, placed in Winkler sacks and hung in a field camp at ambient  
158 temperature (about 28 ± 8°C) for 48 h to extract the ants. A 9.5 cm internal-diameter pitfall  
159 trap, partially filled with 96 percent alcohol and a drop of detergent, was established adjacent  
160 (~2 m) to each litter-sampling point and left for 48 h. After removing the pitfall traps,  
161 approximately 5 g of canned sardine was placed on white paper (10 cm by 7 cm) on the litter  
162 surface. After 60 minutes, all ants on the paper were collected and preserved in 96 percent  
163 alcohol. The baiting and litter-sampling procedures were undertaken between 0800 h and  
164 1700 h. The site was sampled in October 2009 during the dry season, to avoid temporary  
165 reduction in foraging activity during times of high water table.

166 The ants from the Winkler sacks, pitfall traps and bait samples were identified to  
167 morphospecies or species whenever possible. Voucher specimens were deposited in the  
168 Entomological Collection of the Instituto Nacional de Pesquisas da Amazônia (INPA,  
169 Manaus, Brazil). The raw data and metadata describing collection protocols can be  
170 downloaded from PPBio web site <http://ppbio.inpa.gov.br/sitios/br319/infra>.

171 Species were placed into functional groups based on classifications for Neotropical  
172 ants (Delabie *et al.* 2000, Silvestre *et al.* 2003, Silva & Brandão 2010) and on our  
173 observations of their foraging behavior, food choice and nesting sites. This functional-group  
174 scheme is based on microhabitat distribution, natural history information, eye size, body size  
175 and shape, and phylogeny; grouping species with potentially similar life styles. We followed  
176 the nomenclature proposed by Silva and Brandão (2010): (1) Large-sized epigaeic generalist  
177 predators; (2) Medium-sized epigaeic generalist predators; (3) Dacetini predators (specialist  
178 predators with kinetic mandible and cryptic behavior); (4) Hypogaeic predators; (5) Small-

179 sized hypogaeic generalist foragers; (5) Generalists; and (7) Litter-nesting fungus growers.  
180 We grouped the Medium-sized hypogaeic generalist predators, Hypogaeic generalist  
181 predators with vestigial eyes and specialists predators living in soil superficial layers into a  
182 single Hypogaeic predators functional group, because these three groups represent species  
183 from the same trophic position and have relatively large overlap in morphological space  
184 (Silva & Brandão 2010). Arboreal species that occasionally forage in soil/litter, army ants and  
185 subterranean mealy-bug specialists were not included in analyses. These species are not  
186 adequately sampled with the sampling methods used and may increase the noise in analyses.  
187 A list of species membership for each functional group can be found in Table S1.

188

189 MEASURING WATER-TABLE VARIATION.—

190 A 6 m-deep dipwell was installed in each transect. Approximately 5.5 m was below  
191 and 0.5 m above ground level. The dip well consisted of a 5 cm diameter plastic pipe with  
192 holes drilled in the lower portion (30 cm) to permit water flow. The holes were covered with a  
193 thin polyester mesh to avoid obstruction by mud, and the dip wells were capped to prevent  
194 entry of rainwater and litter. A lateral orifice equilibrated the air pressure in the pipe. Between  
195 January 2010 and January 2011, the water-table level was manually monitored every 15 days  
196 using a measuring tape.

197 We used the number of 15-day periods in which the water table was < 1 m from the  
198 surface as a measure of disturbance caused by water-table level on ant assemblages. When the  
199 water table is less than 1 m depth, vertical water percolation decreases favoring the superficial  
200 soil saturation by water capillarity, or capillary fringe (Fan & Miguez-Macho 2010). The  
201 capillary fringe is the zone above the water level where the air-entry pressure is less than that  
202 required to penetrate the water table (Berkowitz *et al.* 2004). The thickness of the capillary  
203 fringe depends on the soil properties, but can reach up to 1.5 m above the water table level in  
204 silty loam soils (Dingman 2002). Therefore, silty loam soils with water table less than 1 m  
205 deep may have 90-95 percent of water saturation in the first 25 cm depth, which represents  
206 potential disturbance and relatively less vertical soil available for ant colonies.

207

208 DATA ANALYSIS.—

209 The number of 15-day periods in which the water table was  $\leq 1$  m from the surface was used  
210 as a predictor variable for changes in ant abundance, frequency, richness and composition. To  
211 avoid abundance bias toward large colonies, we used the number of occurrences of each  
212 species per transect, rather than number of individuals in assemblage analysis. Thus, the

213 occurrence range for each species varied from zero to a maximum of 10 per transect. Given  
214 the distance between sampling stations (25 m), the number of species occurrences per transect  
215 may be interpreted as a surrogate for number of ant nests. The total ant occurrence and total  
216 number of ant species per transect were regressed against the frequency of water-table depth  $\leq$   
217 1m from the surface. To describe and interpret the major pattern in detail, the occurrence and  
218 number of ant species in each functional group was also individually regressed against the  
219 frequency of water-table depth  $\leq$  1m. Residual analyses were used to check the error  
220 distribution and the suitability of the regression models.

221 We used non-metric multidimensional scaling (NMDS), to evaluate the relationship between  
222 the water-table gradient and overall ant-assemblage composition. NMDS analysis was done  
223 with frequency standardizations per plot and the Bray-Curtis index as the dissimilarity  
224 measurement. The adjusted  $r^2$  of the original ant dissimilarity matrix regressed against the  
225 dissimilarity in the two-dimensional NMDS was used to evaluate the adequacy of the  
226 ordination (McCune & Grace 2002). We fitted the water-table gradient (frequency of water-  
227 table depth  $\leq$  1m) to the NMDS ordination using the envfit-function of the R package vegan.  
228 The significance of the correlation of point projections (ant species composition in each plot)  
229 onto the predictor vector (frequency of water-table depth  $\leq$  1m) was estimated with 999  
230 permutations. This analysis estimates the correlation between overall species composition and  
231 the environmental gradient.

232 For the most common species ( $> 20$  individuals and sampled in  $\geq 5$  plots), raw abundances  
233 from pitfall and Winkler samples were summed per transect and individually regressed  
234 against water table gradient. We used a Poisson distribution controlled for overdispersion, to  
235 meet regression-analysis assumptions. To identify species with abundance peaks in the middle  
236 of the gradient, we also investigated the correlation between ant abundance and water table  
237 gradient with quadratic models. The analyses were undertaken with R software (R  
238 Development Core Team 2011) using the vegan package (Oksanen *et al.* 2011).

239

## 240 **RESULTS**

241

242 We collected 177 ant species/morphospecies representing 42 genera in nine  
243 subfamilies. Strictly arboreal, subterranean mealy-bug dependent species and army ants  
244 comprised 25 species distributed in 10 genera (*Acropyga*, *Azteca*, *Cephalotes*, *Camponotus*,  
245 *Crematogaster*, *Dolichoderus*, *Eciton*, *Labidus*, *Platythyrea* and *Pseudomyrmex*), and were

246 not included in analyses. The most species-rich genus of ground-dwelling ants was *Pheidole*  
247 with 36 species, followed by *Solenopsis* and *Strumigenys* with 11 species each. At least one of  
248 these three genera was collected in 20 percent of baits, 32 percent of pitfall traps and 29  
249 percent of Winkler samples, and was recorded in all sampling stations (300). *Solenopsis* sp.1  
250 and *Strumigenys* cf. *denticulata* were the most abundant species, sampled in 35 and 25  
251 percent of sampling stations, respectively. However, 31 percent of species were recorded in  
252 only one sampling station.

253 Water-table level showed marked variation between seasons, following the rainfall  
254 regime of field site. Two transects had water in the dipwell throughout the year (Fig. S1).  
255 However, during the dry season, the water-table level in the remaining eight transects was  
256 below our dipwells. The number of 15-day periods when the water table was  $\leq 1$  m ranged  
257 from zero to 11 (approximately 5.5 months) among transects (Fig. S1). Only riparian areas,  
258 which were not sampled, showed flooding events after rains.

259 The overall ant occurrence, measured by the number of stations occupied by each  
260 species per transect (Fig. 2A), was lower in areas with shallow water table  $\leq 1$  m ( $r^2 = 0.44$ ,  $p$   
261  $= 0.038$ ). However, contrary to our prediction, the total number of ant species (Fig. 2B)  
262 increased in areas where the water table was close to the surface for longer periods ( $r^2 = 0.47$ ,  
263  $p = 0.029$ ). The overall ant assemblage composition was also related to the water-table  
264 gradient. The major pattern of ant-assemblage composition captured by the NMDS analysis  
265 showed a strong correlation with the frequency of water-table depth  $\leq 1$  m ( $r^2 = 77.62$ ,  $p =$   
266  $0.008$ ). The final configuration of the NMDS with two axes (stress 0.13) explained  $\sim 73$   
267 percent of the variance in ant-species composition.

268 All functional groups, except generalist species, decreased in number of occurrences  
269 with increase in frequency of water-table depth  $\leq 1$  m (Table 1), but this pattern was more  
270 evident for the large-sized epigaeic predators (Fig. 3A) and the small-sized hypogaeic  
271 generalist foragers (Fig. 3B). However, the number of species per functional group did not  
272 show a similar trend. The overall increase in species richness was mainly caused by an  
273 increase of nearly 40 percent in generalist species in areas where the peaks of shallow water  
274 table were more frequent (Fig. 3C). Conversely, specialized species, represented by small-  
275 sized hypogaeic species (Fig. 3D) and Dacetini predator species (Fig. 3E) decreased as the  
276 number of periods with water table  $\leq 1$  m depth increased. The number of species of large-  
277 sized epigaeic generalist predators, medium-sized epigaeic generalist predators, hypogaeic  
278 generalist predators and litter-nesting fungus growers were not correlated with the gradient of  
279 water-table level (Table 1).



280 Of the 152 species of litter and ground dwelling ants used in analyses, 51 species that  
281 were represented by more than 20 individuals and occurred in five or more transects were  
282 used in species-abundance analysis. Approximately 55 percent (28 species) had abundances  
283 correlated with the water table gradient (Table S2). *Hypoponera* sp. 9 was the only species  
284 that showed a significantly higher abundance at the extremes of the gradient, and was treated  
285 here as a species not related to the water table. Seven species (~14 percent) were positively  
286 correlated, increasing their abundances in transects with shallow water table (Fig. 4). All  
287 species of this group were generalist species, nesting in soil/litter and/or lower vegetation,  
288 such as *Crematogaster limata*, *C. brasiliensis*, *C. nigropilosa* and *Wasmannia auropunctata*.  
289 Four species (~7 percent) showed abundance peaks in the middle of water-table gradient (Fig.  
290 4). Except for *Strumigenys trudifera*, which nests in litter, all species in this group are  
291 typically ground nesters. The remaining 17 species (~33 percent) were less abundant in  
292 transects with shallow water table for longer periods (Fig. 4). This group includes the three  
293 most abundant species in our samples (*Solenopsis* sp.1, *P. exigua* and *P. meinerti*), and  
294 species with clearly different nesting and foraging habits, such as the large predator  
295 *Pachycondyla crassinoda*, some hypogaecic *Hypoponera* species, specialist predators with  
296 kinetic mandibles (*Strumigenys* sp.2 and *S.* sp.4) and several generalists species.

297

## 298 **DISCUSSION**

299

300 Water-table level was strongly correlated with changes in abundance, richness and  
301 assemblage composition of ground dwelling ants at our site in Central Amazonia. As  
302 hypothesized, the overall ant occurrence was lower in areas with shallow water table ( $\leq 1$  m  
303 depth). However, contrary to our prediction, the number of ant species increased in areas with  
304 water-table less than 1m from the surface. Changes in species richness were mainly due to an  
305 increase in generalist species associated with a decrease in the number of specialist predators  
306 and the small-sized hypogaecic generalist foragers. The different responses among ant  
307 functional groups suggest that the effects of water table in this forest are more subtle than in  
308 tropical seasonally-flooded environments.

309 A shallow water table means less soil volume available for ant colonies (Seal & Tschinkel  
310 2010, Tschinkel 1988), but also means relatively higher soil moisture and therefore less  
311 desiccation risk. Soil/litter moisture can favor some species and also modify the activity of ant  
312 species even in tropical ecosystems. Overall, ant activity and species richness tend to be

313 higher in more humid seasons and habitats (Kaspari & Weiser 2000). Therefore, the moisture  
314 gradient may explain why we found more species in areas with relatively superficial water  
315 table. However, this process is hard to reconcile with the decrease in overall ant abundance in  
316 these areas, suggesting that another mechanism may be operating.

317 More generalist species associated with less specialized species in humid plots, suggests that  
318 the water table is a source of disturbance for ant species rather than a simple moisture  
319 gradient. Little information about ant-nest architecture is available for tropical forests, but the  
320 drop in ant activity and diversity below 25 cm, has been associated with higher water-table  
321 level in an Amazonian Ecuadorian forest (Wilkie *et al.* 2007). A shallow water table may  
322 increase the capillary fringe layer, favoring lateral water percolation and flooding, especially  
323 near small streams. However, the scale and level of disturbance caused by water-table  
324 fluctuations are more subtle than in areas subjected to a regular flood pulse. Periodic flooding  
325 in *varzea* and *igapó* forests may shape ant communities regionally, reducing diversity in large  
326 and continuous areas close to river margins (Adis & Junk 2002). Superficial water table  
327 fluctuation may disrupt ant assemblages locally, leaving small patches of relatively well-  
328 drained soil available. Therefore, disturbance mediated by changes in water table level in  
329 *terra-firme* ant assemblages, may be similar to low flooding intensity areas in floodplains  
330 (Mertl *et al.* 2009), favoring generalist species and limiting colony establishment and growth  
331 of specialist and hypogaeic species.

332 The Dacetini predator guild is a relatively well studied set of cryptic specialist species that  
333 live and forage in the leaf litter and rotten wood (Bolton 1999). Although, little information  
334 about small-sized hypogaeic generalist foragers is available, their morphology (small ants  
335 with very small or vestigial eyes), suggest that these species live and forage in the soil (Brown  
336 2000). Both groups' show specialized foraging and nesting habitats, which are expected to be  
337 more sensitive to disturbance caused by soil water (Majer & Delabie 1994, Mertl *et al.* 2009).  
338 Species-abundance analysis showed that most of these species are more abundant in dryer  
339 areas of the study site, or occur in the middle of the water-table gradient. This pattern is  
340 scaling up for functional groups, especially for small-sized hypogaeic generalist foragers,  
341 suggesting that recurrent disturbance events caused by increase in water table level may force  
342 nest relocation to avoid drowning and/or limit the establishment of colonies of these species.

343 Except for *Hylomyrma imannis*, *Hypoponera* sp. 12 and *H.* sp.14, which were less abundant  
344 in transects with shallow water table, the rest of the litter-fungus growers, medium-sized  
345 epigaeic species and hypogaeic generalist predators showed no clear pattern of abundance  
346 distribution. No relationship was detected between occurrence of individual species or species

347 richness and the water table gradient, indicating that species in these functional groups may be  
348 tolerant to disturbance mediated by changes in water-table level. As the life styles of medium-  
349 sized epigaeic generalist predator species have some overlap with generalist species (Silva &  
350 Brandão 2010), it is possible that nesting and foraging habits also allow them to recolonize or  
351 persist during the rainy season in areas with shallow water table. However, the lack of evidence  
352 of a decrease in occurrence, abundance and richness of litter-fungus growers in more  
353 disturbed transects was surprising. The fungus-gardening ant species feed the developing  
354 brood and, to a lesser extent, adult workers on symbiotic fungus (Bass & Cherrett 1995). The  
355 symbiotic fungus has narrow humidity and temperature requirements and, consequently,  
356 adequate conditions for fungus growth in the soil layer are limited (Roces & Kleineidam  
357 2000, Bollazzi *et al.* 2008, Seal & Tschinkel 2010). Our results suggest that, unlike fungus-  
358 growing species that nest in the soil, litter fungus-growers have broader microhabitat  
359 tolerances. Some litter fungus-growers species can also take advantage of litter trapped by  
360 tree trunks and palms above ground and use them as complementary nesting sites during the  
361 rainy season. Moreover, as litter receives unpredictable inputs of vegetable and animal  
362 detritus and its organic material is constantly subject to decomposition (Facelli & Pickett  
363 1991), a broader microhabitat tolerance for symbiotic-fungus growth may be an evolutionary  
364 adaptation to higher disturbance and more variable environment.

365 The functional classification approach simplifies assemblage composition to few groups,  
366 facilitating assemblage-structure comparisons among areas that have few or no species in  
367 common (Andersen 1997). However, generalization comes with a price. The set of species  
368 grouped as generalists showed contrasting responses to the water table gradient. In contrast to  
369 other functional groups which had more consistent results, 33 percent of the generalist species  
370 analyzed were less abundant in transects with shallow water table and 33 percent showed the  
371 opposite pattern. These results suggest that there is a greater compartmentalized structure in  
372 relation to the water table gradient among this set of species than for the other functional  
373 groups. Similar results were found in an Ecuadorian tropical forest, where ~ 28 percent of 39  
374 *Pheidole* species, which normally are grouped as generalist species, were more abundant in  
375 floodplains and 38 percent was intolerant to flooding (Mertl *et al.* 2010). The disturbance  
376 created by a relatively shallow water table may have favored the occurrence of other  
377 generalists species, such as *C. limata*, *C. brasiliensis*, *C. nigropilosa*, *Nylanderia* sp.1 and  
378 *Wasmannia auropunctata* besides two *Pheidole* species. These generalists' species are  
379 omnivores with varied nesting habitats and, except for *C. nigropilosa* and *Nylanderia* sp.1,  
380 show aggressive behavior against co-occurring species at artificial resources (Delabie *et al.*

381 2000, Baccaro *et al.* 2012). Aggressive behavior and competitive traits favor nest relocation  
382 and/or colony maintenance under stress, facilitating establishment in disturbed areas (King &  
383 Tschinkel 2008, Vonshak *et al.* 2009, Krushelnycky & Gillespie 2010). As our sampling were  
384 undertaken during the fry season, generalists species may have time to colonize areas with  
385 shallow water table during the last rainy season. However, to what extent the increase in  
386 abundance of some generalist species is a result of colonization/competition abilities or  
387 disturbance resistance requires further study.

388 Taken as a whole, disturbance mediated by the water table appears to increase species  
389 richness, but with proportionally fewer specialists and hypogaecic species than generalist  
390 species. The functional classification approach seems to be useful for sets of species with  
391 relative narrow ecological niches. However, for generalist species, this classification needs to  
392 be complemented with more information about natural history to improve our understating of  
393 the process behind these compositional changes. Given that areas with shallow water table, in  
394 most cases riparian zones, are among the most threatened ecosystems in tropical forests  
395 (Tockner & Stanford 2002), understand the relationship between ant diversity and local water  
396 table has important implications for conservation. Moreover, as ants is frequently recognized  
397 as a keystone group, human modification of the water-table dynamics may lead to changes in  
398 ant-assemblage diversity that could affect many other elements in the ecosystem.

399

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410

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538 Table 1. Results of regression models relating the number of fortnights with water-table depth  
 539  $\leq 1$  m and abundance and richness (number of ant species per sampling unit) per functional  
 540 group. Ant occurrence was estimated as the total number of sampling stations occupied by  
 541 each species per transect.

Functional groups	Occurrence			Richness		
	$r^2$	$b$	$p$	$r^2$	$b$	$p$
Dacetini predators	0.33	-0.69	0.080	0.62	-0.25	0.007
Generalists	0.01	0.36	0.781	0.60	1.06	0.009
Hypogaeic generalist predators	0.02	-0.20	0.676	0.13	-0.09	0.297
Large-sized epigaeic generalist predators	0.76	-0.99	0.001	0.01	0.06	0.758
Litter-nesting fungus growers	0.18	-0.51	0.221	0.01	0.06	0.788
Medium-sized epigaeic generalist predators	0.12	-0.25	0.328	0.02	-0.08	0.672
Small-sized hypogaeic generalist foragers	0.43	-0.72	0.040	0.45	-0.22	0.035

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545 **Figure legends**

546

547 FIGURE 1. Map of the study region based on SRTM (Shuttle Radar Topography Mission).

548 Black circles represent the locations of 250 m transects in the 5 x 1 km rectangular grid.

549 Hachured areas indicate roads and other anthropogenic areas.

550

551 FIGURE 2. Relationships between number of fortnights with water-table depth  $\leq 1$  m and (A)

552 ant abundance, measured as the sum of the number of stations occupied by each species per

553 transect, and (B) number of ant species.

554

555 FIGURE 3. Relationships between number of fortnights with water-table depth  $\leq 1$  m and (A)

556 frequency of large-sized epigaeic predators, (B) frequency of small-sized hypogaeic generalist

557 foragers, (C) number of generalists species, (D) number of hypogaeic generalist predators

558 species and (E) number of Dacetini predator species.

559

560 FIGURE 4. Relationship between ant abundance along the gradient of fortnights with water

561 table  $\leq 1$  m from surface. The abundance was scaled to proportions for each species to

562 facilitate visualization; raw abundances are available in the supplementary information (Table

563 S1). The vertical order of species within functional groups was based on the mean value per

564 individual of the species along the gradient. Species more abundant in the drier part of the

565 gradient are placed near the bottom of the graph and species more abundant in transects with

566 shallow water table for longer periods are positioned near the top. The symbols -, + and +/-

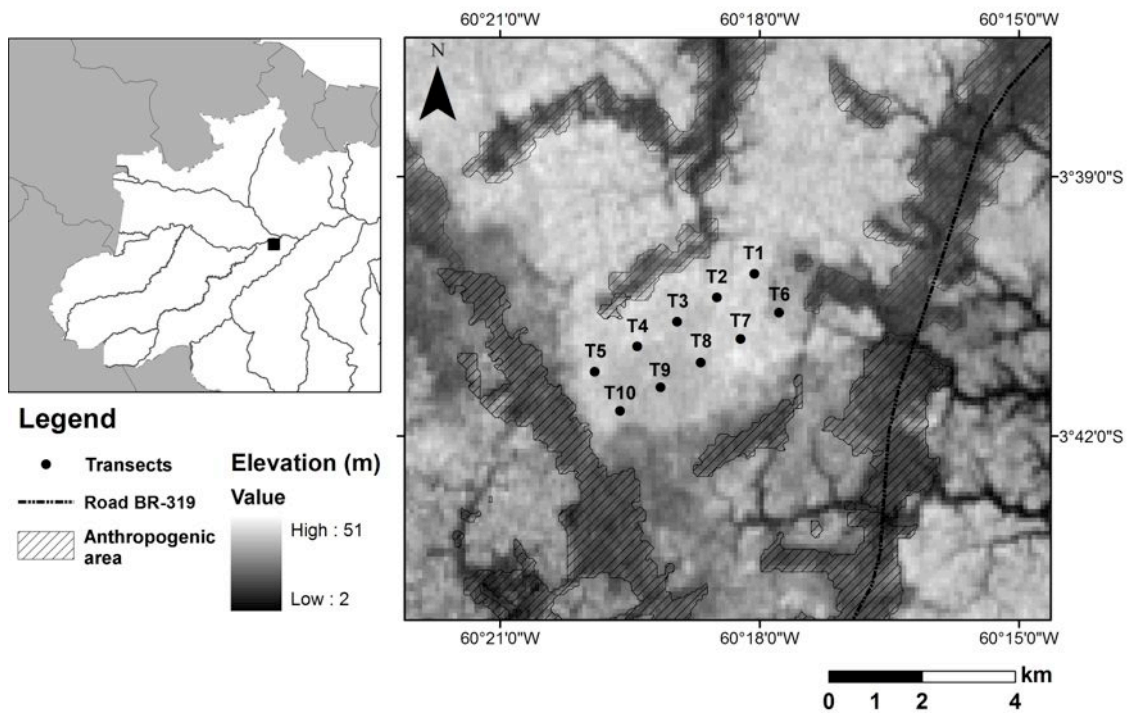
567 indicates species with negative, positive or quadratic relationship with the water table

568 gradient. Statistical model results on which these relationships are based are presented in the

569 supplementary information (Table S2).

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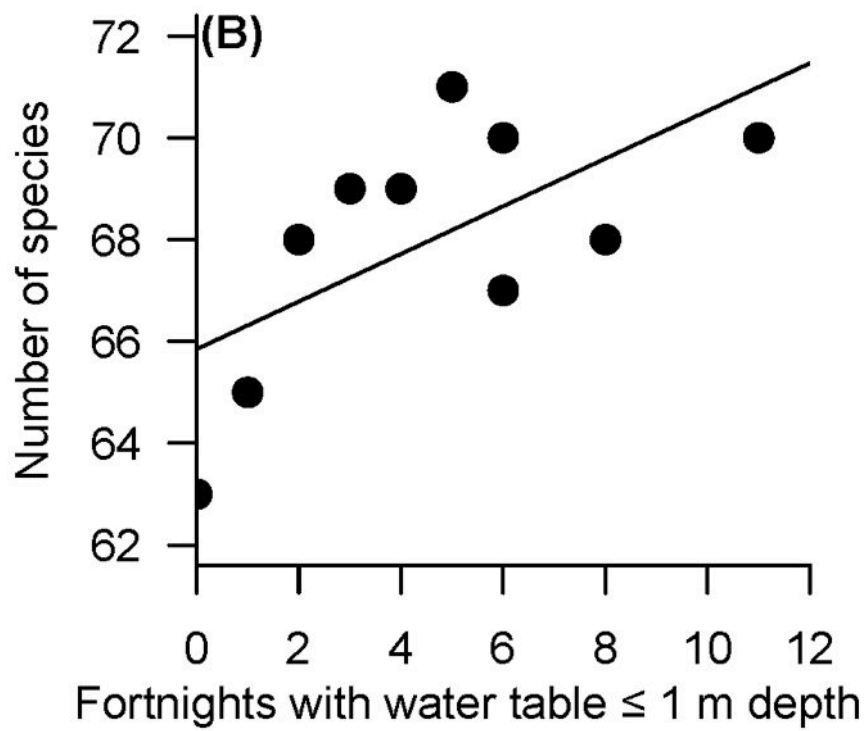
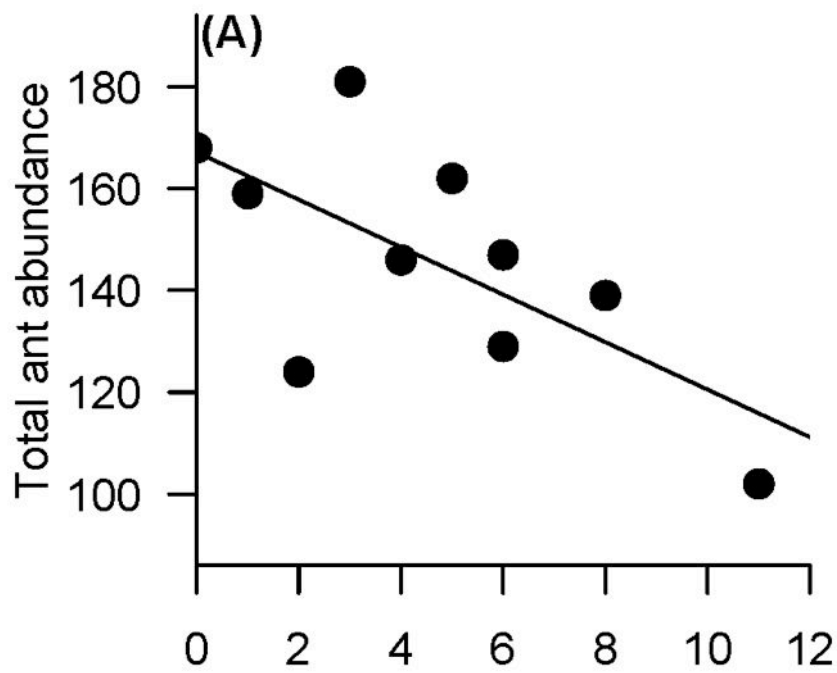
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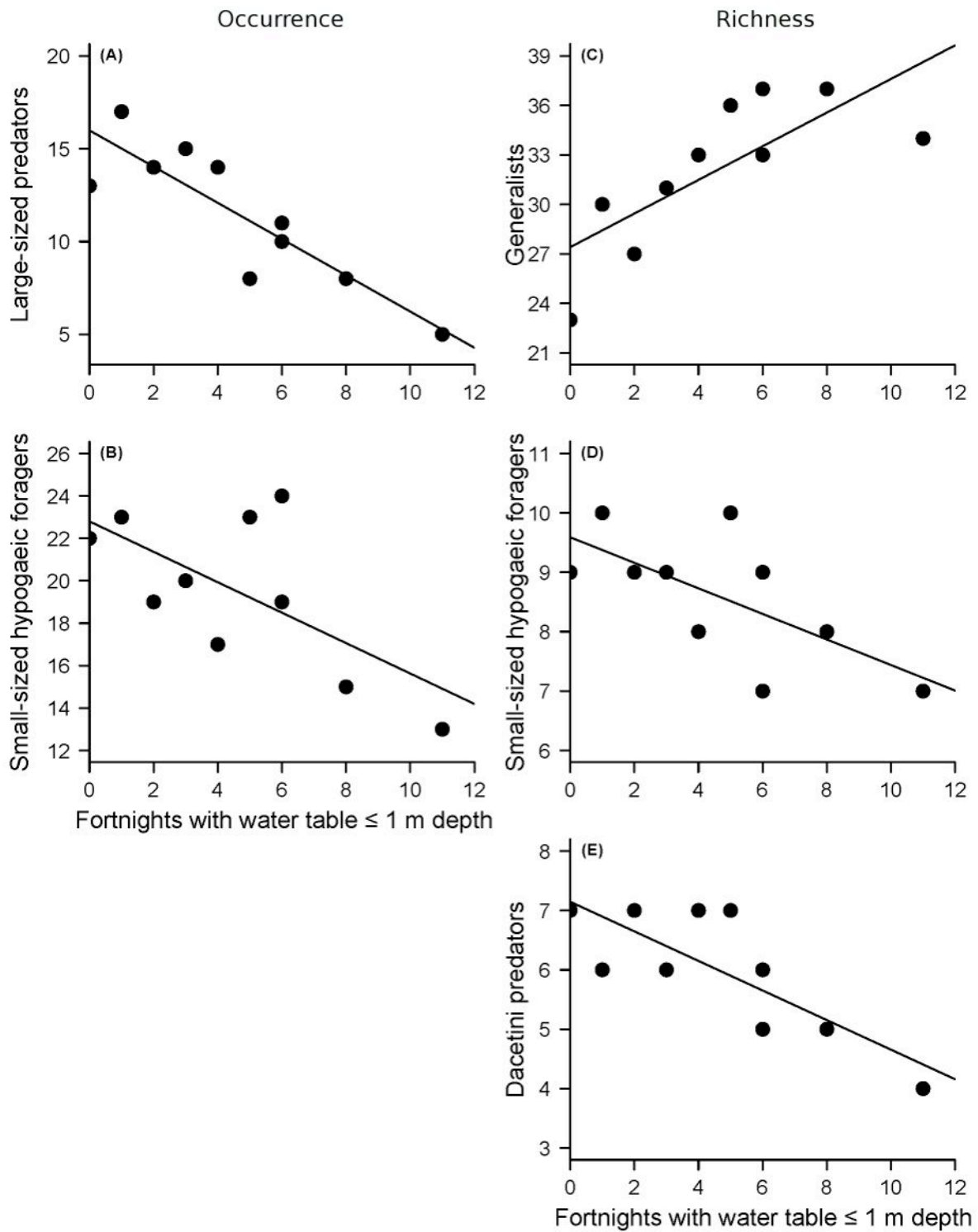
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579 Figure 2

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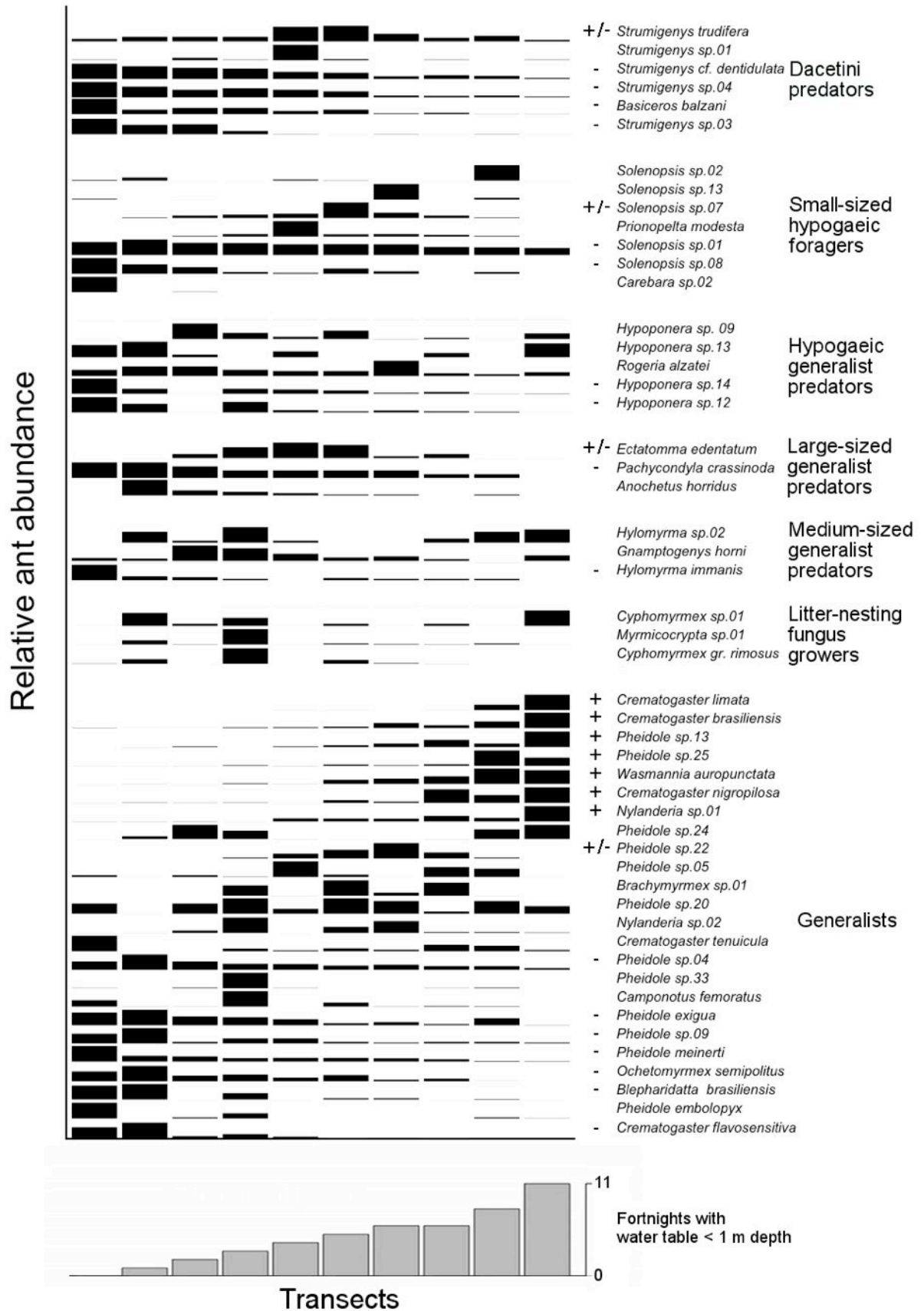
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584 Figure 3

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589 **Supporting Information - Biotropica**

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591 **Changes in Ground-dwelling Ant Functional Diversity are Correlated with Water Table**  
592 **Level in an Amazonian *Terra Firme* Forest**

593

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616 TABLE S1. Abundance of individuals and % of occurrence in 100 sampling stations in a  
 617 terra-firme forest, Central Amazonia, Brazil. The ant species were grouped by functional  
 618 groups following Silva & Brandão (2010).

Species	% occurrence			Abundance		
	Bait	Pitfall	Winkler	Bait	Pitfall	Winkler
<b>Dacetini predators</b>						
<i>Basiceros balzani</i>	-	0.03	0.97	-	1	74
<i>Strumigenys beebei</i>	-	-	1.00	-	-	6
<i>Strumigenys cf. denticulata</i>	-	0.05	0.95	-	4	508
<i>Strumigenys</i> sp.01	-	0.14	0.86	-	1	30
<i>Strumigenys</i> sp.03	-	-	1.00	-	-	113
<i>Strumigenys</i> sp.04	-	0.09	0.91	-	5	169
<i>Strumigenys</i> sp.05	-	-	1.00	-	-	1
<i>Strumigenys</i> sp.06	-	-	1.00	-	-	1
<i>Strumigenys</i> sp.07	-	-	1.00	-	-	2
<i>Strumigenys</i> sp.08	-	-	1.00	-	-	1
<i>Strumigenys trudifera</i>	-	-	1.00	-	-	69
<b>Generalists</b>						
<i>Blepharidatta brasiliensis</i>	-	-	1.00	-	-	49
<i>Brachymyrmex heeri</i>	-	1.00	-	-	2	-
<i>Brachymyrmex</i> sp.01	0.05	0.15	0.80	1	3	112
<i>Brachymyrmex</i> sp.02	-	-	1.00	-	-	1
<i>Brachymyrmex</i> sp.03	-	1.00	-	-	1	-
<i>Camponotus femoratus</i>	0.40	0.49	0.11	570	373	13
<i>Camponotus rapax</i>	0.57	0.43	-	7	3	-
<i>Crematogaster brasiliensis</i>	0.21	0.21	0.59	489	26	496
<i>Crematogaster carinata</i>	-	0.38	0.63	-	9	11
<i>Crematogaster erecta</i>	-	-	1.00	-	-	1
<i>Crematogaster flavosensitiva</i>	-	0.27	0.73	-	5	75
<i>Crematogaster limata</i>	0.35	0.43	0.22	580	125	108
<i>Crematogaster nigropilosa</i>	-	0.33	0.67	-	14	289
<i>Crematogaster tenuicula</i>	-	0.60	0.40	-	3	93



<i>Dolichoderus bispinosus</i>	0.57	0.14	0.29	80	1	3
<i>Megalomyrmex</i> sp.02	-	-	1.00	-	-	7
<i>Megalomyrmex</i> sp.03	-	-	1.00	-	-	2
<i>Megalomyrmex</i> sp.04	-	0.50	0.50	-	1	4
<i>Megalomyrmex</i> sp.06	-	-	1.00	-	-	1
<i>Megalomyrmex</i> sp.07	-	-	1.00	-	-	1
<i>Megalomyrmex</i> sp.08	-	-	1.00	-	-	1
<i>Monomorium</i> sp.01	-	-	1.00	-	-	3
<i>Nylanderia</i> sp.01	0.07	0.40	0.53	7	37	229
<i>Nylanderia</i> sp.02	-	0.32	0.68	-	11	179
<i>Ochetomyrmex semipolitus</i>	0.14	0.45	0.41	264	77	32
<i>Pheidole cephalica</i>	-	1.00	-	-	1	-
<i>Pheidole deima</i>	-	1.00	-	-	2	-
<i>Pheidole embolopyx</i>	-	0.86	0.14	-	48	2
<i>Pheidole exigua</i>	0.37	0.33	0.30	294	37	702
<i>Pheidole meinerti</i>	-	0.13	0.87	-	28	612
<i>Pheidole</i> sp.01	0.50	0.17	0.33	48	1	6
<i>Pheidole</i> sp.02	-	1.00	-	-	3	-
<i>Pheidole</i> sp.03	-	0.40	0.60	-	7	26
<i>Pheidole</i> sp.04	0.07	0.49	0.44	71	67	232
<i>Pheidole</i> sp.05	0.32	0.42	0.26	87	74	8
<i>Pheidole</i> sp.06	-	0.67	0.33	-	6	1
<i>Pheidole</i> sp.09	0.55	0.40	0.05	292	67	3
<i>Pheidole</i> sp.10	1.00	-	-	1	-	-
<i>Pheidole</i> sp.11	0.13	0.75	0.13	9	9	1
<i>Pheidole</i> sp.111	-	1.00	-	-	2	-
<i>Pheidole</i> sp.13	0.24	0.44	0.32	645	78	98
<i>Pheidole</i> sp.15	0.14	0.86	-	154	7	-
<i>Pheidole</i> sp.17	-	0.86	0.14	-	21	1
<i>Pheidole</i> sp.20	0.07	0.87	0.07	2	34	2
<i>Pheidole</i> sp.22	-	0.82	0.18	-	28	2
<i>Pheidole</i> sp.24	-	0.31	0.69	-	17	115
<i>Pheidole</i> sp.25	0.80	0.10	0.10	658	89	410

<i>Pheidole</i> sp.26	-	0.50	0.50	-	1	1
<i>Pheidole</i> sp.33	-	0.29	0.71	-	3	38
<i>Pheidole</i> sp.40	-	-	1.00	-	-	2
<i>Pheidole</i> sp.50	-	0.50	0.50	-	1	37
<i>Pheidole</i> sp.60	-	-	1.00	-	-	1
<i>Pheidole</i> sp.70	-	-	1.00	-	-	3
<i>Pheidole</i> sp.72	-	-	1.00	-	-	1
<i>Pheidole</i> sp.73	-	0.50	0.50	-	3	8
<i>Pheidole</i> sp.77	-	0.50	0.50	-	3	3
<i>Solenopsis geminata</i>	-	-	1.00	-	-	42
<i>Wasmannia auropunctata</i>	0.08	0.29	0.63	16	26	360
<i>Wasmannia scrobifera</i>	-	-	1.00	-	-	3
Hypogaecic generalist predators						
<i>Adelomyrmex</i> sp.01	-	-	1.00	-	-	7
<i>Hypoponera</i> sp. 01	-	1.00	-	-	1	-
<i>Hypoponera</i> sp. 04	-	-	1.00	-	-	1
<i>Hypoponera</i> sp. 06	-	-	1.00	-	-	1
<i>Hypoponera</i> sp. 08	-	-	1.00	-	-	2
<i>Hypoponera</i> sp. 09	-	0.08	0.92	-	2	76
<i>Hypoponera</i> sp.11	-	-	1.00	-	-	142
<i>Hypoponera</i> sp.12	-	-	1.00	-	-	115
<i>Hypoponera</i> sp.13	-	-	1.00	-	-	36
<i>Hypoponera</i> sp.14	-	0.06	0.94	-	1	109
<i>Rogeria alzatei</i>	-	-	1.00	-	-	63
<i>Thyphlomyrmex</i> sp.01	-	-	1.00	-	-	13
Large-sized epigaeic generalist predators						
<i>Anochetus diegensis</i>	-	0.31	0.69	-	5	13
<i>Anochetus horridus</i>	-	0.09	0.91	-	1	23
<i>Ectatomma brunneum</i>	-	1.00	-	-	5	-
<i>Ectatomma edentatum</i>	-	0.82	0.18	-	18	5
<i>Ectatomma lugens</i>	-	1.00	-	-	8	-
<i>Ectatomma</i> cf. <i>ruidum</i>	-	0.67	0.33	-	2	1

<i>Gnamptogenys haenschi</i>	-	1.00	-	-	6	-
<i>Gnamptogenys tortuolosa</i>	-	1.00	-	-	2	-
<i>Leptogenys</i> sp.04	-	-	1.00	-	-	1
<i>Odontomachus haematodus</i>	-	0.50	0.50	-	2	5
<i>Odontomachus meinerti</i>	-	-	1.00	-	-	11
<i>Odontomachus opaciventris</i>	-	1.00	-	-	1	-
<i>Odontomachus</i> sp.01	-	1.00	-	-	1	-
<i>Odontomachus</i> sp.03	-	-	1.00	-	-	1
<i>Pachycondyla apicalis</i>	-	1.00	-	-	14	-
<i>Pachycondyla arhuaca</i>	-	-	1.00	-	-	1
<i>Pachycondyla commutata</i>	-	1.00	-	-	1	-
<i>Pachycondyla constricta</i>	-	0.75	0.25	-	8	6
<i>Pachycondyla crassinoda</i>	-	1.00	-	-	22	-
<i>Pachycondyla harpax</i>	-	0.88	0.13	-	7	1
<i>Pachycondyla impressa</i>	-	1.00	-	-	3	-
<i>Pachycondyla verенаe</i>	-	1.00	-	-	1	-
<i>Pachycondyla</i> sp.02	-	-	1.00	-	-	11
Litter-nesting fungus-growers						
<i>Apterostigma auriculatum</i>	-	-	1.00	-	-	61
<i>Apterostigma</i> gr. <i>pilosum</i>	-	-	1.00	-	-	1
<i>Apterostigma</i> sp.02	-	-	1.00	-	-	1
<i>Cyphomyrmex</i> gr. <i>rimosus</i>	-	0.08	0.92	-	1	76
<i>Cyphomyrmex</i> sp.01	-	-	1.00	-	-	37
<i>Cyphomyrmex</i> sp.02	-	1.00	-	-	1	-
<i>Myrmicocrypta</i> sp.01	-	-	1.00	-	-	50
<i>Myrmicocrypta</i> sp.02	-	-	1.00	-	-	2
<i>Sericomyrmex</i> sp.01	-	0.75	0.25	-	3	2
<i>Sericomyrmex</i> sp.02	-	0.75	0.25	-	7	1
<i>Sericomyrmex</i> sp.03	-	1.00	-	-	1	-
<i>Trachymyrmex cornetzi</i>	-	0.50	0.50	-	2	2
<i>Trachymyrmex diversus</i>	-	1.00	-	-	1	-
<i>Trachymyrmex farinosus</i>	-	-	1.00	-	-	1
<i>Trachymyrmex mandibulares</i>	-	1.00	-	-	1	-

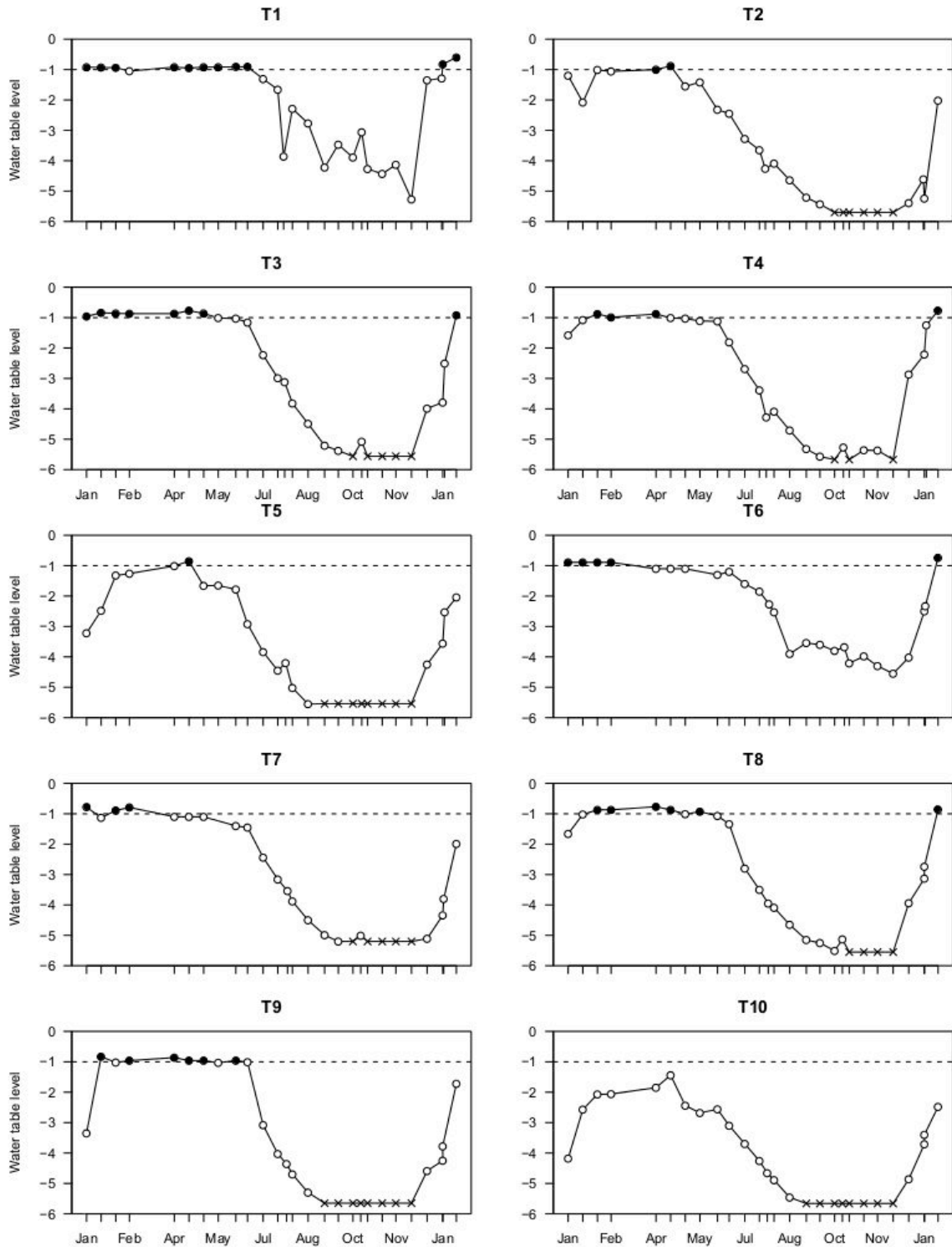
<i>Trachymyrmex</i> sp.01	-	0.50	0.50	-	1	1
<i>Trachymyrmex</i> sp.02	-	0.50	0.50	-	1	1
<i>Trachymyrmex</i> sp.03	-	-	1.00	-	-	1
<i>Trachymyrmex</i> sp.04	-	0.67	0.33	-	2	1
Medium-sized epigaeic generalist predators						
<i>Gnamptogenys</i> cf. <i>horni</i>	-	-	1.00	-	-	1
<i>Gnamptogenys</i> <i>horni</i>	-	0.27	0.73	-	20	89
<i>Gnamptogenys</i> sp.05	-	-	1.00	-	-	62
<i>Gnamptogenys</i> sp.08	-	-	1.00	-	-	1
<i>Gnamptogenys</i> sp.09	-	-	1.00	-	-	5
<i>Gnamptogenys</i> sp.10	-	-	1.00	-	-	1
<i>Gnamptogenys</i> sp.11	-	1.00	-	-	1	-
<i>Hylomyrma</i> <i>immanis</i>	-	0.17	0.83	-	3	35
<i>Hylomyrma</i> sp.02	-	-	1.00	-	-	27
<i>Megalomyrmex</i> <i>balzani</i>	-	1.00	-	-	3	-
<i>Thaumatomyrmex</i> <i>atrox</i>	-	-	1.00	-	-	1
Small-sized hypogaeic generalist foragers						
<i>Carebara</i> sp.01	-	-	1.00	-	-	14
<i>Carebara</i> sp.02	-	0.13	0.88	-	1	157
<i>Cryptomyrmex</i> sp.01	-	-	1.00	-	-	1
<i>Discothyrea</i> <i>sexarticulata</i>	-	-	1.00	-	-	11
<i>Prionopelta</i> <i>modesta</i>	-	-	1.00	-	-	160
<i>Rogeria</i> sp.02	-	-	1.00	-	-	12
<i>Rogeria</i> sp.03	-	-	1.00	-	-	12
<i>Solenopsis</i> sp.01	0.07	0.22	0.72	86	38	1332
<i>Solenopsis</i> sp.02	0.33	0.13	0.53	193	6	53
<i>Solenopsis</i> sp.03	0.17	0.33	0.50	15	6	9
<i>Solenopsis</i> sp.04	0.40	0.40	0.20	11	3	3
<i>Solenopsis</i> sp.06	-	0.50	0.50	-	6	12
<i>Solenopsis</i> sp.07	-	0.30	0.70	-	3	37
<i>Solenopsis</i> sp.08	-	0.24	0.76	-	6	61

<i>Solenopsis</i> sp.09	-	-	1.00	-	-	55
<i>Solenopsis</i> sp.12	-	0.40	0.60	-	5	3
<i>Solenopsis</i> sp.13	-	0.36	0.64	-	5	190
Total				4580	1603	8630

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621 Figure S1. Water table level monitored fortnightly between January 2010 and January 2011 in  
 622 10 transects. Black circles represent samples in which the water-table depth was  $< 1$  m, open  
 623 circles samples with water table depth  $\geq 1$  m, and the "x" represents samples in which no  
 624 water was observed in the dip well. Transects labels are the same as on Fig. 1.  
 625



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 627

628 TABLE S2. Results of linear and quadratic regression models between ant abundance for the  
629 most common species and the number of fortnights with water-table depth < 1 m. Models  
630 used quasi-Poisson residual distribution. Ant abundance was estimated as the total number of  
631 individuals sampled by pitfall and Winkler methods per transect.

Most common species	Linear Poisson			Quadratic term Poisson		
	<i>b</i>	<i>t</i>	<i>p</i>	<i>t</i>	<i>b</i>	<i>p</i>
<i>Anochetus horridus</i>	-0.295	-2.172	0.062	-0.819	-0.077	0.440
<i>Basiceros balzani</i>	-0.304	-5.715	< 0.001	0.071	0.001	0.945
<i>Blepharidatta brasiliensis</i>	-0.497	-3.825	0.005	0.221	0.011	0.831
<i>Brachymyrmex</i> sp.01	0.031	0.206	0.842	-1.915	-0.282	0.097
<i>Camponotus femoratus</i>	-0.207	-1.049	0.325	-0.764	-0.082	0.470
<i>Carebara</i> sp.02	-1.525	-0.752	0.473	2.146	0.159	0.069
<i>Crematogaster brasiliensis</i>	0.344	9.569	< 0.001	-1.702	-0.025	0.133
<i>Crematogaster flavosensitiva</i>	-0.571	-3.771	0.005	-0.104	-0.009	0.920
<i>Crematogaster tenuicula</i>	-0.095	-0.804	0.444	0.931	0.027	0.383
<i>Crematogaster nigropilosa</i>	0.304	3.394	0.009	-1.180	-0.041	0.277
<i>Crematogaster limata</i>	0.624	7.048	< 0.001	-1.997	-0.153	0.086
<i>Cyphomyrmex</i> gr. <i>rimosus</i>	-0.184	-0.872	0.409	-1.817	-0.247	0.112
<i>Cyphomyrmex</i> sp.01	0.079	0.605	0.562	1.659	0.051	0.141
<i>Ectatomma edentatum</i>	-0.049	-0.378	0.715	-9.015	-0.407	< 0.001
<i>Gnamptogenys horni</i>	-0.074	-0.843	0.424	-0.282	-0.008	0.786
<i>Hylomyrma immanis</i>	-0.375	-3.751	0.006	1.656	0.036	0.142
<i>Hylomyrma</i> sp.02	0.090	0.874	0.408	0.659	0.019	0.531
<i>Hypoponera</i> sp. 09	-0.020	-0.154	0.882	-0.172	-0.007	0.868
<i>Hypoponera</i> sp.12	-0.346	-3.549	0.008	-0.007	0.001	0.995
<i>Hypoponera</i> sp.13	-0.054	-0.428	0.680	2.890	0.070	0.023
<i>Hypoponera</i> sp.14	-0.295	-3.650	0.006	0.683	0.016	0.516
<i>Myrmicocrypta</i> sp.01	-0.157	-0.739	0.481	-0.995	-0.147	0.353
<i>Nylanderia</i> sp.01	0.294	6.562	< 0.001	-0.736	-0.012	0.486
<i>Nylanderia</i> sp.02	-0.007	-0.051	0.961	-1.260	-0.097	0.248
<i>Ochetomyrmex semipolitus</i>	-0.283	-4.830	0.001	-1.503	-0.040	0.177
<i>Pachycondyla crassinoda</i>	-0.208	-6.355	< 0.001	-1.543	-0.018	0.167
<i>Pheidole embolopyx</i>	-0.500	-2.154	0.063	1.475	0.066	0.184

<i>Pheidole exigua</i>	-0.218	-3.438	0.009	0.096	0.002	0.926
<i>Pheidole meinerti</i>	-0.270	-6.101	< 0.001	0.700	0.009	0.507
<i>Pheidole</i> sp.04	-0.146	-4.610	0.002	0.110	0.001	0.915
<i>Pheidole</i> sp.05	0.046	0.343	0.741	-1.345	-0.074	0.221
<i>Pheidole</i> sp.09	-0.263	-3.771	0.005	0.782	0.015	0.460
<i>Pheidole</i> sp.13	0.337	5.676	< 0.001	-1.061	-0.025	0.324
<i>Pheidole</i> sp.20	0.020	0.336	0.746	-0.626	-0.012	0.551
<i>Pheidole</i> sp.22	0.082	0.630	0.546	-4.717	-0.357	0.002
<i>Pheidole</i> sp.24	0.108	0.982	0.355	0.867	0.026	0.415
<i>Pheidole</i> sp.25	0.322	3.169	0.013	-1.535	-0.079	0.169
<i>Pheidole</i> sp.33	-0.157	-0.539	0.604	-0.505	-0.314	0.629
<i>Prionopelta modesta</i>	-0.014	-0.080	0.939	-0.353	-0.267	0.734
<i>Rogeria alzatei</i>	-0.063	-1.024	0.336	-0.401	-0.008	0.700
<i>Solenopsis</i> sp.01	-0.068	-6.232	< 0.001	-0.023	0.001	0.982
<i>Solenopsis</i> sp.02	0.148	0.812	0.441	-0.345	-0.021	0.740
<i>Solenopsis</i> sp.07	0.005	0.038	0.971	-2.838	-0.216	0.025
<i>Solenopsis</i> sp.08	-0.347	-4.306	0.003	0.569	0.014	0.587
<i>Solenopsis</i> sp.13	0.115	0.473	0.649	-0.694	-0.127	0.510
<i>Strumigenys</i> sp.01	-0.070	-0.306	0.768	-0.375	-0.152	0.719
<i>Strumigenys</i> cf. <i>dentidulata</i>	-0.209	-11.638	< 0.001	-0.909	-0.005	0.393
<i>Strumigenys</i> sp.03	-0.610	-6.073	< 0.001	-0.840	-0.084	0.429
<i>Strumigenys</i> sp.04	-0.240	-7.153	< 0.001	-0.492	-0.006	0.638
<i>Strumigenys trudifera</i>	-0.008	-0.105	0.919	-2.531	-0.053	0.039
<i>Wasmannia auropunctata</i>	0.306	4.603	0.002	-4.950	-0.089	0.082

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## Síntese

A estrutura das assembleias de formigas estudadas esteve mais fortemente relacionada com restrições ambientais do que com interações antagonistas, como competição inter-específica e parasitismo. Apesar de algumas espécies apresentarem comportamento agressivo na presença de outras espécies e serem frequentes no ambiente, formigas de solo e folhço forrageiam em uma área relativamente pequena. Mesmo as espécies dominantes, que recrutam em massa (mais de 100 operárias) e frequentemente monopolizam recursos alimentares, andam em média 2-3 m ao redor do ninho. Conseqüentemente, o efeito das espécies dominantes em escalas que efetivamente descrevem assembleias de formigas é limitado nessas florestas. Formigas com comportamento agressivo podem controlar o acesso de outras espécies a recursos alimentares efêmeros, mas a maior parte da assembleia de formigas não está correlacionada com a abundância das espécies dominantes.

O papel de parasitas especializados (que alteram o comportamento de seus hospedeiros) na estruturação das comunidades de formigas, também parece ser pequeno. O desacoplamento dos padrões de diversidade de alguns parasitas e seus hospedeiros entre sítios de coleta sugere que o número de espécies de hospedeiros passíveis de manipulação comportamental é relativamente pequeno, limitando o efeito do parasitismo regionalmente. Localmente, o baixo número de indivíduos infectados ao redor dos ninhos, sugere que a presença do parasita também tem pouco efeito sobre a ocorrência das espécies. Para esses parasitas a seleção natural provavelmente favorece linhagens que conseguem se manter no ambiente com abundância relativamente baixa, sem diminuir muito a aptidão de seu hospedeiro. Esse tipo de relação é frequentemente encontrado em sistemas parasita-hospedeiro com longa história co-evolutiva.

Nas florestas amazônicas estudadas, restrições associadas a gradientes ambientais estiveram mais fortemente relacionadas com a estrutura das assembleias de formigas do que interações interespecíficas. Entre essas restrições, a falta ou excesso de água parece ser um fator importante. Regionalmente, menos espécies de formigas foram encontradas em áreas com menor pluviosidade média, mas a pluviosidade interage com fatores edáficos. Os resultados encontrados em um sítio sugerem que a áreas com lençol freático profundo, abrigaram menor número de

espécies de formigas, mas favorecerem maior diversidade funcional. Locais com lençol freático mais profundo mantêm menor número de espécies, mas com maior diversidade funcional, principalmente pela maior proporção de especialistas e formigas hipogéicas. Dado que áreas com lençol freático superficial compreendem uma parte importante das florestas amazônicas, o efeito do lençol freático sobre a comunidade de formigas pode facilitar a co-ocorrência de espécies regionalmente. Uma abordagem promissora é investigar a redundância funcional dentro e entre guildas de formigas e como restrições ambientais, como a disponibilidade de água, podem moldar as características funcionais e promover a co-ocorrência de espécies.

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# Apêndice 1 – Parecer da Aula de qualificação



## AULA DE QUALIFICAÇÃO

### PARECER

Aluno(a): FABRICIO BEGGIATO BACCARO  
Curso: ECOLOGIA  
Nível: DOUTORADO  
Orientador(a): WILLIAM E. MAGNUSSON

#### Título:

“Distribuição e efeito da infecção de Wolbachia (Rickettsiales, Rickettsiaceae) em colônias de populações de formigas na Amazônia Central”

#### BANCA JULGADORA:

##### TITULARES:

Maristerra R. Lemes (INPA)  
Eduardo M. Venticinque (INPA)  
Thierry Ray J. Gasnier (UFAM)  
Elizabeth F. Chilson (INPA)  
Camila Ribas (INPA)

##### SUPLENTE:

Jorge Ivo P. Porto (INPA)  
Alberto Vicentini (INPA)

EXAMINADORES	PARECER	ASSINATURA
Maristerra R. Lemes (INPA)	( ) Aprovado ( ) Reprovado	
Eduardo M. Venticinque (INPA)	( ) Aprovado ( ) Reprovado	
Thierry Ray J. Gasnier (UFAM)	(X) Aprovado ( ) Reprovado	
Elizabeth F. Chilson (INPA)	(X) Aprovado ( ) Reprovado	
Camila Ribas (INPA)	(X) Aprovado ( ) Reprovado	
Jorge Ivo P. Porto (INPA)	( ) Aprovado ( ) Reprovado	
Alberto Vicentini (INPA)	(X) Aprovado ( ) Reprovado	

Manaus(AM), 18 de setembro de 2009

OBS: \_\_\_\_\_  
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PROGRAMA DE PÓS-GRADUAÇÃO EM BIOLOGIA TROPICAL E RECURSOS NATURAIS – PIPG BTRN  
PROGRAMA DE PÓS-GRADUAÇÃO EM ECOLOGIA PPG-ECO/INPA  
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## Apêndice 2 – Pareceres dos avaliadores do trabalho escrito



Instituto Nacional de Pesquisas da Amazônia - INPA  
Graduate Program in Ecology



### Referee evaluation sheet for PhD thesis

Title: **The role of biotic and abiotic interactions in structuring ant communities in central Amazonia**  
Candidate: Fabricio Baccaro  
Supervisor: William Magnusson Co-supervisor: -

**Examiner: Alan Andersen**

Please check one alternative for each of the following evaluation items, and check one alternative in the box below as your final evaluation decision.

	Excellent	Good	Satisfactory	Needs improvement	Not acceptable
Relevance of the study	(X)	( )	( )	( )	( )
Literature review	( )	(X)	( )	( )	( )
Sampling design	( )	(X)	( )	( )	( )
Methods/procedures	( )	(X)	( )	( )	( )
Results	( )	(X)	( )	( )	( )
Discussion/conclusions	( )	( )	(X)	( )	( )
Writing style and composition	(X)	( )	( )	( )	( )
Potential for publication in peer reviewed journal(s)	( )	(X)	( )	( )	( )

#### FINAL EVALUATION

( ) **Approved without or minimal changes**

(X) **Approved with changes** (no need for re-evaluation by this reviewer)

( ) **Potentially acceptable, conditional upon review of a corrected version** (The candidate must submit a new version of the thesis, taking into account the corrections asked for by the reviewer. This new version will be sent to the reviewer for a new evaluation only as acceptable or not acceptable)

( ) **Not acceptable** (This product is incompatible with the minimum requirements for this academic level)

Darwin, Australia,

24 February 2013

Place

Date

Signature

Additional comments and suggestions can be sent as an appendix to this sheet, as a separate file, and/or as comments added to the text of the thesis. Please, send the signed evaluation sheet, as well as the annotated thesis and/or separate comments by e-mail to [pgecologia@gmail.com](mailto:pgecologia@gmail.com) and [flaviacosta001@gmail.com](mailto:flaviacosta001@gmail.com) or by mail to the address below. E-mail is preferred. A scanned copy of your signature is acceptable.

Mailing address:

Flávia Costa  
DCEC/CPEC/INPA  
CP 478  
69011-970 Manaus AM  
Brazil

### Avaliação de tese de doutorado

Título: O papel de interações bióticas e abióticas na estruturação de comunidades de formigas na Amazônia Central

Aluno: Fabricio Baccaro

Orientador: William Magnusson Co-orientador: -

**Avaliador:** Alexander Vicente Christianini

Por favor, marque a alternativa que considerar mais apropriada para cada item abaixo, e marque seu parecer final no quadro abaixo

	Muito bom	Bom	Necessita revisão	Reprovado
Relevância do estudo	( x )	( )	( )	( )
Revisão bibliográfica	( x )	( )	( )	( )
Desenho amostral/experimental	( x )	( )	( )	( )
Metodologia	( x )	( )	( )	( )
Resultados	( x )	( )	( )	( )
Discussão e conclusões	( x )	( )	( )	( )
Formatação e estilo texto	( x )	( )	( )	( )
Potencial para publicação em periódico(s) indexado(s)	( x )	( )	( )	( )

#### PARECER FINAL

**Aprovada** (indica que o avaliador aprova o trabalho sem correções ou com correções mínimas)

**Aprovada com correções** (indica que o avaliador aprova o trabalho com correções extensas, mas que não precisa retornar ao avaliador para reavaliação)


**Necessita revisão** (indica que há necessidade de reformulação do trabalho e que o avaliador quer reavaliar a nova versão antes de emitir uma decisão final)

**Reprovada** (indica que o trabalho não é adequado, nem com modificações substanciais)

Sorocaba, 13 de fevereiro de 2012

Local

Data

  
 Assinatura

Comentários e sugestões podem ser enviados como uma continuação desta ficha, como arquivo separado ou como anotações no texto impresso ou digital da tese. Por favor, envie a ficha assinada, bem como a cópia anotada da tese e/ou arquivo de comentários por e-mail para [flavia.costa@cpqma.inpa.gov.br](mailto:flavia.costa@cpqma.inpa.gov.br) e [flavia.costa@inpa.gov.br](mailto:flavia.costa@inpa.gov.br) ou por correio ao endereço abaixo. O envio por e-mail é preferível ao envio por correio. Uma cópia digital de sua assinatura será válida.

Endereço para envio de correspondência:

Flávia Costa  
 DCEC/CPEC/INPA  
 CP 478  
 69011-970 Manaus AM  
 Brazil



### Avaliação de tese de doutorado

Título: O papel de interações bióticas e abióticas na estruturação de comunidades de formigas na Amazônia Central

Aluno: Fabricio Baccaro

Orientador: William Magnusson Co-orientador: -

**Avaliador:** José H Schoederer

Por favor, marque a alternativa que considerar mais apropriada para cada item abaixo, e marque seu parecer final no quadro abaixo

	Muito bom	Bom	Necessita revisão	Reprovado
Relevância do estudo	( X )	( )	( )	( )
Revisão bibliográfica	( X )	( )	( )	( )
Desenho amostral/experimental	( X )	( )	( )	( )
Metodologia	( X )	( )	( )	( )
Resultados	( X )	( )	( )	( )
Discussão e conclusões	( )	( X )	( )	( )
Formatação e estilo texto	( X )	( )	( )	( )
Potencial para publicação em periódico(s) indexado(s)	( X )	( )	( )	( )

#### PARECER FINAL

( ) **Aprovada** (indica que o avaliador aprova o trabalho sem correções ou com correções mínimas)

( X ) **Aprovada com correções** (indica que o avaliador aprova o trabalho com correções extensas, mas que não precisa retornar ao avaliador para reavaliação)

( ) **Necessita revisão** (indica que há necessidade de reformulação do trabalho e que o avaliador quer reavaliar a nova versão antes de emitir uma decisão final)

( ) **Reprovada** (indica que o trabalho não é adequado, nem com modificações substanciais)



Prof. José Henrique Schoederer  
Deptº de Biologia Geral  
Matrícula: 6450-5

Viçosa

28/02/2013

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Assinatura

Comentários e sugestões podem ser enviados como uma continuação desta ficha, como arquivo separado ou como anotações no texto impresso ou digital da tese. Por favor, envie a ficha assinada, bem como a cópia anotada da tese e/ou arquivo de comentários por e-mail para [pgecologia@gmail.com](mailto:pgecologia@gmail.com) e [flaviacosta001@gmail.com](mailto:flaviacosta001@gmail.com) ou por correio ao endereço abaixo. O envio por e-mail é preferível ao envio por correio. Uma cópia digital de sua assinatura será válida.

Endereço para envio de correspondência:

## Apêndice 3 – Ata da defesa pública



### ATA DA DEFESA PÚBLICA DA TESE DE DOUTORADO DO PROGRAMA DE PÓS-GRADUAÇÃO EM ECOLOGIA DO INSTITUTO NACIONAL DE PESQUISAS DA AMAZÔNIA

Aos 19 dias do mês de abril do ano de 2013, às 09:00 horas, na sala de aula do Programa de Pós Graduação em Entomologia – PPG ENT/INPA, reuniu-se a Comissão Examinadora de Defesa Pública, composta pelos seguintes membros: o(a) Prof(a). Dr(a). **Thierry Ray Jehlen Gasnier**, da Universidade Federal do Amazonas - UFAM, o(a) Prof(a). Dr(a). **Renato Cintra**, do Instituto Nacional de Pesquisas da Amazônia - INPA e o(a) Prof(a). Dr(a). **Bruno Spacek**, da Universidade Federal do Pará - UFPA, tendo como suplentes o(a) Prof(a). Dr(a). Marina Anciães, do Instituto Nacional de Pesquisas da Amazônia - INPA e o(a) Prof(a). Dr(a). Marcio Luiz de Oliveira, do Instituto Nacional de Pesquisas da Amazônia - INPA, sob a presidência do(a) primeiro(a), a fim de proceder a arguição pública do trabalho de **TESE DE DOUTORADO** de **FABRICIO BEGGIATO BACCARO**, intitulado "O papel de interações bióticas e abióticas na estruturação de comunidades de formigas na Amazônia Central", orientado pelo(a) Prof(a). Dr(a). William Ernest Magnusson, do Instituto Nacional de Pesquisas da Amazônia – INPA.

Após a exposição, o(a) discente foi argüido(a) oralmente pelos membros da Comissão Examinadora, tendo recebido o conceito final:

APROVADO(A)                       REPROVADO(A)  
 POR UNANIMIDADE                       POR MAIORIA

Nada mais havendo, foi lavrada a presente ata, que, após lida e aprovada, foi assinada pelos membros da Comissão Examinadora.

Prof(a).Dr(a). Thierry Ray Jehlen Gasnier

Prof(a).Dr(a). Renato Cintra

Prof(a).Dr(a). Bruno Spacek

Coordenação PPG-ECO/INPA





*Bob Solar*